

# Federal Financial Report

(Follow form Instructions)

OMB Number: 4040-0014  
Expiration Date: 01/31/2019

<b>1. Federal Agency and Organizational Element to Which Report is Submitted</b> <input style="width: 95%; height: 20px;" type="text" value="U.S. Election Assistance Commission"/>	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</b> <input style="width: 95%; height: 20px;" type="text" value="CFDA # 90.404"/>
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**3. Recipient Organization (Name and complete address including Zip code)**

Recipient Organization Name:

Street1:

Street2:

City:  County:

State:  Province:

Country:  ZIP / Postal Code:

<b>4a. DUNS Number</b> <input style="width: 95%;" type="text" value="806782546"/>	<b>4b. EIN</b> <input style="width: 95%;" type="text" value="74-6000143"/>	<b>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</b> <input style="width: 95%;" type="text" value="0507"/>
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<b>6. Report Type</b> <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>7. Basis of Accounting</b> <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	<b>8. Project/Grant Period</b> From: <input style="width: 30%;" type="text" value="03/23/2018"/> To: <input style="width: 30%;" type="text" value="03/22/2023"/>	<b>9. Reporting Period End Date</b> <input style="width: 95%;" type="text" value="09/30/2019"/>
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10. Transactions	Cumulative
<i>(Use lines a-c for single or multiple grant reporting)</i>	
<b>Federal Cash (To report multiple grants, also use FFR attachment):</b>	
a. Cash Receipts	23,252,604.00
b. Cash Disbursements	2,154,797.45
c. Cash on Hand (line a minus b)	21,097,806.55
<i>(Use lines d-o for single grant reporting)</i>	
<b>Federal Expenditures and Unobligated Balance:</b>	
d. Total Federal funds authorized	23,252,604.00
e. Federal share of expenditures	2,154,797.45
f. Federal share of unliquidated obligations	0.00
g. Total Federal share (sum of lines e and f)	2,154,797.45
h. Unobligated balance of Federal Funds (line d minus g)	21,097,806.55
<b>Recipient Share:</b>	
i. Total recipient share required	1,162,630.00
j. Recipient share of expenditures	11,055.00
k. Remaining recipient share to be provided (line i minus j)	1,151,575.00
<b>Program Income:</b>	
l. Total Federal program income earned	673,694.57
m. Program Income expended in accordance with the deduction alternative	0.00
n. Program Income expended in accordance with the addition alternative	673,694.57
o. Unexpended program income (line l minus line m or line n)	0.00

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<input type="text"/>						
<input type="text"/>						
g. Totals:				<input type="text"/>	<input type="text"/>	<input type="text"/>

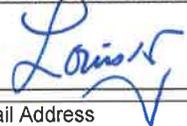
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix:  First Name:  Middle Name:   
 Last Name:  Suffix:   
 Title:

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:

# The State of Texas



Elections Division  
P.O. Box 12060  
Austin, Texas 78711-2060  
www.sos.state.tx.us

Phone: 512-463-5650  
Fax: 512-475-2811  
Dial 7-1-1 For Relay Services  
(800) 252-VOTE (8683)

Ruth R. Hughs  
Secretary of State

State HAVA Funding Reports  
U.S. Election Assistance Commission  
1335 East West Highway  
Suite 4300  
Silver Spring, MD 20910

RE: Help America Vote Act ("HAVA") Title I, Section 101 Financial Activity Report for October 1, 2018 thru September 30, 2019 ("HAVA Election Security Grant")

To Whom It May Concern:

The purpose of this correspondence is to report on the Texas HAVA Title I, Section 101 financial activity for the time period covering October 1, 2018 through September 30, 2019 as required by the U.S. Election Assistance Commission. Specifically, this report will provide the following:

- A list of expenditures for each Budget Category to which the state allocated funds on the EAC Budget Worksheet with a total of all those expenditures for the year.
- An analysis and description of activities by Budget Category to which the state allocated funds on the EAC Budget Worksheet and how those activities meet the plans outlined in the Program Narrative.
- Descriptions of the major categories of subgrant activities local voting districts will accomplish with the funds (if the state subgranted funds during the reporting period to local election districts).
- A description of any security training conducted and the number of participants.
- The number and type of any voting system-related equipment purchased with HAVA funds.

The Federal Financial Report for Title I, Section 101 ("HAVA Election Security Grant") is attached to this report.

## Expenditures by Budget Category

Personnel = \$11,055

Training = \$0

All Other Costs<sup>1</sup> = \$2,609,045

## Program Narrative Analysis

Under the "Election Auditing and Cyber Vulnerabilities: Security Services for Counties" section of the Texas 2018 HAVA Election Security Grant Funding Narrative, Texas stated it was in the process of acquiring a subscription for a cybersecurity training course, which will be made available, free of charge, to election officials in all 254 counties. Texas acquired the software in August 2018.

Although funding was not expended in FY2019, election officials did utilize the training. Texas also

hired two election security trainers to provide technical assistance to counties regarding election security. Texas will update the Texas 2018 HAVA Election Security Grant Funding Narrative accordingly.

Under the “Voter Registration Systems and Management and Election Management: Security Enhancements and Integration” section of the narrative, Texas specified that it would use funding to make security enhancements to its HAVA-mandated voter registration system (“TEAM”). During the report period, the Texas Secretary of State’s Office (“SOS”) worked with its voter registration system software vendor to make security updates to the TEAM system, including election management integration.

### **Subgrants**

Although no subgrants have been made, SOS has partnered with the Texas Department of Information Resources (DIR) to provide election security assessments to any Texas county through DIR’s Managed Security Services program as described in the “Election Auditing and Cyber Vulnerabilities: Security Services for Counties” section of the program narrative. \$1,223,709 was paid in FY2019 covering 34 counties.

### **Training**

As mentioned above, the state acquired cybersecurity training software made available to election officials in all 254 counties. Approximately 900 officials utilized the training in FY2019.

### **Voting System-related Equipment**

No voting system equipment purchases were made during the report period.

If you have additional questions, please do not hesitate to contact me at [dglotzer@sos.texas.gov](mailto:dglotzer@sos.texas.gov) or 512-463-9861.

Sincerely,



Dan Glotzer  
Election Funds Manager

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<sup>1</sup> “All Other Costs” is comprised of enhancements to existing computer systems, additional computer-related products and services, and other services relating to contracts, many of which will be made available through cooperative purchasing programs, as described in Texas’ 2018 HAVA Election Security Grant Funding Narrative.