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JOHN H. MERRILL
SECRETARY OF STATE

May 21, 2018

The Honorable Brian Newby, Executive Director
1335 East-West Highway, Suite 4300
Silver Spring, MD 20910

Dear Mr. Newby:

The purpose of this letter is to certify that the State of Alabama will use the funds provided under the Notice of Grant Award and Agreement applicable to State of Alabama which is pending conveyance by the U.S. Election Assistance Commission to the State of Alabama, for activities consistent with the laws described in Section 906 of the Help America Vote Act (HAVA) and will not use the funds in a manner that is inconsistent with the requirements of Title III of HAVA.

We further certify that we will review and accept the terms of the award as specified in the Notice of Grant Award. Our UEI numbers are: Title I: CFDA #39.011; Title II: CFDA #90.401/DUNS # 079333469 and the signed Certification Regarding Lobbying is enclosed.

We are requesting \$6,160,393 at this time. The timeline of implementation shall be over the five-year grant award period and will include, as a brief overview, a program narrative as follows:

- County Voter Registration Computer Upgrades
 - Ransomware Protection for the county computers
 - 2nd Factor Authentication for all users accessing the Voter Registration System (Already Implemented at the time of this letter)
- Implement Procedures and Policies to Implement Post-Election Audits of Election Results
 - To implement the state would need to convene the Alabama Electronic Voting Committee and draft and pass an administrative rule. This committee is given rule making authority regarding the regulations of these issues in Code of Alabama Section 17-7-25.
- Continued implementation of the Secure Statewide Voter Registration System
 - MS-ISAC monitoring server installation
 - Including additional two-factor authentication tokens

If you have any questions about this request please contact John Bennett, Deputy Chief of Staff at 334.220.4757 or john.bennett@sos.alabama.gov or Renee Reese, Finance Director, at 334.353.2022 or renee.reese@sos.alabama.gov.

Sincerely,

John H. Merrill
Alabama Secretary of State

CC: Mark Abbott, Director of Payments and Grants

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To: US Election Assistance Commission

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that: (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement. (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

John H. Merrill
NAME

SECRETARY OF STATE
TITLE

SECRETARY OF STATE'S OFFICE
STATE OF ALABAMA
ORGANIZATION

J. H. Merrill
SIGNATURE

MAY 21, 2018
DATE

ii) Recipient integrity and performance matters. If the total Federal share of the Federal award may include more than \$500,000 over the period of performance, the Federal awarding agency must include the term and condition available in Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters. See also 2 C.F.R. §200.113 Mandatory disclosures.

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