

Federal Financial Report

(Follow form instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Assistance Commission		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) CO18101001	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: Colorado Department of State Street1: 1700 Broadway Street2: Ste. 200 City: Denver County: Denver State: CO: Colorado Province: Country: USA: UNITED STATES ZIP / Postal Code: 80290-0101			
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number	
6. Report Type	7. Basis of Accounting	8. Project/Grant Period	9. Reporting Period End Date
<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	From: 03/23/2018 To: 03/22/2023	09/30/2019
10. Transactions			Cumulative
<i>(Use lines a-c for single or multiple grant reporting)</i>			
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			6,342,979.00
e. Federal share of expenditures			20,337.42
f. Federal share of unliquidated obligations			442,254.00
g. Total Federal share (sum of lines e and f)			462,591.42
h. Unobligated balance of Federal Funds (line d minus g)			5,880,387.58
Recipient Share:			
i. Total recipient share required			317,149.00
j. Recipient share of expenditures			320,325.71
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			167,060.74
m. Program Income expended in accordance with the deduction alternative			130,138.23
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			36,922.51

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:						

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

CO Narrative of FFY 2019 HAVA Expenditures

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: First Name: Middle Name:
 Last Name: Suffix:
 Title:

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:



December 19, 2019

Re: Narrative Summary of HAVA Expenditures in FFY 2019

During this reporting period, the Department expended \$375,026.93 in HAVA funds from two grants as follows¹:

Title I Grant	\$14,125.37 ²
2018 Security Grant ³	\$130,138.23
2018 Security Grant State Match	\$230,763.33
Total	\$375,026.93

The unobligated balance of each grant as of September 30, 2019, including interest earned, is as follows⁴:

Title I Grant	\$0.00
Title II Grant	\$525,226.48
2018 Security Grant	\$5,917,310.09
2018 Security Grant State Match ⁵	\$0.00
Total	\$6,442,536.57

During the FFY 2019 reporting period, we spent grant funds in accordance to our approved state plan and the HAVA act. The following is a summary of the activities for which funds were expended in this reporting period⁶.

Risk-Limiting Audit software development

During the reporting period, the Department expended \$225,217.22 in 2018 Security Grant State Match funds on enhancements to the state's Risk-Limiting Audit software. The contract with Democracy Works to further develop the software extended into 2019. The 2018 general election RLA was successful, but a few key monitoring and reporting features were completed

¹ \$344 was expended in 2018 Security Grant funds for the annual State Treasury Fee

² \$0.01 variance due to rounding error when allocating interest between titles I and II. Missing cent was allocated to Title II and will be spent under that grant

³ No voting system-related equipment was purchased and no sub-grant activities occurred using Title 1 funds during FFY 2019

⁴ Interest is calculated based on the average daily balance for each grant

⁵ State match approved by State legislature did not allow for interest to accrue on state match. Additional State funds were expended to cover any missed interest by the end of 2019 reporting period.

⁶ Detailed expenses for each grant are attached as Exhibits A-C; Exhibit D is the Budget Category Spreadsheet for the 2018 Security Grant federal expenditures

after the general election. The software will be used for all future state and federal election audits. This software is open source, and may be used by other states as they transition to Risk-Limiting Audits.

Grants for the Purchase and Installation of Drop-boxes and Associated Materials

During the reporting period, the Department expended \$2,977.38 in 2018 Security Grants funds and \$562.77 in 2018 Security Grant State Match funds to aid counties in purchasing and installing 24-hour ballot drop boxes⁷. In 2016, Colorado began issuing grants to counties around the state for the purchase and installation of ballot drop boxes. Counties with more than 10,000 active voters were reimbursed up to 80 percent of their costs, while counties with less than 10,000 active voters were reimbursed up to 90 percent of their costs, with a maximum reimbursement of \$4,000.00 per county. Counties could ask for reimbursement for the cost of the purchase, delivery and installation of the drop-box, along with the cost for the purchase, delivery, and installation of equipment needed to keep the box secure, including camera equipment. To date, 45 counties across the state have applied for and received this grant.

These grant funds were used to improve the administration of elections for Federal office in Colorado. In 2013, Colorado began mailing all active voters in the state a ballot. To improve both the speed and safety of returning those ballots, Colorado counties began to place ballot drop-boxes around their communities for voters to use. This grant program encouraged counties either to increase their use of drop boxes or to begin using these valuable tools. Usage of the drop boxes by voters has continued to increase, and the majority of voters now use it to return their ballots around the state.

Payments to the Colorado Department of Public Health and Environment

During the reporting period, the Department expended \$1,260 in 2018 Security Grant State Match funds for data on deceased Colorado Residents from the Colorado Department of Public Health and Environment (CDPHE)⁸. These funds were spent in support of maintaining the currency of the statewide voter registration database.

Election Preparedness for Infrastructure and Cybersecurity (EPIC) training

During the reporting period, the Department expended \$6,125.37 of Title 1 funds, \$800.39 of the 2018 Security Grant funds, and \$546.63 of the 2018 Security Grant State Matching funds to pay for hotel and travel costs for the 2018 EPIC training⁹. Alongside county election and IT officials, the Department held two hour-long tabletop exercises in September of 2018, creating real-life security situations requiring immediate response. The situations involved possible scenarios that could occur leading up to and including election day. Not only did individuals work on how

⁷ HAVA, §101 Payments to States for Activities to Improve Administration of Elections - Increases the number of locations where voters can cast their ballots

⁸ HAVA, §303(a)(1)(B)(iv) Computerized Statewide Voter Registration List Requirements and Requirements for Voters Who Register by Mail – coordination with CDPHE allows for a more accurate list of eligible voters and facilitates compliance with the NVRA.

⁹ 2018 EAC Grant Narrative: Improved Incident Response Exercises and Certification Program Integration

to resolve these situations, but also realize how each event impacted other areas involved with the election. To wrap up the event, county and state officials discussed what they learned, and how they were able to overcome each situation so that all officials could learn from each other and apply to their own offices. Event participants included 167 county staff, 64 moderators, 41 observers, and 15 support staff. The event was also attended by 21 press representatives.

Cybersecurity training and planning

During the reporting period, the Department expended \$1,164.82 of the 2018 Security Grant funds on two cyber-security planning and best practice sharing events.¹⁰ The Department spent \$533.82 to reimburse travel expenses for a county clerk to attend a panel on cyber-security at COGEL. The panel members included the Secretary of state, a department staffer, and a county clerk. The panel discussed the state cyber-security table top exercise and shared best practices and lessons learned with election officials from across the United States and from around the world. The Department spent \$631.00 to provide breakfast and lunch at a GCC/SCC joint planning meeting to discuss the joint sub-sector plan for the 2020 election.

Global Mobile

During the reporting period, the Department expended \$8,000 of Title 1 funds to improve the individual's accessibility to their voter registration, learn about voting location information and important election dates¹¹. The Department worked with Global Mobile, to create a mobile based platform which brought this capability to individuals in Colorado. In addition, Arapahoe County and other metro counties, utilized Global Mobile to help mail ballot voters cure signature discrepancies by using their phone. Individuals were able to accomplish this by taking a picture of their signature along with a copy of their ID to cure a ballot that couldn't otherwise be counted.

SCORE Development

During the reporting period, the Department expended \$43,096.00 of Security Grant funds for contractor development services to make enhancements and improvements to the state's centralized voter registration and election management system, known as SCORE¹². Some specific improvements to which this expenditure was devoted include working with the Colorado Department of Revenue to implement automatic voter registration, redeveloping the system to allow a 17-year old to vote in a primary if they will be 18 for the general election, changes to improve the federal reporting capabilities, and development of the new web-based SCORE system. During the reporting period, the Department expended \$81,728.88 of Security Grant funds to upgrade the SCORE firewalls to next-generation firewalls, which allow for application based blocking and additional functionality to expand SCORE capabilities.

¹⁰ 2018 EAC Grant Narrative: Improved Incident Preparedness Exercises and Certification Program Integration

¹¹ HAVA, §303 Computerized Statewide Voter Registration List Requirements and Requirements for Voters Who Register by Mail; §251(b)(2) – Other Activities

¹² 2018 EAC Grant Narrative: Improved Technology for Both State and County Election Officials; HAVA, §303 Computerized Statewide Voter Registration List Requirements and Requirements for Voters Who Register by Mail

Voting Systems Support to Counties

During the reporting period, the Department expended \$26.76 in Security Grant funds and \$3,176.71 of Security Grant State Matching funds for travel related expenses for county support. Specifically, the office spent \$3,049.83 in State Matching and \$26.76 in Security Grant funds for travel related expenses to perform software and security upgrades to new and existing voting systems. In addition, another \$126.88 was spent on travel to audit the universe of voting systems.

Exhibit A- Title I Grant Expenditures

Document	Record Date	Unit	Sub-Unit	Expense Description	Sub-Obj	Expense Amount
AD,999A,20190000000000072786,1	10/05/2018	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000073281,1	10/08/2018	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000093965,1	11/06/2018	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000095583,1	11/08/2018	HAVA	CYBT	IN-STATE TRAVEL/NON-EMPLOYEE		6,125.37
AD,999A,20190000000000114885,1	12/06/2018	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000134124,1	01/07/2019	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000155403,1	02/07/2019	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000175722,1	03/08/2019	HAVA		ADVERTISING AND MARKETING		1,000.00
AD,999A,20190000000000239558,1	04/04/2019	HAVA		ADVERTISING AND MARKETING		1,000.00
Total						14,125.37

Exhibit B- 2018 Security Grant Expenditures

Document	Record Date	Unit	Sub-Unit	Expense Description	Expense Amount
AD,999A,20190000000000073282,1	10/08/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE MILEAGE REIMBURSEMENT	156.80
AD,999A,20190000000000073941,1	10/09/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE PER DIEM	28.00
AD,999A,20190000000000073942,1	10/09/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE PER DIEM	28.00
AD,999A,20190000000000075984,1	10/11/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE MILEAGE REIMBURSEMENT	99.96
AD,999A,20190000000000075984,1	10/11/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE PER DIEM	5.00
AD,999A,20190000000000075984,1	10/11/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE TRAVEL	34.60
AD,999A,20190000000000077118,1	10/12/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE PER DIEM	28.00
AD,999A,20190000000000077563,1	10/12/2018	HAVA	CYBT	SUPPLIES AND MATERIALS	203.62
AD,999A,20190000000000079902,1	10/17/2018	HAVA	CYBT	OUT-OF-STATE/NON-EMPLOYEE PER DIEM	53.00
AD,999A,20190000000000079902,1	10/17/2018	HAVA	CYBT	OUT-OF-STATE/NON-EMPLOYEE COMMON CARRIER TRAVEL	97.41
AD,999A,20190000000000079902,1	10/17/2018	HAVA	CYBT	OUT-OF-STATE/NON-EMPLOYEE COMMON CARRIER TRAVEL	50.00
AD,999A,20190000000000079902,1	10/17/2018	HAVA	CYBT	PARKING REIMBURSEMENT	16.00
AD,999A,2019000000000268934,1	05/07/2019	HAVA	CYBS	PURCHASED SERVICES	80.36
AD,999A,2019000000000268934,1	05/07/2019	HAVA	CYBS	PURCHASED SERVICES	154.00
AD,999A,2019000000000268934,1	05/07/2019	HAVA	CYBS	PURCHASED SERVICES	187.98
AD,999A,2019000000000268934,1	05/07/2019	HAVA	CYBS	PURCHASED SERVICES	11.48
AD,999A,2019000000000268934,1	05/07/2019	HAVA	CYBS	PURCHASED SERVICES	100.00
AD,999A,2019000000000283833,1	05/29/2019	HAVA	DBOX	GRANTS - COUNTIES	1,703.79
AD,999A,2019000000000283834,1	05/29/2019	HAVA	DBOX	GRANTS - COUNTIES	1,273.59
JV1STND,VAAA,202000000000000281,1	07/16/2019	HAVA		MISCELLANEOUS FEES AND FINES	344.00
EFT,999A,2020000000000020658,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY MAINTENANCE	24,840.00
EFT,999A,2020000000000020658,1	08/01/2019	HAVA	SCRE	FREIGHT	248.88
EFT,999A,2020000000000020658,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY - DIRECT PURCHASE	48,240.00
EFT,999A,2020000000000020658,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY - DIRECT PURCHASE	8,400.00
EFT,999A,202000000000029490,1	08/19/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	14,124.00
EFT,999A,202000000000029490,1	08/19/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	5,104.00
PRM,VAAA,2020000000000007634,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY MAINTENANCE	24,840.00
PRM,VAAA,2020000000000007634,1	08/01/2019	HAVA	SCRE	FREIGHT	248.88
PRM,VAAA,2020000000000007634,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY - DIRECT PURCHASE	48,240.00
PRM,VAAA,2020000000000007634,1	08/01/2019	HAVA	SCRE	INFORMATION TECHNOLOGY - DIRECT PURCHASE	8,400.00
PRM,VAAA,202000000000010379,1	08/19/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	14,124.00
PRM,VAAA,202000000000010379,1	08/19/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	5,104.00
AD,999A,2020000000000044451,1	09/10/2019	HAVA	CYBS	OFFICIAL FUNCTION	219.00
AD,999A,2020000000000044451,1	09/10/2019	HAVA	CYBS	OFFICIAL FUNCTION	412.00
EFT,999A,2020000000000047605,1	09/18/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	23,868.00
PRC1,VAAA,CVTJ4275330002196971,1	09/10/2019	HAVA	CYBS	OFFICIAL FUNCTION	219.00
PRC1,VAAA,CVTJ4275330002196971,1	09/10/2019	HAVA	CYBS	OFFICIAL FUNCTION	412.00
PRM,VAAA,202000000000015162,1	09/18/2019	HAVA	SCRE	PERSONAL SERVICES - INFORMATION TECHNOLOGY	23,868.00
JV1STND,VAAA,202000000000003317,1	10/08/2019	HAVA	TRNG	MOTOR POOL/FLEET COSTS BILLED JULY 2019	26.76
Total					130,138.23

Exhibit C- 2018 Security Grant State Match Expenditures

Document	Record Date	Unit	Sub-Unit	Expense Description	Sub-Obj	Expense Amount
AD,999A,2019000000000095583,1	11/08/2018	HAVA	CYBT	IN-STATE/NON-EMPLOYEE TRAVEL		546.63
AD,999A,2019000000000104463,1	11/21/2018	HAVA	RLA	6211		102,885.00
AD,999A,2019000000000117811,1	12/11/2018	HAVA	RLA	4180		852.22
AD,999A,2019000000000144011,1	01/23/2019	HAVA	RLA	6211		70,130.00
ITA,VAAA,201900000000003784,1	02/22/2019	HAVA		2641		200.00
ITA,VAAA,201900000000003784,1	02/22/2019	HAVA		2641		1,060.00
AD,999A,2019000000000283833,1	05/29/2019	HAVA	DBOX	GRANTS - COUNTIES		562.77
AD,999A,2019000000000298538,1	06/19/2019	HAVA	RLA	6211		51,350.00
GAX,VAAA,2019000000000096729	02/26/2019	1113	VSYS	IN-STATE/EMPLOYEE MILEAGE REIMBURSEMENT	2WHD	126.88
GAX,VAAA,2019000000000041092	10/03/2018	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		69.00
GAX,VAAA,2019000000000041092	10/03/2018	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		99.42
GAX,VAAA,2019000000000044824	10/11/2018	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		116.00
GAX,VAAA,2019000000000044824	10/11/2018	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		251.14
GAX,VAAA,2019000000000049606	10/22/2018	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		56.00
GAX,VAAA,2019000000000049606	10/22/2018	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		101.93
GAX,VAAA,2019000000000148314	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		126.00
GAX,VAAA,2019000000000148314	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		424.77
GAX,VAAA,2019000000000148317	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		140.00
GAX,VAAA,2019000000000148317	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		303.87
GAX,VAAA,2019000000000148320	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		166.00
GAX,VAAA,2019000000000148320	06/24/2019	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		286.25
GAX,VAAA,202000000000006141	07/12/2019	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		126.00
GAX,VAAA,202000000000006141	07/12/2019	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		293.37
GAX,VAAA,202000000000006157	07/12/2019	1113	VSYS	IN-STATE/EMPLOYEE PER DIEM		126.00
GAX,VAAA,202000000000006157	07/12/2019	1113	VSYS	IN-STATE/EMPLOYEE TRAVEL		364.08
Total						230,763.33

Exhibit D

Budget Category	Amount Expended 2018	Amount Expended 2019	Cumulative Total
Voting Equipment	\$6,480	\$0	\$6,480
Election Auditing	\$0	\$0	\$0
Voter Registration Systems	\$0	\$124,825	\$124,825
Cyber Security	\$11,984	\$1,965	\$13,949
Communication	\$0	\$0	\$0
Other	\$0	\$371	\$371
Other	\$1,874	\$2,977	\$4,851
Total	\$20,337	\$130,138	\$150,476