**ELECTION ASSISTANCE COMMISSION**

Secretary of State, Alabama

600 Dexter Ave Ste S-105, Montgomery, AL 361303024

<table>
<thead>
<tr>
<th>4a. DUNS Number</th>
<th>4b. EIN</th>
<th>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</th>
<th>6. Report Type</th>
<th>7. Basis of Accounting</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Quarterly</td>
<td>Cash</td>
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</tbody>
</table>

**1. Federal Agency and Organizational Element to Which Report is Submitted**
AL20101001

**2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)**

**3. Recipient Organization (Name and complete address including Zip code)**

**8. Project/Grant Period (Month, Day, Year)**
From: March 28, 2018  
To: September 30, 2099

**9. Reporting Period End Date (Month, Day, Year)**
March 31, 2021

**10. Transactions**

| Federal Cash (To report multiple grants separately, also use FFR Attachment): |
| a. Cash Receipts | $13,088,416.00 |
| b. Cash Disbursements | $3,989,842.37 |
| c. Cash on Hand (line a minus b) | $9,098,573.63 |

| Federal Expenditures and Unobligated Balance: |
| d. Total Federal funds authorized | $13,088,416.00 |
| e. Federal share of expenditures | $4,693,556.20 |
| f. Federal share of unliquidated obligations | $0.00 |
| g. Total Federal share (sum of lines e and f) | $4,693,556.20 |
| h. Unobligated balance of Federal funds (line d minus g) | $8,394,859.80 |

| Recipient Share: |
| i. Total recipient share required | $1,693,624.00 |
| j. Recipient share of expenditures | $308,020.00 |
| k. Remaining recipient share to be provided (line i minus j) | $1,385,604.00 |

| Program Income: |
| i. Total Federal share of program income earned | $259,807.87 |
| m. Program income expended in accordance with the deduction alternative | $0.00 |
| n. Program income expended in accordance with the addition alternative | $0.00 |
| o. Unexpended program income (line i minus line m and line n) | $259,807.87 |

<p>| 11. Indirect Expense |</p>
<table>
<thead>
<tr>
<th>a. Type</th>
<th>b. Rate</th>
<th>c. Period From</th>
<th>Period To</th>
<th>d. Base</th>
<th>e. Amount Charged</th>
<th>f. Federal Share</th>
</tr>
</thead>
<tbody>
<tr>
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<td></td>
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<td></td>
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<tr>
<td>g. Totals:</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
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</tbody>
</table>

**12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:**

Please provide the following information:

**13. Certification:** By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

Merrill, John
Secretary of State

April 30, 2021

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**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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**Report Status:** Report Certified/Pending Agency Approval  
Page 1 of 2  
Printed Date: May 3, 2021
Federal Agency & Organization: ELECTION ASSISTANCE COMMISSION

Federal Grant ID: AL20101001
Recipient Organization: Secretary of State, Alabama
600 Dexter Ave Ste S-105, Montgomery, AL 36130

DUNS Number:
DUNS Status when Certified: ACTIVE (as of 04/30/2021)
EIN:

Reporting Period End Date: March 31, 2021

Status: Report Certified/Pending Agency Approval
Remarks: Please provide the following information:

State interest earned (current fiscal year): $5,274.35
State interest expended (current fiscal year): $0.00
Program income earned (current fiscal year): $0.00
Program income earned breakdown (current fiscal year): $ Source: e.g. Sale of registration list
Program income expended (current fiscal year): $0.00

________________________

Federal Agency Review

Reviewer Name:
Phone #:
Email:
Review Date:
Review Comments:
EAC Progress Report

Response ID:374 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:
   Alabama

2. Grant Number:
   AL20101001

3. Report:
   Semi-Annual (Oct 1 - March 31)

4. Grant:
   Please select only one.
   Election Security

5. Reporting Period Start Date
   10/01/2020

6. Reporting Period End Date
   03/31/2021

7. Recipient Organization:

   Organization Name
   Alabama Secretary Of State's Office
4. Progress and Narrative

8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

The computerized voter registration system continued to be supported through HAVA monies in an effort to administer a secure, uniform voter registration system throughout the entire state. New funds dispersed were used to improve secure voter check-in on election day by providing 7 counties with electronic poll books. Funds were used to purchase print and TV advertisements to recruit poll workers and explain absentee voting changes made due to the COVID-19 pandemic. The final allotment of money used in this time period provided county election officials with more secure email addresses to provide increased cybersecurity protection for elections.

9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Otherwise enter N/A.

N/A

10. Issues Encountered:
Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

N/A

11. Provide a description of any security training conducted.

Otherwise enter N/A.

N/A

12. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Funds from the new 2020 allotment of funds was made available to local jurisdictions for voting equipment replacement and upgrades and improving secure voter check-in protocols on election days. Our office has worked to identify which counties in the state were not currently using electronic poll books and provide the funds for these counties to purchase the updated technology. Thirty-six counties were identified as being eligible these funds for improved secure voter check-in protocols. $1,667,940.68 was dispersed to twenty-six of the thirty-six counties previously while $444,874.00 was disbursed to 7 more counties in this reporting period.

13. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

No match requirements were made during this reporting period.
5. Expenditures


<table>
<thead>
<tr>
<th>GRANT COST CATEGORIES</th>
<th>Federal</th>
<th>Match</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voting Equipment and Processes:</td>
<td></td>
<td></td>
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<tr>
<td>Post-Election Auditing:</td>
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<tr>
<td>Voter Registration Systems:</td>
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<td>Cyber Security:</td>
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<td>Communications:</td>
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<tr>
<td>Total</td>
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<td>COVID-19 Pandemic Response</td>
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<tr>
<td>Election Security</td>
<td>$444,874.00</td>
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OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.
Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.

EAC Progress Report Submission Confirmation and Edit Link

Agency Submission Notification