

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) CA20101001			
3. Recipient Organization (Name and complete address including Zip code) CALIFORNIA SECRETARY OF STATE 1500 11TH ST, SACRAMENTO, CA 958145701							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) March 31, 2021			
To: September 30, 2029							
10. Transactions					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts					\$73,502,386.00		
b. Cash Disbursements					\$54,849,352.74		
c. Cash on Hand (line a minus b)					\$18,653,033.26		
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized					\$73,502,386.00		
e. Federal share of expenditures					\$54,849,352.74		
f. Federal share of unliquidated obligations					\$0.00		
g. Total Federal share (sum of lines e and f)					\$54,849,352.74		
h. Unobligated balance of Federal funds (line d minus g)					\$18,653,033.26		
Recipient Share:							
i. Total recipient share required					\$9,516,646.00		
j. Recipient share of expenditures					\$9,516,646.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
Program Income:							
l. Total Federal share of program income earned					\$1,112,642.24		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$0.00		
o. Unexpended program income (line l minus line m and line n)					\$1,112,642.24		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	Fixed	62.7	October 1, 2020	March 31, 2021	\$0.00		\$0.00
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Yang, Fan Accounting Administrator I (Supervisor)					c. Telephone (Area code, number, and extension)		
b. Signature of Authorized Certifying Official Yang, Fan					d. Email Address		
e. Date Report Submitted (Month, Day, Year) April 30, 2021							

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : CA20101001

Recipient Organization : CALIFORNIA SECRETARY OF STATE
1500 11TH ST, SACRAMENTO, CA 958145701 :

DUNS Number

DUNS Status when Certified : ACTIVE (as of 04/30/2021)

EIN :

Reporting Period End Date : March 31, 2021

Status : Report Certified/Pending Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$0
State interest expended (current fiscal year): \$0
Program income earned (current fiscal year): \$0
Program income earned breakdown (current fiscal year): \$0
Program income expended (current fiscal year): \$0

Federal Agency Review

Reviewer Name :
Phone # :
Email :
Review Date :
Review Comments :

EAC Progress Report

Response ID:399 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

California

2. Grant Number:

CA20101001

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

03/31/2021

7. Recipient Organization:

Organization Name

California Secretary Of State

Street Address

1500 11th Street

City

Sacramento

State

CA

Zip

95814

4. Progress and Narrative

8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During this reporting period, the HAVA election security funding (1) reimbursed California counties in the form of county contracts to support county implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities, (2) used for state support of technical security enhancements to the Statewide Voter Registration Database (VoteCal) to ensure the statewide voter registration database remains secure among an ever changing landscape of potential vulnerabilities and changing technology and requirements; (3) provided to California counties to improve the administration of elections through the implementation of vote centers and associated voting process enhancements; (4) provided to California counties for support of polling place accessibility through the training of counties on accessibility requirements and mitigations and providing support to counties to improve accessibility of polling places; and (5) used for associated personnel costs for administration and support of the funding which includes indirect costs for personal services costs of administrative, supervisory, and executive and support units, including accounting, internal audits, legal, information technology, and clerical support.

Counties were also reimbursed for COVID-19 costs to prevent, prepare for, and respond to coronavirus in the November 3, 2020 General Election. The support included county and state support for costs associated with conducting the election in the face of a pandemic including such things as the higher level of voting by mail, ensuring accessibility by all populations, acquiring additional secure ballot drop boxes, staff and poll worker training on cleaning and prevention processes, notifying and educating the public of voting process changes and requirements, acquiring personal protective equipment for election workers and voters, additional staffing costs, cyber and physical security, specialized training of staff and election workers, cleaning and disinfection, and polling locations or election facilities.

9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Otherwise enter N/A.

N/A.

10. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

N/A.

11. Provide a description of any security training conducted.

Otherwise enter N/A.

California counties were reimbursed for cyber security contracts that were provided to California's 58 counties in prior reporting periods. Under these contracts, counties are allowed to submit claims for reimbursement for the implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities. As a condition of receiving funds under the contract, counties must conduct a security assessment and conduct security awareness training for all staff. Training must include cyber security best practices, including how to recognize a phishing email, creating and maintaining strong passwords, utilizing multi-factor authentication, and avoiding dangerous applications. HAVA staff ensured that for any claims of reimbursement during the reporting period, the appropriate cyber security training took place during review of the claims for reimbursement.

12. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A.

13. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

California authorized \$46.1 million in the FY 20-21 state General Fund budget to satisfy the match requirement.

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$33,198,615.39	\$6,406,707.49
Post-Election Auditing:		
Voter Registration Systems:		
Cyber Security:	\$982,288.56	\$189,563.19
Communications:	\$15,127,788.24	\$2,919,378.21
Total	\$49,313,859.08	\$9,516,646.00
Others (describe)Accessibility-related services: Including improving accessibility and quantity of polling places	\$5,166.89	\$997.11

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Daniel

Last Name

Onodera

Title

VoteCal Project Manager

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Daniel Onodera

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.