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# THE U.S. ELECTION ASSISTANCE COMMISSION



## ANNUAL GRANT EXPENDITURE REPORT

FISCAL YEAR 2016

August 16, 2017

## Overview

The Election Assistance Commission (EAC) was created by Congress under the Help America Vote Act of 2002 (HAVA), legislation authored to improve the administration of

*EAC has administered nearly \$3.3 billion in federal funds to states and other eligible entities since its inception.*

elections for federal offices through funding, guidance and policy development. HAVA provides funding to state and local election districts to support upgrading systems for casting votes, registering voters in statewide voter registration databases, providing provisional voting options, and implementing other improvements to the administration of federal elections such as training for election officials and poll workers, polling place accessibility improvements, and information on how and where to vote.

Through September 30, 2016, a total of \$3,248,946,231<sup>1</sup> in grant funds plus an additional \$352,759,159 in accrued interest has been made available to the 50 states, American Samoa, the District of Columbia, Guam, the Commonwealth of Puerto Rico, and the United States Virgin Islands (hereinafter referred to as 'states') through HAVA. States have reported total expenditures of \$3,292,826,478, aggregately surpassing the principal amount awarded through HAVA.

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<sup>1</sup> This includes \$300.3 million in Section 102 funds that were appropriated for the replacement of punch card or lever voting machines. Thirty States received Section 102 funds. States were required to expend funds under this section by November 2010 and return any remaining funds to EAC for disbursement as Section 251 funds.

# HAVA SECTION 101 Funds

A total of \$349,182,267 was disbursed to states in 2003 under Section 101 of HAVA, which provided funds to states for activities to improve the administration of federal elections. As of September 30, 2016, states reported total expenditures of \$343,003,676, which is 98% of the original award amounts and 86% of total funds available when accrued interest is included. Twenty-six (26) states have spent all of their Section 101 funds and interest and another thirteen (13) states have spent at least 90% of their funds. Table 1 provides a full accounting of expenditures by state.

| <b>State</b>      | <b>Funds Received</b> | <b>Interest Earned</b> | <b>Expenditures</b> | <b>Balance</b> |
|-------------------|-----------------------|------------------------|---------------------|----------------|
| ALABAMA           | \$4,989,605           | \$445,493              | \$ 4,817,434        | \$617,665      |
| ALASKA*           | \$5,000,000           | \$652,810              | \$5,000,000         | \$652,810      |
| AMERICAN SAMOA    | \$1,000,000           | \$66,224               | \$1,000,000         | \$66,224       |
| ARIZONA*          | \$5,451,369           | \$1,010,134            | \$2,095,600         | \$4,365,903    |
| ARKANSAS          | \$3,593,165           | \$226,288              | \$3,819,453         | (0)            |
| CALIFORNIA        | \$26,804,708          | \$2,631,427            | \$26,587,559        | \$2,848,576    |
| COLORADO          | \$4,860,306           | \$1,048,652            | \$5,159,190         | \$749,768      |
| CONNECTICUT       | \$5,000,000           | \$682,868              | \$5,682,868         | (0)            |
| DELAWARE*         | \$5,000,000           | \$472,080              | \$5,467,766         | \$4,314        |
| DIST. OF COLUMBIA | \$5,000,000           | \$408,108              | \$5,000,000         | \$408,108      |
| FLORIDA           | \$14,447,580          | \$1,797,031            | \$13,884,422        | \$2,360,189    |
| GEORGIA           | \$7,816,328           | \$698,741              | \$8,634,255         | \$(119,185)    |
| GUAM              | \$1,000,000           | \$12,773               | \$1,012,773         | (0)            |
| HAWAII            | \$5,000,000           | \$1,343,275            | \$3,323,042         | \$3,020,233    |
| IDAHO             | \$5,000,000           | \$1,807,418            | \$6,807,418         | (0)            |
| ILLINOIS          | \$11,129,030          | \$1,254,098            | \$12,383,128        | (0)            |
| INDIANA *         | \$6,230,481           | \$938,781              | \$7,196,262         | \$(27,000)     |
| IOWA*             | \$5,000,000           | \$684,225              | \$5,449,329         | \$234,896      |
| KANSAS            | \$5,000,000           | \$1,310,653            | \$2,916,433         | \$3,394,220    |
| KENTUCKY          | \$4,699,196           | \$978,404              | \$5,677,600         | (0)            |
| LOUISIANA         | \$4,911,421           | \$935,421              | \$5,846,842         | (0)            |
| MAINE             | \$5,000,000           | \$611,350              | \$5,531,096         | \$80,254       |
| MARYLAND*         | \$5,636,731           | \$1,310,653            | \$2,916,433         | \$4,030,951    |
| MASSACHUSETTS     | \$6,590,381           | \$904,363              | \$7,494,744         | (0)            |

Section 101 HAVA Funds as of September 30, 2016

Table 1 Cont.

| <b>State</b>    | <b>Total Section 101<br/>Funds Received</b> | <b>Interest Earned</b> | <b>Expenditures</b>  | <b>Balance</b>       |
|-----------------|---|------------------------|----------------------|----------------------|
| Michigan        | \$9,207,323                                 | \$1,539,636            | \$2,194,720          | \$8,552,239          |
| Minnesota       | \$5,313,786                                 | \$64,724               | \$5,378,510          | (0)                  |
| Mississippi     | \$3,673,384                                 | \$443,499.57           | \$4,116,883.57       | (0)                  |
| Missouri        | \$5,875,170                                 | \$945,672              | \$6,693,768          | \$127,074            |
| Montana         | \$5,000,000                                 | \$391,010              | \$5,001,732          | \$389,278            |
| Nebraska        | \$5,000,000                                 | \$998,292              | \$5,998,292          | (0)                  |
| Nevada          | \$5,000,000                                 | \$452,843              | \$5,452,843          | (0)                  |
| New Hampshire*  | \$5,000,000                                 | \$1,097,737            | \$2,375,032          | \$3,722,705          |
| New Jersey      | \$8,141,208                                 | \$650,000              | \$8,167,547          | \$623,661            |
| New Mexico      | \$5,000,000                                 | \$292,244              | \$5,292,244          | (0)                  |
| New York        | \$16,494,325                                | \$3,311,078            | \$15,932,692         | \$3,872,711          |
| North Carolina  | \$7,887,740                                 | \$719,611              | \$9,495,422          | \$(888,071)          |
| North Dakota    | \$5,000,000                                 | \$63,997               | \$5,063,997          | (0)                  |
| Ohio            | \$10,384,931                                | \$426,837              | \$10,811,768         | (0)                  |
| Oklahoma        | \$5,000,000                                 | \$353,009              | \$5,178,450          | \$174,559            |
| Oregon          | \$4,203,776                                 | \$59,199               | \$4,262,975          | (0)                  |
| Pennsylvania    | \$11,323,168                                | \$1,301,492            | \$12,624,660         | (0)                  |
| Puerto Rico     | \$3,151,144                                 | \$324,130              | \$3,455,882          | \$19,392             |
| Rhode Island    | \$5,000,000                                 | \$140,275              | \$5,140,275          | (0)                  |
| South Carolina  | \$4,652,412                                 | \$874,690              | \$5,295,412          | \$231,690            |
| South Dakota    | \$5,000,000                                 | \$1,914,886            | \$3,092,781          | \$3,822,105          |
| Tennessee       | \$6,004,507                                 | \$1,018,831            | \$5,065,445          | \$1,957,893          |
| Texas           | \$17,206,595                                | \$3,616,896            | \$15,427,932         | \$5,395,559          |
| Utah            | \$3,090,943                                 | \$560,156              | \$3,651,099          | (0)                  |
| Vermont         | \$5,000,000                                 | \$580,051              | \$5,580,051          | (0)                  |
| Virgin Islands* | \$1,000,000                                 | \$21,806               | \$1,000,000          | \$21,806             |
| Virginia        | \$7,105,890                                 | \$1,130,578            | \$7,637,378          | \$599,090            |
| Washington      | \$6,098,449                                 | \$259,047              | \$6,357,496          | (0)                  |
| West Virginia   | \$2,977,057                                 | \$104,747              | \$3,081,804          | (0)                  |
| Wisconsin       | \$5,694,036                                 | \$1,760,283            | \$5,241,563          | \$2,212,756          |
| Wyoming         | \$5,000,000                                 | \$1,571,649            | \$5,231,377          | \$1,340,272          |
| <b>TOTAL**</b>  | <b>\$348,646,145</b>                        | <b>\$49,220,175</b>    | <b>\$343,003,676</b> | <b>\$ 54,862,640</b> |

\*Reporting 2015 Expenditures

\*\*Reflects a deobligation of \$536,122 as a result of an audit finding. Total awarded was \$349,182,267.

# HAVA SECTION 251 Funds

Section 251 funds, known as Requirements Payments, were distributed to the states using a formula based on a percentage equal to the quotient of the voting age population of each state and the total voting age population of all states. HAVA requires that states deposit Section 251 money in interest bearing state election accounts. As of the September 2016, fourteen (14) states reported using 100% of their HAVA Requirements Payment funds (including interest) while another 28 states reported using 90% or more of their funds and interest. States reported cumulative expenditures of \$2,639,431,943 (see Table 2).

*FY 2016 annual Requirements Payment spending was \$72.6 million, down from \$108 million in 2015 and \$75.5 million in 2014. 2016 was the first year that aggregate Requirements Payment expenditures exceeded the original amount of funds distributed.*

| State                | Total Section 251 Funds Received | Interest Earned | Total Expenditures | Balance of Funds and Interest <sup>2</sup> |
|----------------------|----------------------------------|-----------------|--------------------|--|
| ALABAMA              | \$40,227,863                     | \$2,489,111     | \$40,385,857       | \$2,331,118                                |
| ALASKA               | \$13,021,803                     | \$2,644,284     | \$12,192,872       | \$3,473,215                                |
| AMERICAN SAMOA       | \$2,490,652                      | \$292,118       | \$2,782,770        | \$0  |
| ARIZONA*             | \$45,516,688                     | \$5,436,176     | \$49,179,627       | \$1,773,237                                |
| ARKANSAS             | \$24,233,666                     | \$2,525,457     | \$25,086,491       | \$1,672,632                                |
| CALIFORNIA           | \$296,375,482                    | \$42,729,626    | \$305,018,567      | \$34,086,541                               |
| COLORADO             | \$38,767,048                     | \$4,695,079     | \$42,528,973       | \$933,155                                  |
| CONNECTICUT          | \$31,095,158                     | \$3,607,104     | \$33,092,005       | \$1,610,256                                |
| DELAWARE*            | \$13,021,803                     | \$1,311,579     | \$13,004,721       | \$1,328,661                                |
| DISTRICT OF COLUMBIA | \$13,021,803                     | \$1,913,688     | \$12,517,323       | \$2,418,167                                |
| FLORIDA              | \$148,633,048                    | \$22,105,920    | \$152,244,707      | \$18,494,261                               |
| GEORGIA              | \$70,674,392                     | \$761,687       | \$67,079,862       | \$4,356,217                                |
| GUAM                 | \$2,319,361                      | \$48,049        | \$2,367,410        | \$0  |

<sup>2</sup> Negative balances indicate that States have expended interest earned and State matching funds in addition to spending all Federal funds.

| State           | Total Section 251<br>Funds Received | Interest Earned      | Total<br>Expenditures  | Balance of Funds<br>and Interest <sup>3</sup> |
|-----------------|-------------------------------------|----------------------|------------------------|---|
| HAWAII          | \$13,028,257                        | \$976,725            | \$12,398,085           | \$1,606,897                                   |
| IDAHO           | \$13,021,803                        | \$1,267,652          | \$14,289,455           | \$0   |
| ILLINOIS        | \$110,593,988                       | \$9,221,817          | \$119,815,805          | \$0   |
| INDIANA *       | \$54,440,282                        | \$2,280,602          | \$54,277,323           | \$2,443,561                                   |
| IOWA*           | \$26,645,880                        | \$1,464,690          | \$28,083,331           | \$27,239                                      |
| KANSAS          | \$24,033,426                        | \$2,222,954          | \$30,853,941           | -\$4,597,561                                  |
| KENTUCKY        | \$36,901,642                        | \$4,516,638          | \$41,418,280           | \$0   |
| LOUISIANA       | \$39,350,512                        | \$3,552,964          | \$42,903,476           | \$0   |
| MAINE           | \$13,021,803                        | \$1,522,604          | \$14,533,014           | \$11,393                                      |
| MARYLAND*       | \$47,663,156                        | \$3,888,041          | \$51,527,784           | \$23,413                                      |
| MASSACHUSETTS   | \$58,589,549                        | \$10,288,130         | \$25,445,441           | \$43,432,238                                  |
| MICHIGAN        | \$88,535,685                        | \$7,365,333          | \$71,217,728           | \$24,683,291                                  |
| MINNESOTA       | \$43,962,194                        | \$3,751,576          | \$50,617,343           | -\$2,903,574                                  |
| MISSISSIPPI     | \$25,152,465                        | \$1,588,892          | \$26,741,357           | \$0   |
| MISSOURI        | \$50,394,880                        | \$4,243,114          | \$54,088,426           | \$549,567                                     |
| MONTANA         | \$13,028,257                        | \$618,633            | \$13,979,996           | -\$333,106                                    |
| NEBRASKA        | \$15,442,405                        | \$1,046,167          | \$16,488,572           | \$0   |
| NEVADA          | \$18,155,632                        | \$1,267,999          | \$18,583,251           | \$840,380                                     |
| NEW HAMPSHIRE   | \$13,021,803                        | \$2,153,686          | \$9,277,910            | \$5,897,579                                   |
| NEW JERSEY      | \$76,360,392                        | \$5,808,946          | \$81,696,605           | \$472,733                                     |
| NEW MEXICO      | \$15,599,671                        | \$271,854            | \$15,871,525           | \$0   |
| NEW YORK        | \$172,076,865                       | \$32,970,423         | \$191,808,173          | \$13,239,115                                  |
| NORTH CAROLINA* | \$73,421,775                        | \$7,202,871          | \$76,659,084           | \$3,965,563                                   |
| NORTH DAKOTA    | \$13,028,257                        | \$1,355,227          | \$13,651,230           | \$732,254                                     |
| OHIO            | \$102,069,874                       | 6307543.4            | \$108,205,491          | \$171,926                                     |
| OKLAHOMA        | \$30,200,723                        | 3887161.19           | \$27,407,248           | \$6,680,636                                   |
| OREGON          | \$31,243,106                        | \$3,806,930          | \$29,733,788           | \$5,316,247                                   |
| PENNSYLVANIA    | \$112,821,809                       | \$16,582,153         | \$123,275,965          | \$6,127,997                                   |
| PUERTO RICO     | \$5,868,252                         | \$213,086            | \$4,503,921            | \$1,577,416                                   |
| RHODE ISLAND    | \$13,021,803                        | \$485,182            | \$13,506,985           | \$0   |
| SOUTH CAROLINA* | \$36,384,617                        | \$905,269            | \$37,121,805           | \$168,081                                     |
| SOUTH DAKOTA    | \$13,021,803                        | \$2,514,002          | \$9,961,323            | \$5,574,482                                   |
| TENNESSEE       | \$51,877,745                        | \$6,417,496          | \$30,493,385           | \$27,801,856                                  |
| TEXAS           | \$180,251,805                       | \$12,381,621         | \$192,633,426          | \$0   |
| UTAH*           | \$18,481,440                        | \$676,642            | \$18,227,687           | \$930,395                                     |
| VERMONT*        | \$11,596,803                        | \$5,044,174          | \$5,044,174            | \$11,596,803                                  |
| VIRGIN ISLANDS* | \$2,319,361                         | \$78,009             | \$2,084,560            | \$312,811                                     |
| VIRGINIA        | \$64,449,288                        | \$9,472,116          | \$65,803,673           | \$8,117,731                                   |
| WASHINGTON      | \$52,995,253                        | \$6,481,967          | \$55,650,678           | \$3,826,542                                   |
| WEST VIRGINIA   | \$17,184,961                        | \$1,155,194          | \$16,003,733           | \$2,336,422                                   |
| WISCONSIN       | \$48,296,088                        | \$3,538,259          | \$51,834,347           | \$0   |
| WYOMING         | \$13,028,257                        | \$1,070,832          | \$13,860,108           | \$238,981                                     |
| <b>Total</b>    | <b>\$2,599,982,331</b>              | <b>\$282,499,139</b> | <b>\$2,639,431,943</b> | <b>\$243,049,523</b>                          |

\*Reporting 2015 Expenditures

<sup>3</sup> Negative balances indicate that States have expended interest earned and State matching funds in addition to spending all Federal funds.

## Statewide Voter Registration Systems

States spent Section 251 and Section 101 funds to develop and maintain statewide voter registration systems that comply with HAVA. States reported expenditures of approximately \$223 million in HAVA funds on the development and maintenance of these systems between 2003 and 2014. The average amount reported per state was \$8.25 million, and the most reported having been spent by a single state was \$24.4 million. These expenditures represent 7.5% of funds awarded by EAC under HAVA Sections 251 and 101 Funds.

| <b>HAVA Financing of State Voter Registration Systems<br/>Development and Maintenance 2003-2014</b> |                |                |                      |
|---|----------------|----------------|----------------------|
| <b>State</b>  | <b>Amount</b>  | <b>State</b>   | <b>Amount</b>        |
| ALABAMA   | \$4,874,793    | MONTANA        | \$10,106,186         |
| ALASKA  | \$1,358,580    | NEBRASKA       | \$8,550,392          |
| AMERICAN SAMOA  | \$111,000      | NEVADA         | \$1,021,858          |
| ARIZONA   | \$15,007,249   | NEW HAMPSHIRE  | \$2,834,172          |
| ARKANSAS  | \$15,046,747   | NEW JERSEY     | \$21,746,482         |
| CALIFORNIA  | \$18,449,576   | NEW MEXICO     | \$749,564            |
| COLORADO  | \$17,348,265   | NEW YORK       | \$1,063,436          |
| CONNECTICUT   | \$4,659,180    | NORTH CAROLINA | \$4,366,048          |
| DELAWARE*   | \$501,265      | NORTH DAKOTA   | \$2,792,220          |
| DIST. OF COLUMBIA   | \$2,631,927    | OHIO           | \$10,398,557         |
| FLORIDA   | \$23,219,930   | OKLAHOMA       | \$5,913,647          |
| GEORGIA   | \$2,782,175    | OREGON         | \$13,391,512         |
| GUAM  | \$750,890      | PENNSYLVANIA   | \$11,641,482         |
| HAWAII  | Did Not Report | PUERTO RICO    | \$1,453,028          |
| IDAHO   | \$9,065,438    | RHODE ISLAND   | \$10,756,287         |
| ILLINOIS  | \$8,715,196    | SOUTH CAROLINA | \$3,536,677          |
| INDIANA   | \$20,129,485   | SOUTH DAKOTA   | \$796,442            |
| IOWA  | \$4,388,300    | TENNESSEE      | \$769,488            |
| KANSAS  | \$737,059      | TEXAS          | \$19,135,058         |
| KENTUCKY  | \$161,796      | UTAH           | \$25,000             |
| LOUISIANA   | \$9,073,249    | VERMONT        | \$1,919,060          |
| MAINE   | \$7,796,956    | VIRGIN ISLANDS | \$208,799            |
| MARYLAND*   | \$8,761,217    | VIRGINIA       | \$17,755,664         |
| MASSACHUSETTS   | \$6,911,488    | WASHINGTON     | \$24,466,923.81      |
| MICHIGAN  | \$1,836,790    | WEST VIRGINIA  | \$4,175,319          |
| MINNESOTA   | \$12,710,288   | WISCONSIN      | \$15,684,445         |
| MISSISSIPPI   | \$10,168,037   | WYOMING        | \$4,042,326          |
| MISSOURI  | \$16,228,849   | <b>Total</b>   | <b>\$223,425,725</b> |

## Percentage of Federal HAVA Funds + Interest Remaining by State

0% 10% 20% 30% 40% 50% 60% 70% 80% 90% 100%

### Spending Snapshot

HAVA Sections 101, 102 and 251 funds.

--13 states have expended 100% of funds.\*

--28 states have < 10% of funds remaining.\*

--Only 6 states have more than 30% of funds remaining.\*

\*Expenditures reported through September 30, 2015

