



# NORTH CAROLINA

## State Board of Elections & Ethics Enforcement

Mailing Address:  
P.O. Box 27255  
Raleigh, NC 27611-7255

Phone: (919) 814-0700  
Fax: (919) 715-0135

June 15, 2018

Brian Newby, Executive Director  
U.S. Election Assistance Commission  
1335 East-West Highway, Suite 4300  
Silver Spring, MD 20910

Dear Mr. Newby:

The purpose of this letter is to certify that the State of North Carolina will use the funds provided under the Notice of Grant Award, NC18101001 for activities consistent with the laws described in Section 906 of HAVA and will not use the funds in a manner that is inconsistent with the requirements of Title III of HAVA.

We further certify that we have reviewed and accept the terms of the award as specified in the Notice of Grant Award. Our UEI number (formerly DUNS) is 003406050 and the signed Certification Regarding Lobbying is enclosed.

We are requesting the full grant amount of \$10,373,237 at this time. Our efforts to develop our program narrative and budget are underway. We have secured the full state match of \$518,662 from the North Carolina General Assembly for Fiscal Year 2018-2019, and are working with state and federal partners to prioritize the use of state and federal funds as described below.

- We are modernizing our Statewide Elections Information Management System. This system includes the statewide voter registration system, election event management (absentee voting, early voting, Election Day voting, provisional voting), voting site management, board administration and election night reporting. This system is utilized by all 100 of our county boards of elections. The current system is more than a decade old and is decentralized, requiring hardware and infrastructure maintenance in all 100 counties. To go live with this system ahead of the 2020 presidential election, we will need funding of approximately \$5 million.
- We have learned over the past year the essential need for cybersecurity expertise. This expertise is foundational for improving decision-making that impacts election technology. A Chief Information Security Officer (CISO) would facilitate continual monitoring of our systems for vulnerabilities and provide technical guidance to the 100 county boards of elections.
- Over the past two election cycles we have developed a post-election audit program to identify any discrepancies that could affect an election. As a result of the new known security concerns, we are expanding and enhancing this audit program.
- Understanding that our new Statewide Elections Information Management System will not go live until late 2019, we must do everything possible to bolster the security of our current system and reinforce the hardware and infrastructure in our 100 counties for the upcoming elections.

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- Training is an essential component to any election. Thousands of election workers are trained for each election in North Carolina. Our goal is to expand the content of our programs to include training on detecting, preventing and responding to cyber- attacks.
  - Continue to implement recommendations from the Department of Homeland Security and other security professionals to mitigate and, if possible, eliminate vulnerabilities in our systems at the State and county levels.

The actual narrative submission and budget will be submitted to the EAC by the July 16, 2018 deadline.

If you have any questions about this request, please contact me at (919) 814-0747 or [kim.strach@ncsbe.gov](mailto:kim.strach@ncsbe.gov).

Sincerely,



Kim Westbrook Strach  
Executive Director

cc: Mark Abbott, Director of Payments and Grants



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To: US Election Assistance Commission

### Certification Regarding Lobbying

#### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Kim Westbrook Strach	Executive Director	NC State Board of Elections & Ethics Enforcement
NAME	TITLE	ORGANIZATION
<i>Kim Westbrook Strach</i>		6-15-18
SIGNATURE		DATE

ii) Recipient integrity and performance matters. If the total Federal share of the Federal award may include more than \$500,000 over the period of performance, the Federal awarding agency must include the term and condition available in Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters. See also 2 C.F.R. §200.113 Mandatory disclosures.