

# Federal Financial Report

(Follow form Instructions)

OMB Number: 4040-0014  
Expiration Date: 01/31/2019

<b>1. Federal Agency and Organizational Element to Which Report is Submitted</b> U.S. Election Assistance Commission		<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</b> NE18101001 90.404 2018ElectSec	
<b>3. Recipient Organization (Name and complete address including Zip code)</b> Recipient Organization Name: State of Nebraska - Secretary of State Street1: P.O. Box 94608 Street2: City: Lincoln County: State: NE: Nebraska Province: Country: USA: UNITED STATES ZIP / Postal Code: 68509-4608			
<b>4a. DUNS Number</b> 188197743	<b>4b. EIN</b> 47-0491233	<b>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</b> 	
<b>6. Report Type</b> <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>7. Basis of Accounting</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	<b>8. Project/Grant Period</b> From: 03/23/2018 To: 03/22/2023	<b>9. Reporting Period End Date</b> 09/30/2019
<b>10. Transactions</b> (Use lines a-c for single or multiple grant reporting)			<b>Cumulative</b>
<b>Federal Cash (To report multiple grants, also use FFR attachment):</b>			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
(Use lines d-o for single grant reporting)			
<b>Federal Expenditures and Unobligated Balance:</b>			
d. Total Federal funds authorized			3,496,936.00
e. Federal share of expenditures			79,187.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			79,187.00
h. Unobligated balance of Federal Funds (line d minus g)			3,417,749.00
<b>Recipient Share:</b>			
i. Total recipient share required			174,847.00
j. Recipient share of expenditures			88,760.00
k. Remaining recipient share to be provided (line i minus j)			86,087.00
<b>Program Income:</b>			
l. Total Federal program income earned			105,338.00
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			105,338.00

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
n/a						
g. Totals:						

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix:  First Name:  Middle Name:

Last Name:  Suffix:

Title:

b. Signature of Authorized Certifying Official

c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:

**2019 HAVA Election Security Grant Progress Narrative**  
**State of Nebraska**  
**Fiscal Year 2018/2019**

The grant has been used to improve the administration of elections for Federal offices, including enhancing election technology and making election security improvements as outlined in our original budget and program narrative. The funds expended in fiscal year 2018/2019 from the federal portion of the Help America Vote Act (HAVA) 2018 Election Security Grant are summarized in the table below.

Budget Category	Amount Expended 2018	Amount Expended 2019	Cumulative Total
(a) Voting Equipment	\$0	\$0	\$0
(b) Election Auditing	\$0	\$0	\$0
(c) Voter Registration Systems	\$21,000	-\$19,190	\$1,810
(d) Cyber Security	\$0	\$48,680	\$48,680
(e) Communication	\$2,207	\$24,040	\$26,247
(f) Resources for Voters with Disabilities	\$0	\$2,450	\$2,450
(g) Election Night Reporting	\$0	\$0	\$0
<b>Total</b>	<b>\$23,207</b>	<b>\$55,980</b>	<b>\$79,187</b>

The Nebraska Legislature took up the request for the state matching funds in the 2019 legislative session and approved the state match. We began spending state match funds in the 2018/2019 fiscal year. See the Voter Registration Systems section below for more information.

**A. Voting Equipment**

No emergency replacement of election equipment was necessary this past fiscal year. However, the funds in this budget category are being used in conjunction with funds appropriated by the Nebraska Legislature for the purchase of new election equipment statewide, which is expected to be spent in 2020.

**B. Election Auditing**

No funds are budgeted in this category in our current program narrative.

**C. Voter Registration Systems**

The Secretary of State implemented a two-party authentication process for the statewide voter registration system prior to the 2018 General Election. This effort ensures that should any individual user's credentials be compromised, the system will remain secure because the tokens institute and require a newly generated code in order to access the system. The tokens used to generate the random codes were purchased and reported last fiscal year. Since that time, some costs were shifted to state general funds due to savings at the end of our state budget year. This accounts for the negative amount expended in 2019 for (c) Voter Registration Systems. There are monthly monitoring charges that will be an ongoing cost for the system that will be reflected in the next fiscal year.

Nebraska also plans to join a statewide collaborative regarding voter list maintenance. Funds will be used to pay membership fees and mailing costs associated with this list maintenance collaborative.

**2019 HAVA Election Security Grant Progress Narrative**  
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Work also was done this year to implement enhancements to the voter registration system. In fiscal year 2018/2019, \$88,760 in state matching funds were spent on these enhancements. Additional enhancements continue to be identified and are scheduled to be put into production and paid for in 2020.

**D. Cyber Security**

Work is underway to place Albert Sensors at strategic locations across the state. This program will monitor suspicious activity on election related networks in real time with the assistance of the Elections Infrastructure – Information Sharing Analysis Center (EI-ISAC). There were some hardware expenses incurred by the end of the fiscal year. Additional expenses on this project have been paid since the end of the fiscal year that will be reflected on next year's report.

**E. Communication & Training**

The Secretary of State's office spent more than \$25,000 on expenses relating to communications & training during the past fiscal year. Members of the Elections Division attended election certification training courses held throughout the country. The expenditures also included costs that were incurred by Elections Division staff traveling to various counties throughout the state to provide refresher trainings on election administration and security related topics.

The Secretary of State hosted Election Security training this fiscal year via a tabletop exercise conducted by Homeland Security in which 15 people attended in Nebraska. An Election Training and Security Conference was held right after the fiscal year ended, in October 2019, and costs for the conference will be reflected in the next fiscal year.

Finally, a Training and Implementation Coordinator was hired after the current fiscal year ended that will coordinate the equipment replacement project as well as provide ongoing training to the counties regarding election administration and security. Costs associated with the position will be reflected starting next fiscal year.

**F. Resources for Voters with Disabilities**

There were purchases made for equipment requested by counties for use in their polling sites in the 2018 general election. Additional funds will be used to continue these purchases for the 2020 election. In addition, we are preparing for possible ADA equipment needs after the 2020 census if additional precincts are added after redistricting.

**G. Election Night Reporting**

The Secretary of State's office plans to put into service air-gapped computers for the 2020 election cycle in conjunction with the equipment replacement project.