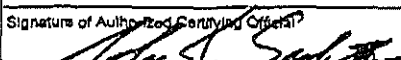


# FINANCIAL STATUS REPORT (Long Form)

(Follow instructions on the back)

|   |  |   |   |  |   |
|---|--|---|---|--|---|
| 1. Federal Agency and Organizational Element to Which Report is Submitted<br>Elections Assistance Commission  |  | 2. Federal Grant or Other Identifying Number Assigned By Federal Agency<br>Title II Section 251 Requirements Payments |   | OMB Approval No.<br>0348-0039  | Page of<br>1 5<br>pages   |
| 3. Recipient Organization (Name and complete address, including ZIP code)<br>Office of the Secretary of State, 148 State House Station, Augusta, ME 04333   |  |   |   |  |   |
| 4. Employer Identification Number<br>01-6000001   |  | 5. Recipient Account Number or Identifying Number   |   | 6. Final Report<br><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7. Basis<br><input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual |
| 8. Funding Grant Period (See Instructions)<br>From: (Month, Day, Year)<br>8/19/2004   |  | 9. Period Covered by this Report<br>From: (Month, Day, Year)<br>10/1/2004   |   | To: (Month, Day, Year)<br>9/30/2005  |   |
| 10. Transactions:   |  | I<br>Previously Reported  | II<br>This Period   | III<br>Cumulative  |   |
| a. Total outlays  |  | 0.00  | 568,269.04  | 568,269.04   |   |
| b. Refunds, rebates, etc.   |  |   |   | 0.00   |   |
| c. Program income used in accordance with the deduction alternative   |  |   |   | 0.00   |   |
| d. Net outlays (Line a, less the sum of lines b and c)  |  | 0.00  | 568,269.04  | 568,269.04   |   |
| Recipient's share of net outlays, consisting of:  |  |   |   | 0.00   |   |
| e. Third party (in-kind) contributions  |  |   |   | 0.00   |   |
| f. Other Federal awards authorized to be used to match this award   |  |   |   | 0.00   |   |
| g. Program income used in accordance with the matching or cost sharing alternative  |  |   |   | 0.00   |   |
| h. All other recipient outlays not shown on lines e, f or g   |  | 0.00  | 45,262.50   | 45,262.50  |   |
| i. Total recipient share of net outlays (Sum of lines e, f, g and h)  |  | 0.00  | 45,262.50   | 45,262.50  |   |
| j. Federal share of net outlays (line d less line i)  |  | 0.00  | 523,006.54  | 523,006.54   |   |
| k. Total unliquidated obligations   |  |   |   |  |   |
| l. Recipient's share of unliquidated obligations  |  |   |   |  |   |
| m. Federal share of unliquidated obligations  |  |   |   |  |   |
| n. Total Federal share (sum of lines j and m)   |  |   |   | 523,006.54   |   |
| o. Total Federal funds authorized for this funding period   |  |   |   | 11,596,803.00  |   |
| p. Unobligated balance of Federal funds (Line o minus line n)   |  |   |   | 11,073,796.46  |   |
| Program income, consisting of:  |  |   |   |  |   |
| q. Disbursed program income shown on lines c and/or g above   |  |   |   |  |   |
| r. Disbursed program income using the addition alternative  |  |   |   |  |   |
| s. Undisbursed program income   |  |   |   |  |   |
| t. Total program income realized (Sum of lines q, r and s)  |  |   |   | 0.00   |   |
| 11. Indirect Expense  | a. Type of Rate (Place "X" in appropriate box)<br><input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed |   |   |  |   |
|   | b. Rate  | c. Base   | d. Total Amount   | e. Federal Share   |   |
| 12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.<br>The Maine Legislature appropriated \$610,627 which will serve to fully meet the required State match for Title II Funds. The Secretary of State has secured the match in the Election Fund. Please see attachments for further information. |  |   |   |  |   |
| 13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.   |  |   |   |  |   |
| Typed or Printed Name and Title<br>John T. Smith, Deputy Secretary of State   |  |   | Telephone (Area code, number and extension)<br>207-626-8409 |  |   |
| Signature of Authorized Certifying Official<br>  |  |   | Date Report Submitted<br>March 30, 2006                     |  |   |

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**Maine Department of the Secretary of State**  
**Expenditures of Title II HAVA Funds: October 1, 2004 - September 30, 2005**

**Sec 301 Title 3 Compliance**

|  |               |
|--|---------------|
| CVR municipal site equipment - scanner                 | \$ 899.00     |
| CVR Project Vendor Deliverables - system configuration | \$ 326,786.59 |
| CVR system security configuration                      | \$ 1,890.00   |
| CVR Test Environment equipment                         | \$ 66,311.00  |
| IT Services and Infrastructure                         | \$ 127,049.25 |
| Overnight Delivery Charges - CVR Vendor                | \$ 70.70      |

**Total Section A \$ 523,006.54**

**Sec 303 Computerized Statewide Voter Registration**

\$ -

**Total Section B \$ -**

**Total Expenses for period Oct 1, 2004 - Sep 30, 2005 \$ 523,006.54**

**Interest Earned Oct 1, 2004 - Sep 30, 2005\* \$ 347,592.10**

\* Interest amount stated on this report includes all interest earned on Title I and Title II HAVA funds. Apportioning the interest funds to each Title for reporting purposes is not possible to do with any accuracy because Title I funds and Title II funds are comingled in the State's Federal Election Fund. All interest is accrued back into the Federal Election Fund and will be used in compliance with HAVA.

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**Maine Department of the Secretary of State**  
**Expenditures of State Match HAVA Funds: October 1, 2004 - September 30, 2005**

|   |                        |                     |
|---|------------------------|---------------------|
| <b>Sec 301 Title 3 Compliance</b>                           |                        |                     |
| Project Management and Reporting Services                   | 45,262.50              |                     |
|   | <b>Total Section A</b> | <b>\$ 45,262.50</b> |
| <b>Sec 303 Computerized Statewide Voter Registration</b>    |                        |                     |
|   | \$ -                   |                     |
|   | <b>Total Section B</b> | <b>\$ -</b>         |
| <br>  |                        |                     |
| <b>Total Expenses for period Oct 1, 2004 - Sep 30, 2005</b> |                        | <b>\$ 45,262.50</b> |
| <br>  |                        |                     |
| <b>Interest Earned Oct 1, 2004 - Sep 30, 2005*</b>          |                        | <b>\$ 12,476.87</b> |

\* A total of \$2,783.48 of interest was earned on State Matching funds prior to this reporting period. This interest has not previously been reported since no State Match funds were expended prior to this reporting period and therefore no similar accounting of State Match funds had been filed. All interest on State Match funds is accrued back into the HAVA Election Fund and will be used in compliance with HAVA.

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**State of Maine Department of the Secretary of State  
Expenditures of Title II HAVA Funds - State Plan Conformity**

| HAVA Requirements   | Title II Funds<br>State Plan Projections |                  | Title II Funds<br>State Plan Expended<br>Federal FY 2004 | Title II Funds<br>State Plan Expended<br>Federal FY 2005 | Title II Funds<br>State Plan Expended All<br>Federal Fiscal Years |
|---|--|------------------|--|--|---|
|   | Low                                      | High             |  |  |   |
| Voting System Standards (sec. 201)<br>(Purchase, Implementation, Administration and<br>Maintenance of DRE's or other compliant<br>voting systems)   | \$ 4,500,000.00                          | \$ 9,500,000.00  | 0.00   | 523,006.54   | 523,006.54  |
| Computerized Statewide Voter Registration<br>(sec. 203)<br>(Purchase, Implementation, Administration and<br>Maintenance)  | \$ 5,500,000.00                          | \$ 10,500,000.00 | 0.00   | 0.00   | 0.00  |
| Voter Education and Outreach & Election<br>Official Training to Assist in Meeting Title III<br>Requirements (sec. 254)  | \$                                       | \$               | 0.00   | 0.00   | 0.00  |
| State Plan Creation and Management of<br>HAVA Implementation (sec. 201 & 254)   | \$                                       | \$               | 0.00   | 0.00   | 0.00  |
| Additional Compliance and Election<br>Improvement Activities (sec. 201, 202, 203,<br>(Enhancements to Election Management<br>Systems and Processes, Administrative<br>Complaint Procedures, Polling Place<br>Accessibility) | \$                                       | \$               | 0.00   | 0.00   | 0.00  |
| <b>All Activities</b>   | <b>\$15,000,000.00</b>                   |                  | <b>0.00</b>  | <b>523,006.54</b>  | <b>523,006.54</b>   |

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**State of Maine Department of the Secretary of State  
Expenditures of State Match Funds - State Plan Conformity**

| HAVA Requirements  | State Match Funds<br>State Plan Projections |               | State Match Funds<br>State Plan Expended<br>Federal FY 2004 | State Match Funds<br>State Plan Expended<br>Federal FY 2006 | State Match Funds<br>State Plan Expended All<br>Federal Fiscal Years |
|--|---|---------------|---|---|--|
|  | Low   | High          |   |   |  |
| Voting System Standards (Sec. 301)<br>(Purchase, Implementation, Administration and<br>Maintenance of DRGs or other compliant<br>Voting Systems)   | \$ 236,842.00                               | \$ 500,000.00 | 0.00  | 45,262.50   | 45,262.50  |
| Computerized Statewide Voter Registration<br>(Sec. 303)<br>(Purchase, Implementation, Administration and<br>Maintenance)   | \$ 289,474.00                               | \$ 552,632.00 | 0.00  | 0.00  | 0.00   |
| Voter Education and Outreach & Election<br>Officials Training to Assist in Meeting Title III<br>Requirements (Sec. 264)  |   | \$            | 0.00  | 0.00  | 0.00   |
| State Plan Creation and Management of<br>HAVA Implementation (Sec. 101 & 264)  | \$  | \$            | 0.00  | 0.00  | 0.00   |
| Additional Compliance and Election<br>Improvement Activities (Sec. 101, 251, 402)<br>(Enhancements to Election Management<br>Systems and Processes, Administrative<br>Complaint Procedure, Polling Place<br>Accessibility) | \$  | \$            | 0.00  | 0.00  | 0.00   |
| <b>All Activities</b>  | <b>\$789,474.00</b>                         |               | <b>0.00</b>   | <b>45,262.50</b>  | <b>45,262.50</b>   |