

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) CT20101001				
3. Recipient Organization (Name and complete address including Zip code) Connecticut, State of 210 Capitol Ave Ste 1, Hartford, CT 061061568								
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
To: September 30, 2029								
10. Transactions					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
Federal Cash (To report multiple grants separately, also use FFR Attachment):								
a. Cash Receipts					\$10,876,298.00			
b. Cash Disbursements					\$5,833,956.00			
c. Cash on Hand (line a minus b)					\$5,042,342.00			
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized					\$10,876,298.00			
e. Federal share of expenditures					\$5,833,956.00			
f. Federal share of unliquidated obligations					\$0.00			
g. Total Federal share (sum of lines e and f)					\$5,833,956.00			
h. Unobligated balance of Federal funds (line d minus g)					\$5,042,342.00			
Recipient Share:								
i. Total recipient share required					\$1,407,176.00			
j. Recipient share of expenditures					\$676,700.00			
k. Remaining recipient share to be provided (line i minus j)					\$730,476.00			
Program Income:								
l. Total Federal share of program income earned					\$100,846.00			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$0.00			
o. Unexpended program income (line l minus line m and line n)					\$100,846.00			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State Interest Earned: Enter the current year amount earned or enter \$0.								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official Bromley, Theodore Director of Elections					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official Bromley, Theodore					e. Date Report Submitted (Month, Day, Year) February 2, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : CT20101001

Recipient Organization : Connecticut, State of
210 Capitol Ave Ste 1, Hartford, CT 061061568

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:102 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Connecticut

2. Grant Number:

CT20101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. Recipient Organization:

Organization Name

Office Of The Secretary Of The State

Street Address

165 Capitol Avenue

City

Hartford

State

CT

Zip

06106

4. Progress and Narrative

8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

- (1) National Guard Cybersecurity Assessment – The Connecticut National Guard, at the direction of the Secretary of the State, will perform a high-level assessment of the cybersecurity posture of each town as it relates to their election infrastructure.
- (2) Town Network Upgrades – Our office has identified approximately 20 municipalities that have chronic issues with their connections to the networks necessary for the election process. We are providing upgrades to town network connections to state-hosted election systems to eliminate unreliable access.
- (3) Replacement Workstations – The offices of local election officials are chronically underfunded and, as a result, many are using outdated hardware and software that makes them vulnerable to cyberattacks. Our office will provide 50% matching grants to municipalities that commit to investing in upgrading out-dated workstations.
- (4) Virtual Desktop Infrastructure – We have successfully pilot tested a virtual desktop infrastructure which helps secure local elections infrastructure from intrusion. The virtual desktop gives our technicians remote access to local election officials workstations for the purposes of ongoing security checks and upgrades. We will deploy this system statewide over the course of this election cycle.
- (5) Protecting the Connecticut Voter Registration System – Over the course of the past several months, our office has imposed a complex password and a two-factor authentication requirement to gain access to the CVRS. We will continue to require secure passwords in order for local election officials to access the CVRS.
- (6) Upgrade the Connecticut Voter Registration System – CVRS is the backbone of the election administration system in the State of Connecticut. As Connecticut was one of the first states in the country to implement a statewide central voter registration system, that system is beginning to show its age and needs a comprehensive upgrade to increase efficiency and reduce potential vulnerabilities. We will conduct the necessary planning for this upgrade so that implementation can begin upon the conclusion of the 2020 election cycle.
- (7) Security Audit of Vendors – Connecticut's election system runs on the IT services provided by private vendors such as PCC, LHS, Adkins, and IVS. We will conduct a comprehensive security audit of those firms to ensure that their systems are secure, and they are using best security practices.
- (8) Application Development for Secure Systems – We are developing a two-factor authentication system to enhance security for our Election Management System as well. We will also reserve funds to develop applications for the CVRS and the Online Voter Registration System so that we can react to emerging security challenges.
- (9) Online Courses for Certification of Registrars of Voters – The University of Connecticut is converting the certification process for Connecticut's registrars of voters from physical to online courses. A course on cybersecurity is also now included in the certification process. This will streamline the certification process, upgrade skills for local election officials, increase the accessibility of the classes, and clearly identify those registrars who need more help to come up to speed.
- (10) Security Awareness Training for Local Election Officials – The Security Mentor system will provide cybersecurity awareness training for all registrars of voters, town clerks, and moderators. This will raise the awareness of Connecticut's election officials to cybersecurity threats and remind them of best practices in daily operations.
- (11) Trainer to Conduct Classes – We will provide ongoing training classes for local election officials instructing them on best practices in the use of online election systems such as EMS and CVRS.
- (12) Election Support Officers – To enhance engagement with local election officials and improve accountability within our office, the Elections Division at the Office of the Secretary of the State has recently been reconfigured into five Election Support Teams (one for each Congressional District). Each Election Support Team is made up of an Election Attorney, an Election Officer, and a support staffer. In addition, each Election Support Team will have a part time staffer to be designated

"Election Support Officer." Formerly known as "Regional Election Monitors," these officers will be hired by and accountable to the Secretary of the State for the purposes of serving as field support for local election officials. They will also support the post-election audit process in the field.

(13) Election Information Security – Foreign powers have launched disinformation campaigns against the United States designed to sow discord and division amongst the American people. US Intelligence officials see the potential for these campaigns to become weaponized and used to spread disinformation about the election process itself. Our office will hire an Election Information Security Analyst to scan social media and the dark web to identify and counter misinformation about Connecticut's elections process.

(14) Cybersecurity Policy Advisor – The Secretary of the State will, for the 2020 election cycle, be advised on cybersecurity policy by former Deputy Director of National Intelligence Arthur House. In addition to his knowledge of the US Intelligence Community, Mr. House previously served as Cybersecurity Advisor to the Governor of Connecticut. His responsibilities for our office will include engaging with federal agencies and experts to ascertain current intelligence and best practices, serving as a resource to our IT Director, and writing a review of our cybersecurity work to be released in early October 2020.

9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Otherwise enter N/A.

There were no significant program changes or delays.

10. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

There were no significant issues encountered.

11. Provide a description of any security training conducted.

Otherwise enter N/A.

Numerous security training classes were conducted for our location officials. These trainings included over 2,500 local election officials and poll workers.

12. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

There were no subgrants for these funds.

13. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

We were able to meet the required match through state funding used to improve our voter registration system as well as through additional funding for accessible equipment and enhancements to our existing equipment.

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$75,000.00	\$0.00
Post-Election Auditing:	\$287,202.00	\$287,202.00
Voter Registration Systems:		
Cyber Security:	\$995,643.00	\$389,498.00
Communications:	\$365,000.00	\$0.00
Total	\$4,914,253.00	\$676,700.00
Town Grants	\$1,230,291.00	\$0.00
Virtual Desk Top Security	\$747,173.00	\$0.00
Voting System for Disability	\$660,400.00	\$0.00
Ballot Drop Boxes	\$553,544.00	\$0.00

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Denise

Last Name

Merrill

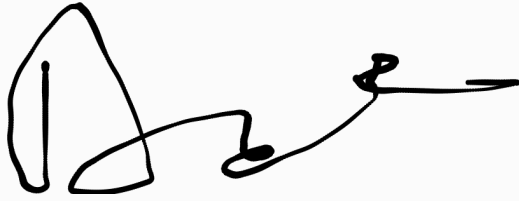
Title

Secretary of the State

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Denise W Merrill

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.