

AZ Election Security 2023 Annual FFR

SECTION I. DETAILS

FFR

FFR ID	Status	Report Type
10680	Approved	Annual
Due Date	Reporting Period From	Reporting Period To
12/30/2023	10/1/2022	9/30/2023

PROJECT/GRANT PERIOD

Federal Grant Name	Basis of Accounting
Election Security	Accrual

RECIPIENT ORGANIZATION DETAILS

Organization Legal Name	Organization Type	UEI	EIN
SECRETARY OF STATE OF ARIZONA	State	C1HSMYXCVVK3	371960808
Street	City	State	Zip Code
1700 W WASHINGTON 7th floor	PHOENIX	AZ	85007

SECTION II. TRANSACTIONS

FEDERAL CASH	
10a. Cash Receipts	\$18,570,974.00
10b. Cash Disbursements	\$13,848,601.12
10c. Cash on Hand <i>(line a minus b)</i>	\$4,722,372.88
FEDERAL EXPENDITURES AND UNOBLIGATED BALANCE	
10d. Total Federal Funds Authorized	\$18,570,974.00
10e. Federal Share of Expenditures	\$13,848,601.12
10f. Federal Share of Unliquidated Obligations	\$0.00
10g. Total Federal Share <i>(sum of line e plus line f)</i>	\$13,848,601.12
10h. Unobligated Balance of Federal Funds <i>(line d minus g)</i>	\$4,722,372.88
RECIPIENT SHARE	
10i. Total Recipient Share Required	\$2,794,644.00
10j. Recipient Share of Expenditures	\$2,424,435.00
10k. Remaining Recipient Share to be Provided <i>(line i minus j)</i>	\$370,209.00
PROGRAM INCOME	
10l. Total Federal Program Income Earned	\$0.00
10m. Program Income Expended in Accordance with the Deduction Alternative	\$0.00
10n. Program Income Expended in Accordance with the Addition Alternative	\$0.00
10o. Unexpended Program Income <i>(line l minus line m and line n)</i>	\$0.00
FEDERAL INTEREST	
10p. Total Federal Interest Earned	\$481,664.27

10q. Federal Interest Expenditures	\$0.00
10r. Remaining Federal Interest to be Expended (<i>line p minus q</i>)	\$481,664.27

SECTION III. INDIRECT EXPENSES

11a. Type	11b. Rate (%)	11c. Period From	11c. Period To	11d. Base	11e. Amount Charged	11f. Federal Share
AZ Election Security 2023 Annual						
					false	
11g. Total						

SECTION IV. STATE INTEREST

12a. State Interest Earned	\$0.00
12b. State Interest Expended	\$0.00
12c. State Program Income Earned	\$0.00
12d. State Program Income Expended	\$0.00

SECTION V. CERTIFICATION

Name	Title	Phone Number
Gatjeak Gew	Grants Manager	(602) 320-3431
Email	Certified On	
ggew@azsos.gov	2/21/2025 12:03 PM EST	

AZ Election Security 2023 Annual PROGRESS REPORT

COVER PAGE

PROGRESS REPORT

Progress Report ID	Status	Report Type
10539	Approved	Annual
Due Date	Reporting Period From	Reporting Period To
12/30/2023	10/1/2022	9/30/2023

RECIPIENT ORGANIZATION DETAILS

Organization Legal Name	Organization Type	UEI	EIN
STATE LIBRARY, ARCHIVES & PUBLIC RECORDS, ARIZONA	State	C1HSMYXCVVK3	371960808
Street	City	State	Zip Code
1700 W WASHINGTON 7th floor	PHOENIX	AZ	85007

PROGRESS AND NARRATIVE

EXPENDITURES

6. For each of the following categories, briefly describe the activities carried out during the reporting period and how you implemented the approved grant activities in accordance with your Program Narrative. If you provided subawards to local jurisdictions, please include those activities and expenditures (you will be required to provide subaward expenditures in Section III).

Category	Federal Amount	Match Amount	Description
Voting Equipment	\$136,021.00		Equipment items purchased by the counties included 40 ePollbooks, 40 Ballot on Demand printers, and a Ballot Tabulator. Additional expenses included cases, drives, and equipment installation.
Voting Processes			
Voter Registration Systems	\$413,637.19	\$371,791.00	AVID is the statewide voter registration database. During this reporting period the SOS incurred AVID maintenance costs, and staff time related to the voter registration database. Generally, the SOS shares the costs to maintain the AVID database with the counties.
Election Auditing			
Cyber Security	\$151,802.51		Cyber Security expenses during the reporting period were county expenditures that went towards purchase of electronic storage of video data from ballot drop box cameras.
Physical Security			
Voter Education			
Accessibility			
Other (Election Training)	\$2,261.67		Training expenses included a Table Top Exercise and related equipment, as well as AFL signature verification training.
TOTALS	\$703,722.37	\$371,791.00	

TRAINING

7. Briefly describe any training including cyber security and other election-related training that occurred during the reporting period.

The Table Top Exercise allowed election officials to practice a variety of real time simulated election scenarios so they could best prepare for all potential election threats. AFL signature verification training was comprehensive and included topics such as multi-step signature analysis, researching signature discrepancies, and different situations when a signature would be rejected or accepted.

MATCH

8. Describe how you will or have made the match available and identify the source of your match. (Note: For Election Security grants there is a two-year deadline from the date of disbursement to make match funds available).

The match obligation has been made available through eligible county general operating budgets and state general funds. We anticipate there will not be any issue meeting the remaining portions of the match requirement.

SUBAWARD INFORMATION

SUBAWARD DETAILS

9a. Briefly describe your subaward program, including how many subawards were made and how your program reflects the needs of local communities.

The office of the AZ Secretary of State utilizes a formula distribution for subawards to Arizona counties. Each county is awarded a base amount and then additional funds based on the number of active voters within its county. Counties are required to apply for the funds. The application includes the item(s)/service(s) that will be purchased, the project's intent, the federal HAVA guideline the project falls within, how the project enhances election technology or improves election security while benefiting registered voters and an explanation of how their county will be impacted if their project request is not approved. For this reporting period, the SOS issued \$287,823.51 in subawards to Arizona counties. Awards primarily covered Voting Equipment and Cyber Security. Subawards were provided to counties based on the needs of county offices and local communities.

9b. Identify whether the subgrants were provided as an advance or on a cost-reimbursement basis. If provided as an advance, confirm whether funds were deposited in an interest-bearing account per HAVA 254(b)(1)(d) and the Uniform Guidance §200.305(b)(7,8) and §200.332(a)(2,3).

Subgrant funds were provided as an advance. Each recipient was provided with specific guidelines that outlined various grant management regulations, including, keeping unused advance funds in an interest bearing account. The Secretary of State's office tracks compliance through review of quarterly subgrant reports submitted to us by each county.

SUBAWARD EXPENDITURES

10. Provide a breakdown of aggregate subaward expenditures across major categories.

Category	Federal Amount	Match Amount
Voting Equipment	\$136,021.00	
Voting Processes		
Voter Registration Systems		
Election Auditing		
Cyber Security	\$151,802.51	
Physical Security		
Voter Education		
Accessibility		
Other ()		
TOTALS	\$287,823.51	\$0.00

CHALLENGES & CHANGES

ISSUES ENCOUNTERED

11a. Describe any issues that arose during the implementation of the project.

In Arizona, HAVA funds are subject to legislative appropriation, and the SOS is required to submit expenditure plans to the Joint Legislative Budget Committee each year. These requirements sometimes impede and delay our ability to access the funds since the legislature and its committees do not meet year-round.

11b. Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

The SOS has requested that the legislature appropriate more HAVA funds to our office. Without the necessary appropriations, we may not be able to fully implement planned HAVA activities. The SOS has also recommended that the language under Arizona Revised Statutes about HAVA monies being subject to legislative appropriation and the appropriations being subject to the lapsing provisions be removed from Statute.

12. Describe any significant changes to your program during the reporting period, including changes to your original State Plan or Program Narrative or favorable developments that improved program efficiency and/or service delivery.

FINAL

FINAL REMARKS

15. Self-Assessment - Assess whether the goals set out in your State Plan and/or Program Narrative were met as intended during the grant program. Highlight any needs that were not met, ongoing, or under-resourced.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded, or used as a model for other state programs.

CERTIFICATION

CERTIFICATION

Name	Title	Phone Number
Gatjeak Gew	Grants Manager	602-320-3431
Email	Certified On	
ggew@azsos.gov	5/8/2025 3:22 PM EDT	