

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) AK20101001		
3. Recipient Organization (Name and complete address including Zip code) LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF 240 Main St Ste 400, Juneau, AK 998012108							
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	
7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual							
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018					9. Reporting Period End Date (Month, Day, Year) To: September 30, 2019 September 30, 2020		
10. Transactions						Cumulative	
(Use lines a-c for single or combined multiple grant reporting)							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts						\$6,000,000.00	
b. Cash Disbursements						\$0.00	
c. Cash on Hand (line a minus b)						\$6,000,000.00	
(Use lines d-o for single grant reporting)							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized						\$6,000,000.00	
e. Federal share of expenditures						\$3,041,880.99	
f. Federal share of unliquidated obligations						\$1,328,976.76	
g. Total Federal share (sum of lines e and f)						\$4,370,857.75	
h. Unobligated balance of Federal funds (line d minus g)						\$1,629,142.25	
Recipient Share:							
i. Total recipient share required						\$2,313,468.56	
j. Recipient share of expenditures						\$160,099.00	
k. Remaining recipient share to be provided (line i minus j)						\$2,153,369.56	
Program Income:							
l. Total Federal share of program income earned						\$167,982.95	
m. Program income expended in accordance with the deduction alternative						\$0.00	
n. Program income expended in accordance with the addition alternative						\$0.01	
o. Unexpended program income (line l minus line m and line n)						\$167,982.94	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: During this reporting period: state interest accrued: \$34,073.53; state interest expended: \$0.00; program interest accrued: \$61,94.....							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Forrest, Sharon Administrative Officer, Elections					c. Telephone (Area code, number, and extension) d. Email Address		
b. Signature of Authorized Certifying Official Forrest, Sharon					e. Date Report Submitted (Month, Day, Year) December 21, 2020		

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization	:	ELECTION ASSISTANCE COMMISSION
Federal Grant ID	:	AK20101001
Recipient Organization	:	LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF 240 Main St Ste 400, Juneau, AK 998012108
DUNS Number	:	
DUNS Status when Certified	:	
EIN	:	
Reporting Period End Date	:	September 30, 2020
Status	:	Awarding Agency Approval
Remarks	:	

Federal Agency Review

Reviewer Name	:
Phone #	:
Email	:
Review Date	:
Review Comments	:

EAC Progress Report

Response ID:92 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Alaska

2. Grant Number:

AK20101001-01

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Office Of The Lieutenant Governor Of Alaska

Street Address

Po Box 110015

City

Juneau

State

AK

Zip

99801

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

VOTING EQUIPMENT REPLACEMENT AND TRANSPORTATION

During this reporting period, the Division expended funds to execute the purchase of a new voting tabulation system for the State of Alaska. The contract was awarded to Dominion Voting Systems and the configuration process of the system took place during 2019 through 2020. The ballot tabulation system was configured to be a precinct-based voting system with regional count centers. The precinct voting systems also included ballot-marking devices to provide accessible voting for individuals with disabilities, including non-visual accessibility for the blind and visually impaired and those needing language assistance. The Division expended funds to purchased 306 ImageCast Precinct (ICP) tabulators, 446 ImageCast X (ICX) accessible ballot marking devices for the precinct-based voting systems, 7 election management servers for the Division's headquarters and regional offices, 12 adjudication stations, and 10 ImageCast Central high speed scanners along with training and documentation on all the equipment.

Deployment was a multi-stage implementation of analyzing each site, systems installations, acceptance testing, training, pre-election simulations, and evaluating future election cycle needs. The implementation was successful and the voting equipment worked as expected. The new system was first used in the August 18, 2020 primary election and proved both efficient and accurate.

HAVA funds were expended to pay for expenses related to reconfiguring alarmed and secured spaces at the Division's headquarters and regional offices to fit the new election equipment. The rooms are used for housing the election management system, proprietary database servers, racks and voting tabulation equipment.

Funds were expended to provide comprehensive training to all pertinent staff members on how to use the new ballot tabulation system and voting equipment. Funds were also used to pay for travel expenses for staff members to attend system implementation meetings or training sessions.

Funds were also expended to pay for protective transport cases for the voting equipment, SIM cards and communications services for the equipment to transmit results, freight, equipment stands and peripheral items necessary for the operation of the new voting equipment. Peripheral items purchased were items such as ballot boxes, privacy screens, equipment connection cables, headphones, security seals, memory cards, technician programming cards, and accessible tactile interfaces.

During this reporting period, HAVA funds were expended to purchase equipment to support the new voting scanners and adjudication equipment. The equipment was needed to help organize the voting equipment and provide solid working surfaces.

OTHER - CORONAVIRUS MITIGATION

Prior to receiving the CARES Grant, the Division moved forward with expending election security funds to procure protective supplies to prevent coronavirus and prepare for safe voting for the 2020 Federal election cycle. This subtotal represents expenditures early in the pandemic of PPE supplies for election preparation.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

PROJECT: MODERNIZING AND IMPROVING THE VOTING SYSTEM

The first stage of procuring the system has been accomplished and the project is now shifting into an annual maintenance cycle. HAVA funds will be utilized to pay for maintenance, repairs and peripheral needs through 2023. This plan directed conforms to the original program narrative submitted.

ACTIVITY: ELECTION SECURITY

This activity is expected to last three years encompassing the Election cycles of 2020 and 2022. It is anticipated that the projects will have initial ramping costs and that the majority of contractual related costs will come in the off cycle year (2021) and into 2022. Due to the coronavirus, the divisions efforts were delayed in 2020, but the two major arms of the division's plan will have the following steps started in 2021. The first would be to improve the control the Division has over public information. This would include exercises related to both the internal content (which the division provides via its information services) as well as exercises related to the control of social media narratives that pertain to the administration of any given election. The second project would build out tools and staff positions to focus on improving election security and transparency in an iterative way. The first iteration being the analysis of the various forms of data that the division captures. That analysis would be done with a view to establishing baselines for normal behaviors and identifying anomalies. It is the Division's goal to synergize these projects, significantly enhancing the division's responsiveness and awareness.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

Voting equipment purchased in this period:

306 ImageCast Precinct (ICP) tabulators;

446 ImageCast X (ICX) accessible ballot marking devices for the precinct-based voting systems;

7 election management servers for the Division's headquarters and regional offices;

12 adjudication stations;

10 ImageCast Central high speed scanners;

Peripheral equipment for the systems along with training and documentation.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

No security training conducted during this period.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Match was met through two separate appropriations from the state. A first match of \$1,626,612 in general funds was appropriated in FY19 to the Election Fund as a result of a reappropriation in Ch. 19, SLA 2018, Sec. 15(b). A second match of \$600,000 in general funds was appropriated in 2020 to the Election Fund in HB 234, Section 19 (a); SB 174, Section 19 (a).

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

Due to the coronavirus, the Division's energies were focused on pandemic mitigation and safe voting in 2020. Hiring of a data analyst and public information office for election security activities was delayed, but the Division plans to refocus attention to this project in 2021.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

With regard to the project to modernize and improving Alaska's voting system, the state will continue utilizing the funds to pay for annual maintenance of the new ballot tabulation system.

With regard to the election security focus, a public information officer will be brought on board with the Division. This individual will assist the division (potentially leveraging a PR firm) to extend its capacity and controls around information provided in the social media space. A campaign will be launched to raise public awareness of election security preparedness, with the goal of improving voter confidence and to focus the public on reliable election information.

Additionally, a data analyst will be brought on board with the Division. The individual will develop, procure and learn tools related to cyber incident detection. (In 2021 most of this work will be setting up tools and procedures to monitor various elements of the division cyber posture). The data analyst will also be developing a comprehensive data model for the division (bringing together and coordinating data from various independent systems).

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:		
Post-Election Auditing:		
Voter Registration Systems:	\$4,334,310.49	\$158,175.46
Cyber Security:		
Communications:		
Total	\$4,349,876.01	\$158,994.70
Coronavirus Mitigation	\$15,565.52	\$819.24

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Sharon

Last Name

Forrest

Title

Administrative Officer

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Sharon Forrest

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.