

Federal Financial Report
(Follow form Instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted US Department of Health and Human Services US Elections Assistance Commission		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) IN18101001/2018 Election Sec	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: State of Indiana - Secretary of State Street1: 200 West Washington St Street2: City: Indianapolis County: Marion State: IN: Indiana Province: Country: USA: UNITED STATES ZIP / Postal Code: 46204-2731			
4a. DUNS Number 360742785	4b. EIN 35-6000158	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) _____	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	8. Project/Grant Period From: 03/23/2018 To: 03/22/2023	9. Reporting Period End Date 09/30/2019
10. Transactions (Use lines a-c for single or multiple grant reporting)			Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			7,595,088.00
b. Cash Disbursements			6,934,989.06
c. Cash on Hand (line a minus b)			660,098.94
(Use lines d-o for single grant reporting)			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			7,595,088.00
e. Federal share of expenditures			6,934,989.06
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			6,934,989.06
h. Unobligated balance of Federal Funds (line d minus g)			660,098.94
Recipient Share:			
i. Total recipient share required			379,754.00
j. Recipient share of expenditures			379,754.00
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			178,682.88
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			178,682.88

11. Indirect Expense						
a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<input type="text"/>						
<input type="text"/>						
g. Totals:				<input type="text"/>	<input type="text"/>	<input type="text"/>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

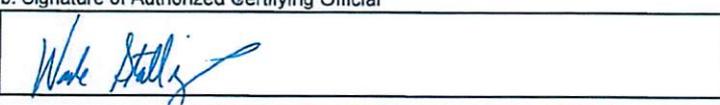
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: First Name: Middle Name:

Last Name: Suffix:

Title:

b. Signature of Authorized Certifying Official	c. Telephone (Area code, number and extension)
	<input type="text" value="317-234-8009"/>

d. Email Address	e. Date Report Submitted	14. Agency use only:
<input type="text" value="wstallings@sos.in.gov"/>	<input type="text" value="12/11/2019"/>	



Secretary of State

State of Indiana

December 21, 2018
Brian Newby, Executive Director
U.S. Election Assistance Commission
1335 East-West Highway, Suite 4300
Silver Spring, MD 20910

Re: Indiana HAVA Cybersecurity Program Progress Narrative

Dear Mr. Newby:

This letter provides a narrative of progress accomplished in relation to Indiana's current spend of the \$7,595,088 in HAVA Elections Security Grant Funds to continue Indiana's investment in election security. Since July of 2018, Indiana has completed the following objectives:

1. Established incident response plan role and responsibilities, development of cyber risk scenario and responses, communication response process flows, and an incident response checklist. Additionally, an incident response contact list was developed for all 92 counties, election management vendors, Secretary of State and Indiana Election Division offices, and local authorities;
2. All 92 counties are now using a form of multifactor authentication. 71 counties are using token authentication. Indiana will require all 92 counties to use token authentication prior to the 2019 Municipal Primary Election;
3. Developed a grant-funding program. The Secretary of state's office received and approved 11 county grants totaling to \$22,017.60;
4. Began planning for the deployment of auditable voting systems by 2020;
5. Technology evaluations for operating systems, email encryption, document scanning, password strength meters, and a system evaluation of NIST security standard, 800-63 Digital Identity Guidelines;
6. A third-party penetration testing vendor catalog was developed to enable Indiana to pursue qualified penetration-testing services for SVRS, electronic poll books, and other related election system infrastructure after the 2018 Midterm Elections;
7. Facilitated cybersecurity training to county officials during the Annual Election Administrator's Conference.

The Secretary of State's office is continuing to work with the Indiana General Assembly for future replacement of voting equipment since the required budget to replace direct-recording electronic voting machines without a voter-verified paper trail requires a larger amount than the available 2018 HAVA Elections Security Grant Funds.

Sincerely,

Connie Lawson
Indiana Secretary of State

Instructions: In column C, please enter the total 2019 federal + federal interest expenditures in each listed budget category. Do not include any state expenditures.

Budget Category	Amount Expended 2018	Amount Expended 2019	Cumulative Total
Voting Equipment	\$0	\$0	\$0
Election Auditing	\$0	\$0	\$0
Voter Registration Systems	\$0	\$0	\$0
Cyber Security	\$0	\$6,698,448	\$6,698,448
Communication	\$0	\$0	\$0
Other (physical security)	\$0	\$236,542	\$236,542
Other	\$0	\$0	\$0
Total	\$0	\$6,934,989	\$6,934,989