

# Federal Financial Report

(Follow form Instructions)

OMB Number: 4040-0014  
Expiration Date: 01/31/2019

<b>1. Federal Agency and Organizational Element to Which Report is Submitted</b> US Election Assistance Commission		<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</b> 2018 101 Election Security	
<b>3. Recipient Organization (Name and complete address including Zip code)</b> Recipient Organization Name: Arizona Department of State Street1: 1700 W. Washington St. Street2: 7th Floor City: Phoenix County: State: AZ: Arizona Province: Country: USA: UNITED STATES ZIP / Postal Code: 85007			
<b>4a. DUNS Number</b> _____	<b>4b. EIN</b> _____	<b>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</b> _____	
<b>6. Report Type</b> <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>7. Basis of Accounting</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	<b>8. Project/Grant Period</b> From: 03/30/2018 To: 03/22/2023	<b>9. Reporting Period End Date</b> 09/30/2018
<b>10. Transactions</b> (Use lines a-c for single or multiple grant reporting)			<b>Cumulative</b>
<b>Federal Cash (To report multiple grants, also use FFR attachment):</b>			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
(Use lines d-o for single grant reporting)			
<b>Federal Expenditures and Unobligated Balance:</b>			
d. Total Federal funds authorized			7,463,675.00
e. Federal share of expenditures			13,160.27
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			13,160.27
h. Unobligated balance of Federal Funds (line d minus g)			7,450,514.73
<b>Recipient Share:</b>			
i. Total recipient share required			373,184.00
j. Recipient share of expenditures			0.00
k. Remaining recipient share to be provided (line i minus j)			373,184.00
<b>Program Income:</b>			
l. Total Federal program income earned			36,394.66
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			36,394.66

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
g. Totals:					<input type="text"/>	<input type="text"/>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix:  First Name:  Middle Name:

Last Name:  Suffix:

Title:

b. Signature of Authorized Certifying Official

c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:

## **Arizona's 2018 HAVA Election Security Grant Narrative Report Federal Fiscal Year 2018**

The State of Arizona was awarded \$7,463,675 under the 2018 HAVA Election Security Grant program to be expended in accordance with the requirements of Title I Section 101 of the Help America Vote Act (HAVA) of 2002 (P.L. 107-252). The State has and will use the grant funds for authorized expenditures as outlined in HAVA to:

1. Replace voting equipment that only records a voter's intent electronically with equipment that utilizes a voter verified paper record;
2. Implement a post-election audit system that provides a high level of confidence in the accuracy of the final vote tally;
3. Upgrade election related computer systems to address cyber vulnerabilities identified through Department of Homeland Security, or similar scans or assessments of, existing election systems;
4. Facilitate cybersecurity training for the state chief election official's office and local election officials;
5. Implement established cybersecurity best practices for election systems; and
6. Fund other activities that will improve the security of elections for Federal office.

For the reporting period October 1, 2017 – September 30, 2018, the State expended funds provided under this grant for the following:

- \$13,160.27 in personnel costs for an IT-Temp employee responsible for cybersecurity initiatives for the agency.

In January 2019, Arizona's newly-elected Secretary of State (SOS), the state's Chief Elections Officer, and her administration's leadership team transitioned into office with a commitment to election security, integrity, and transparency. Following a recent review of HAVA expenditures, the SOS's office has determined the expenditures for the reporting period were erroneously expended out of HAVA funds because they were not expended for elections-specific cybersecurity. As a result, the SOS is taking proactive measures to resolve this error by transferring \$13,160.27 out of the 2018 HAVA Election Security Grant fund.. The transfer transaction will take place during federal fiscal year 2019 and will be reflected in next year's report.

The new SOS administration is working on: (1) developing and implementing a short, medium, and long-term elections security plan; (2) completing development and implementation of a new statewide voter registration database that addresses security-related vulnerabilities and ensures protection of voters' information and integrity of the voter rolls; and (3) allocating available funds to each county through sub-grants and working closely with those counties to address their specific elections security needs. These expenditures will be reflected in the next federal financial reporting period.

