

U.S. ELECTION ASSISTANCE COMMISSION

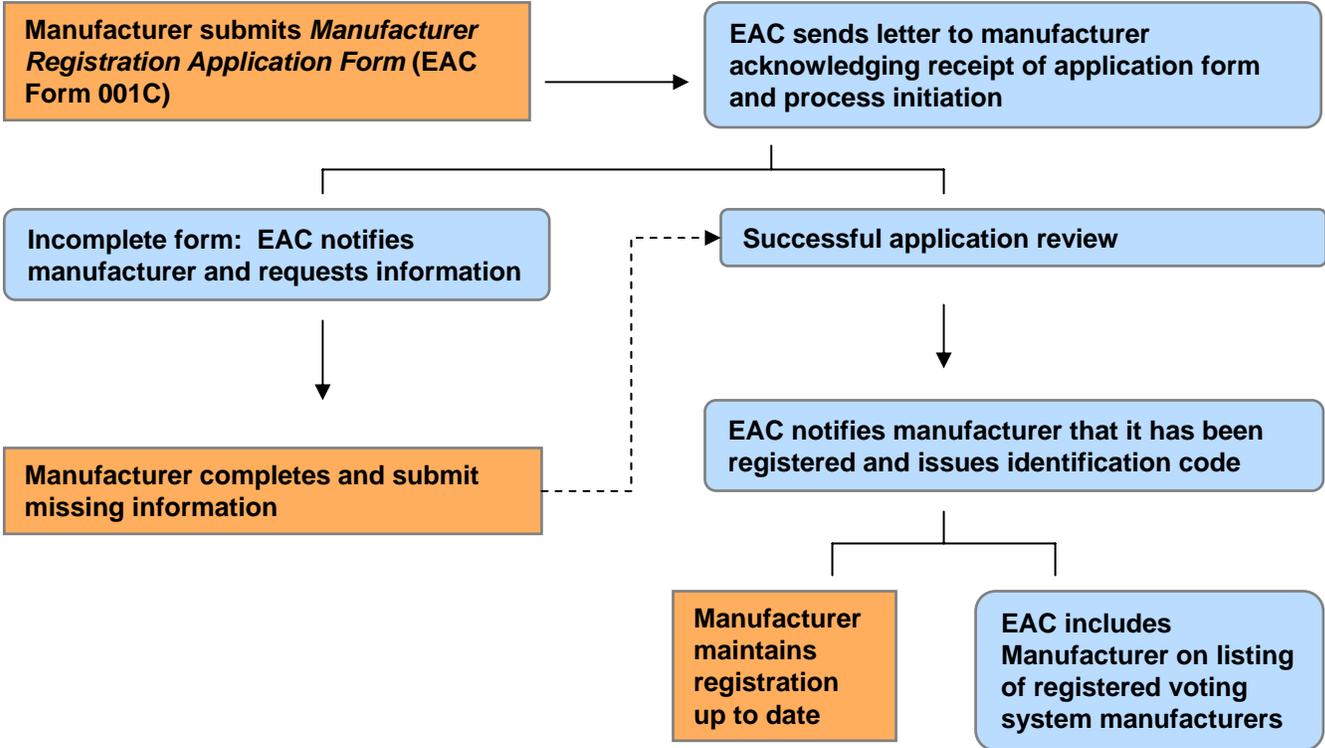


Voting System Testing and Certification Program Manual Flowcharts

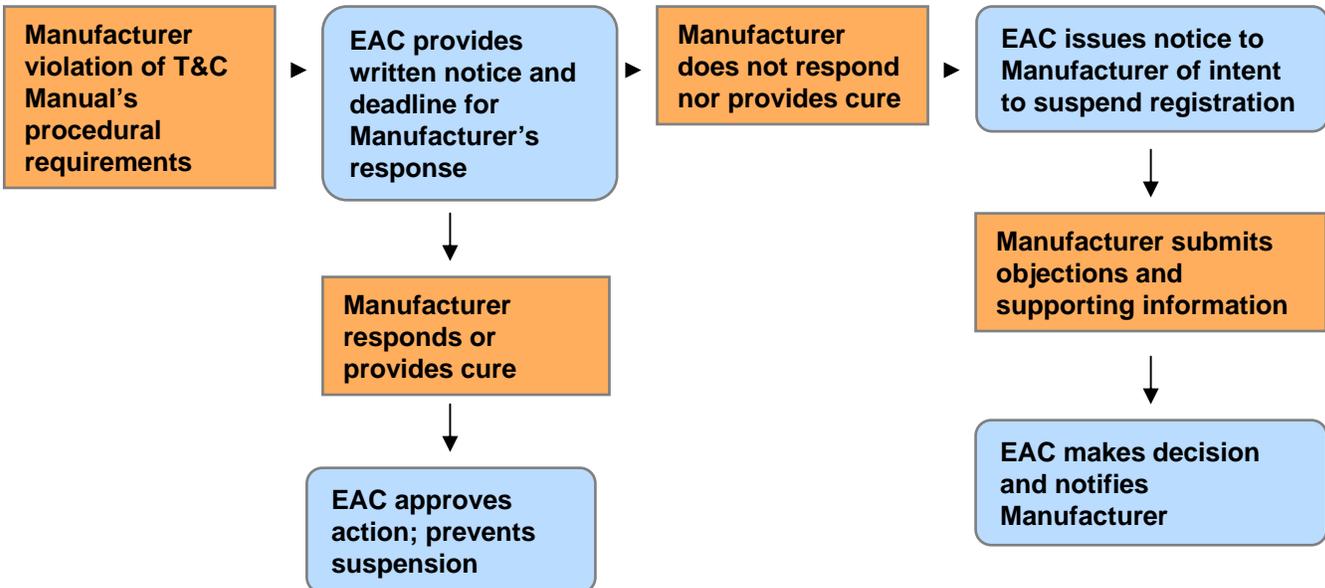


U.S. Election Assistance Commission Manufacturer Registration

I. Initial Registration



II. Suspension of Registration

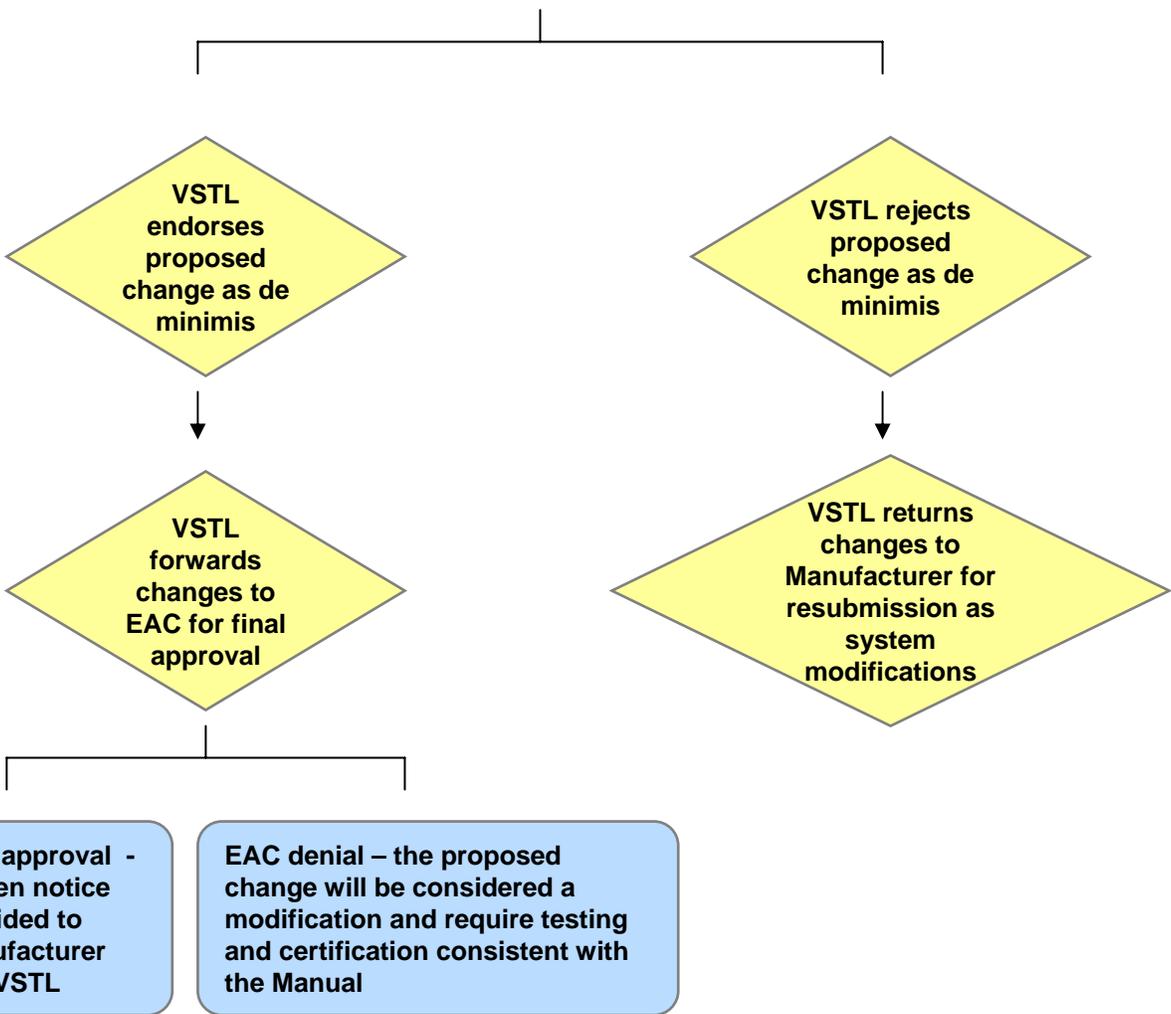




U.S. Election Assistance Commission De Minimis Changes

3.5. De Minimis Changes. A de minimis change is a change to voting system hardware that is so minor in nature and effect that it requires no additional testing and certification. Such changes, however, require VSTL review and endorsement as well as EAC approval. Any proposed change not accepted as a de minimis change is a modification and shall be submitted for testing and review consistent with the requirements of this Manual. An approved de minimis change is not a modification.

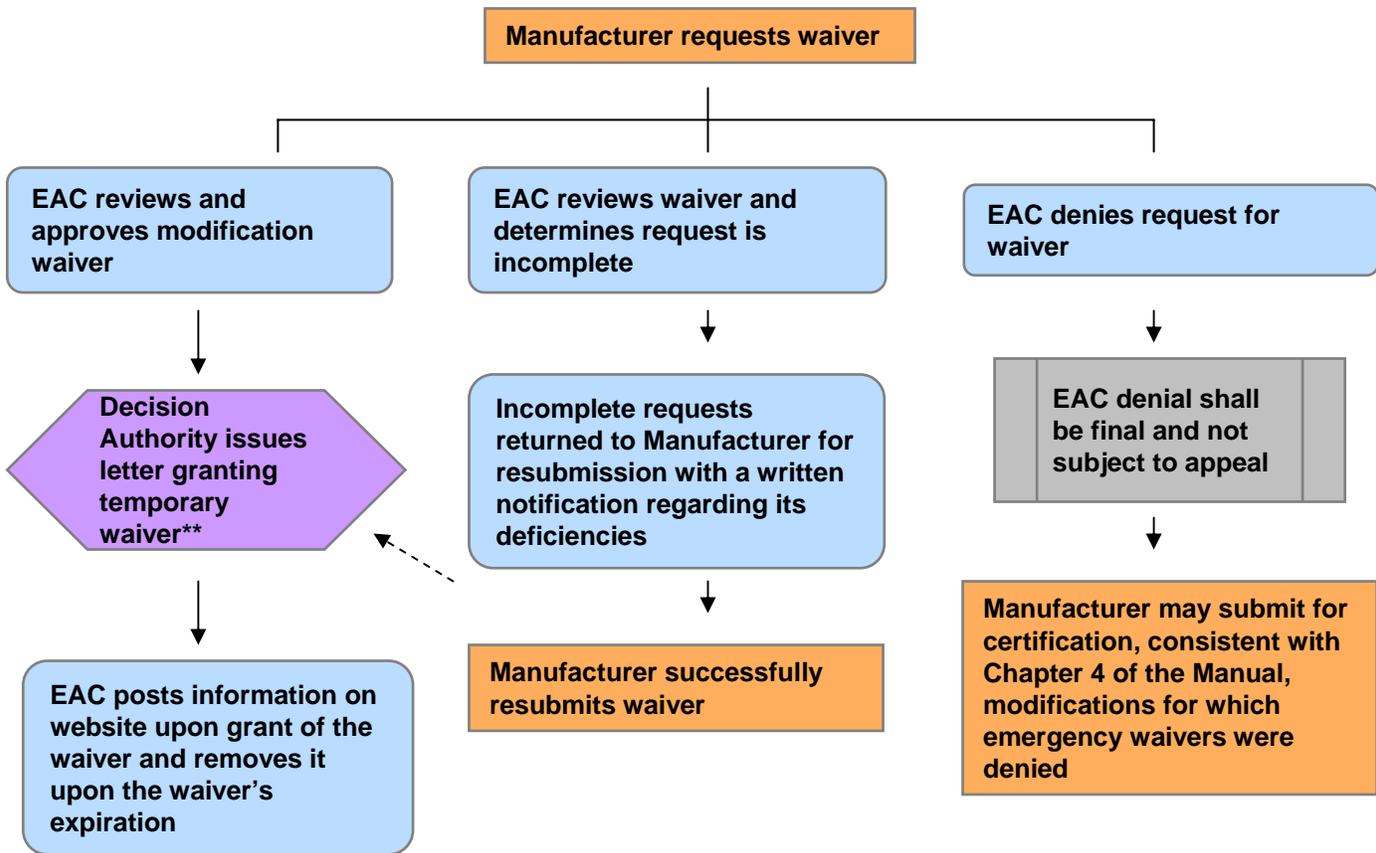
Manufacturer submits proposed de minimis change to VSTL for review and endorsement





U.S. Election Assistance Commission Provisional, Pre-Election, Emergency Modification

3.6.2. General Requirements. A request for an emergency modification waiver may be made by a Manufacturer only *in conjunction with* the State election official whose jurisdiction(s) would be adversely affected if the requested modification were not implemented before Election Day. Requests must be submitted at least 5 calendar days before an election. Only systems previously certified are eligible for such a waiver.



****3.6.6. Effect of Grant of Waiver.** An EAC grant of waiver for an emergency modification is not an EAC certification of the modification. Waivers under this program grant Manufacturers leave to only temporarily amend previously certified systems without testing and certification for the specific election noted in the request. Without such a waiver, such action would ordinarily result in Decertification of the modified system (See Chapter 7). Systems receiving a waiver shall satisfy any State requirement that a system be nationally or federally certified. In addition—

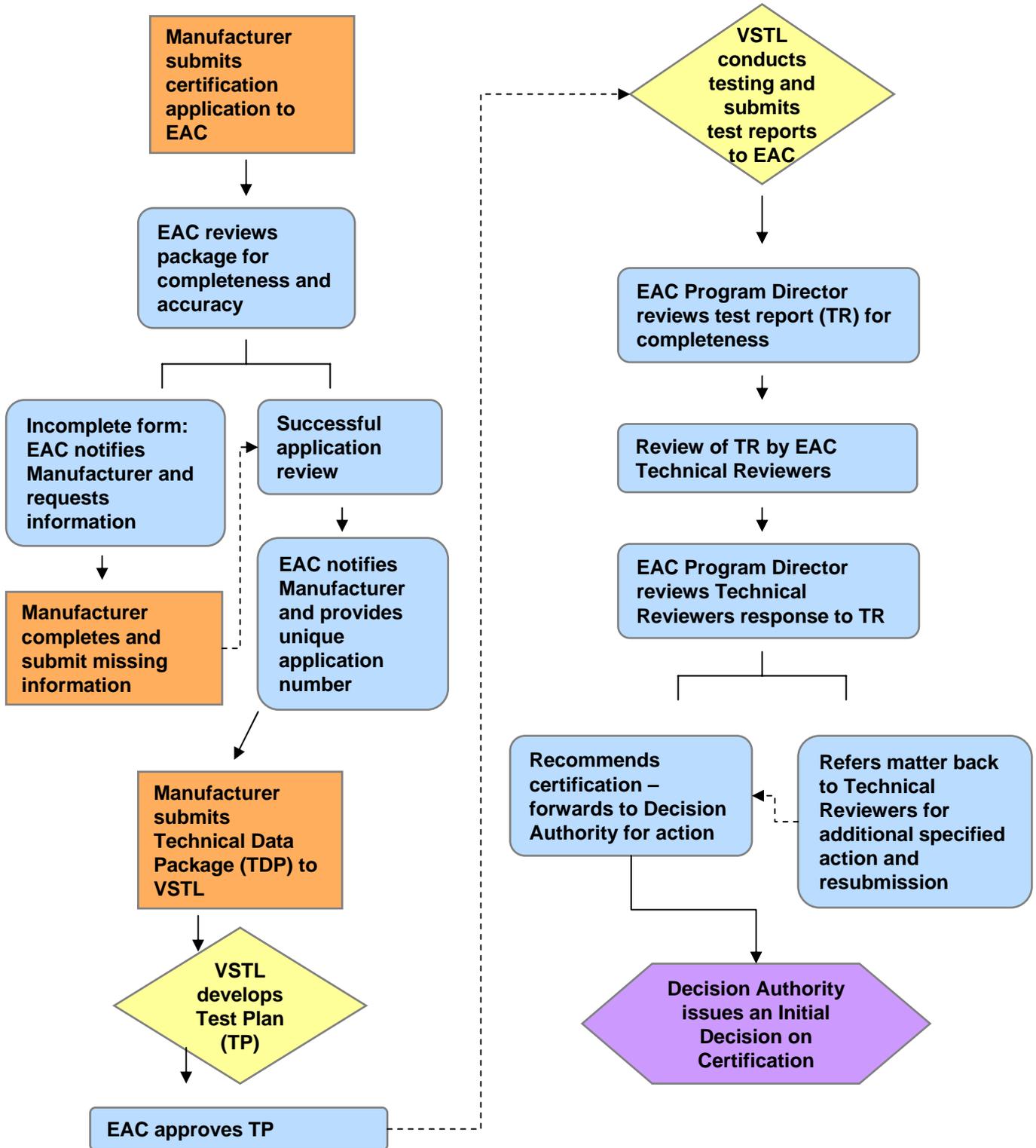
3.6.6.1. All waivers are temporary and expire 60 days after the Federal election for which the system was modified and the waiver granted.

3.6.6.2. Any system granted a waiver must be submitted for testing and certification. This shall be accomplished as soon as possible.

3.6.6.3. The grant of a waiver is no indication that the modified system will ultimately be granted a certification.

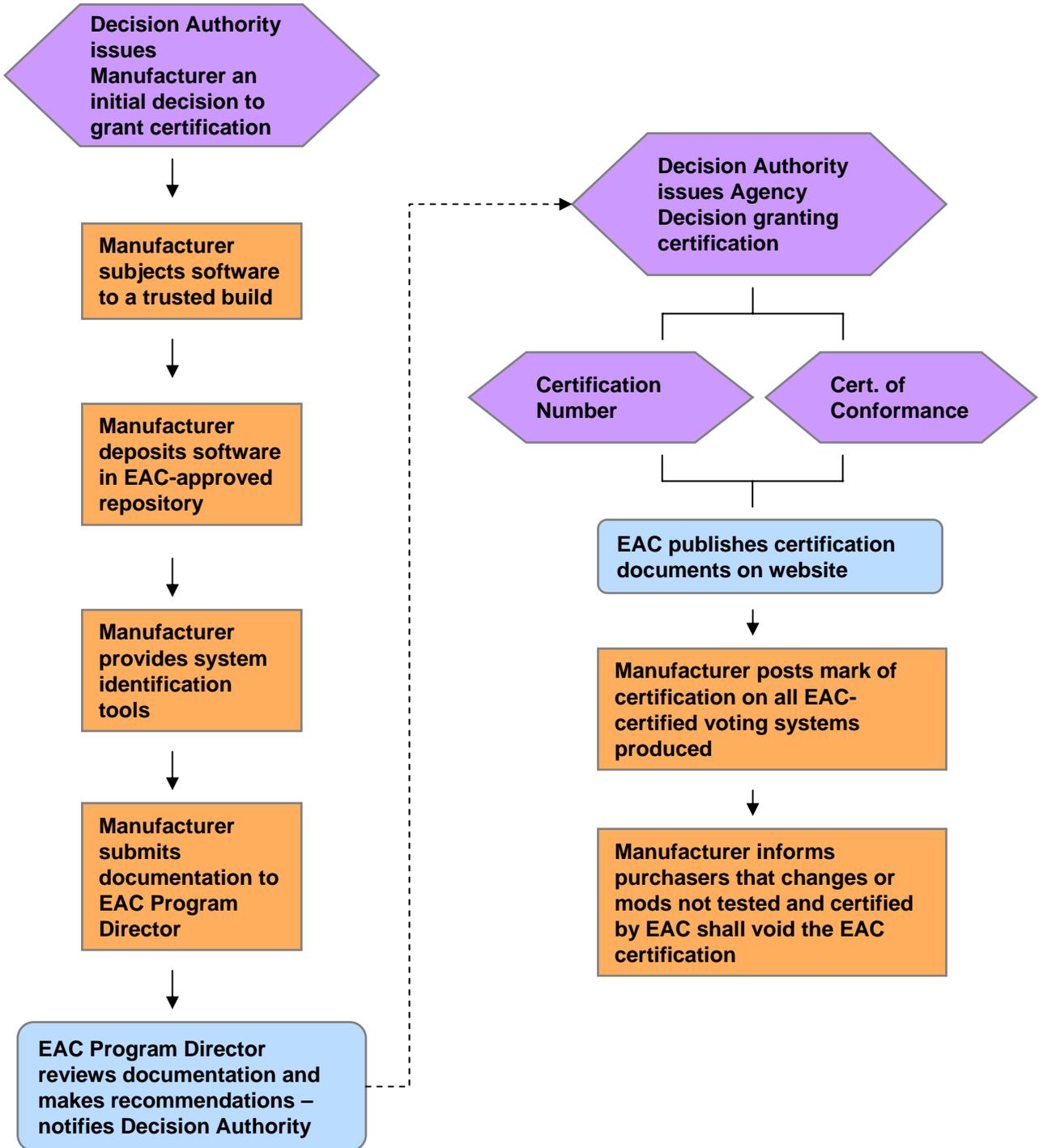


U.S. Election Assistance Commission Certification Testing and Technical Review Initial Decision on Certification



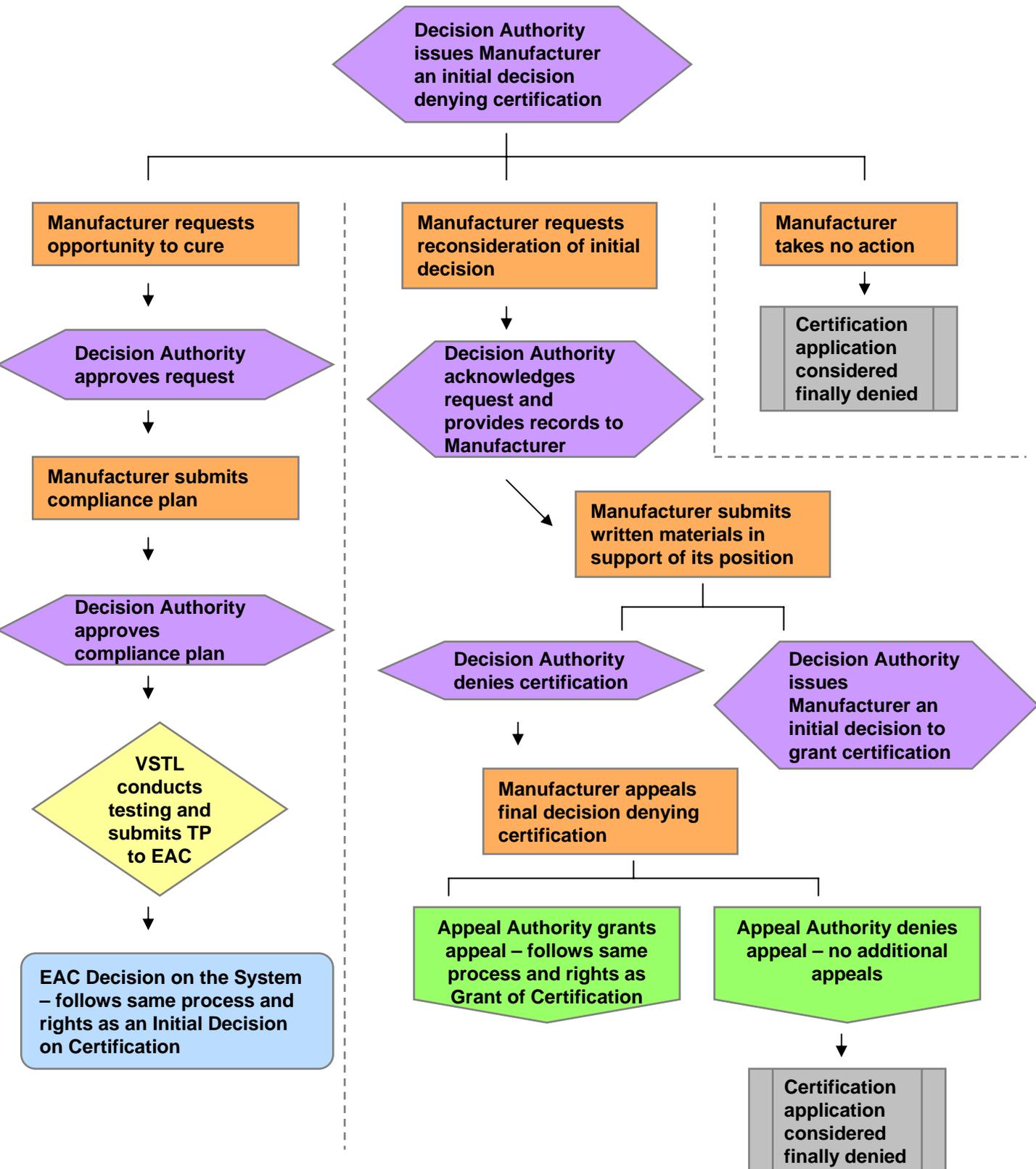


U.S. Election Assistance Commission Certification Testing and Technical Review Grant of Certification





U.S. Election Assistance Commission Denial of Certification

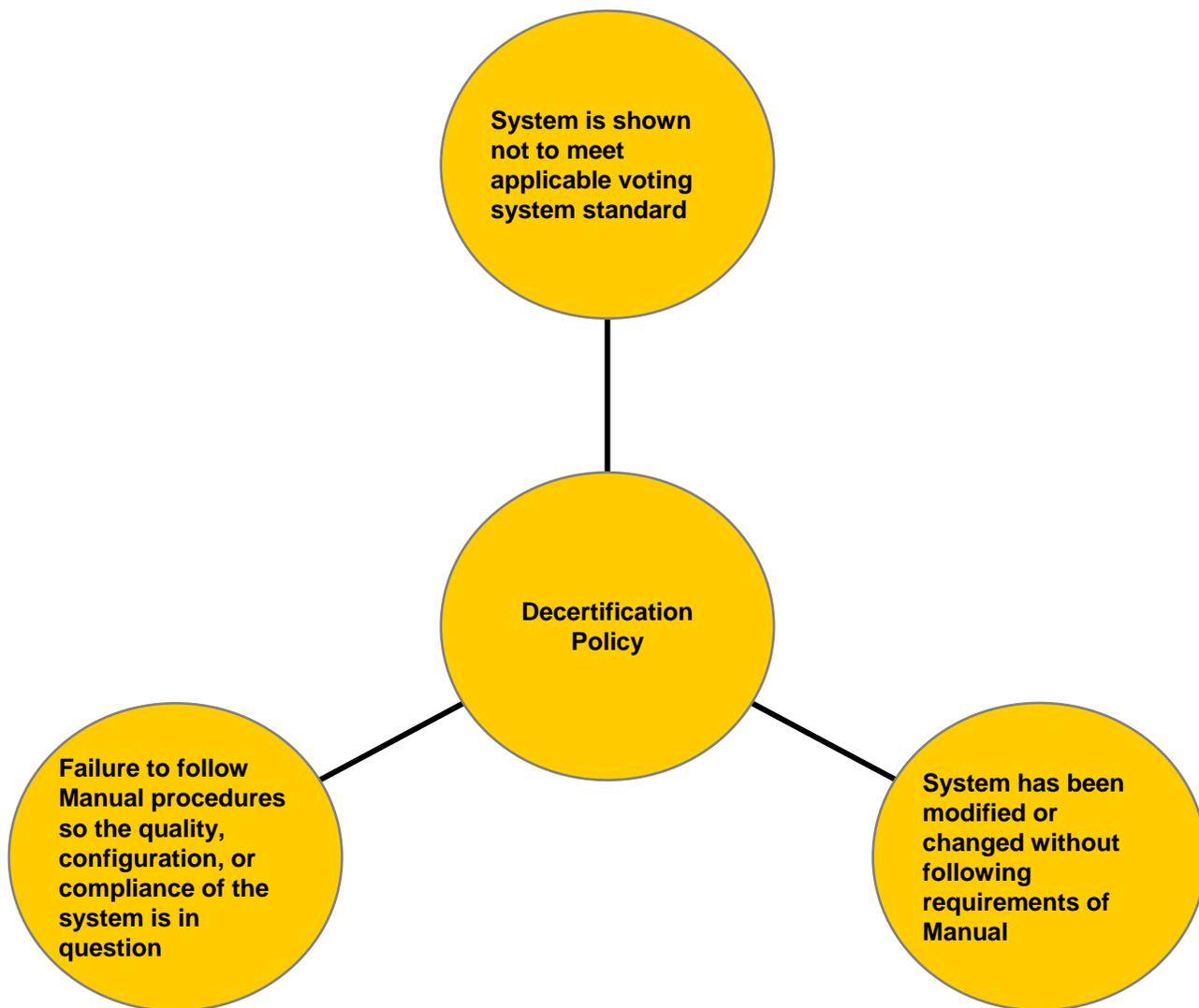




U.S. Election Assistance Commission Decertification

I. Decertification Policy – Reasons for decertification

(Systems will be decertified only after completion of the process outlined in Chapter 7 of the Testing and Certification Manual)





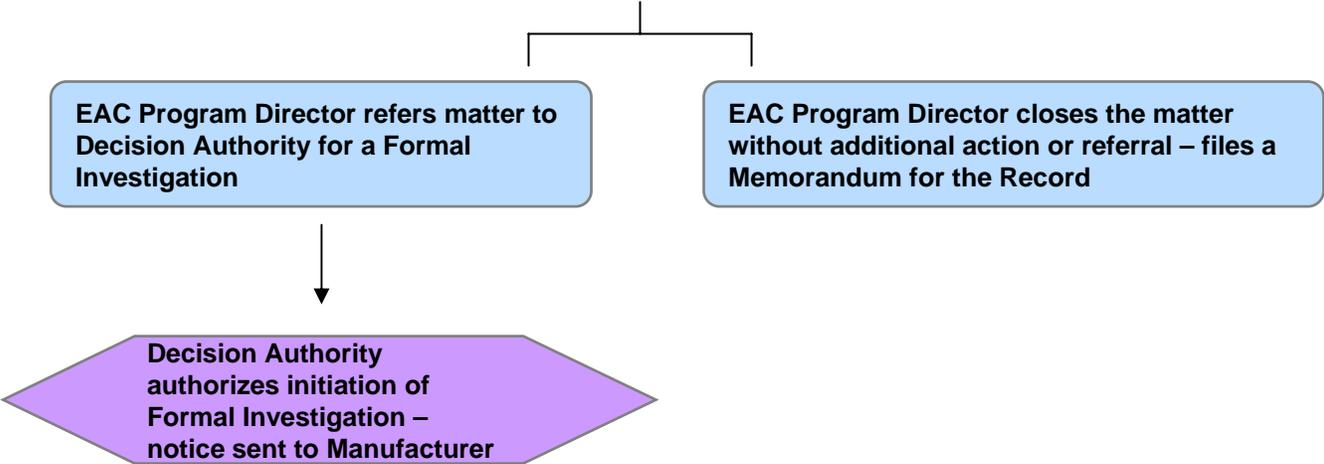
U.S. Election Assistance Commission Decertification

II. Informal Inquiries



7.3.3.1. *Initiation.* Informal Inquiries are initiated at the discretion of the Program Director. They may be initiated any time the Program Director receives attributable, relevant information that suggests a certified voting system may require Decertification. The information shall come from a source that has directly observed or witnessed the reported occurrence. Such information may be a product of the Certification Quality Monitoring Program (see Chapter 8). Information may also come from State and local election officials, voters, or others who have used or tested a given voting system. The Program Director may notify a Manufacturer that an Informal Inquiry has been initiated, but such notification is not required. Initiation of an inquiry shall be documented through the creation of a Memorandum for the Record.

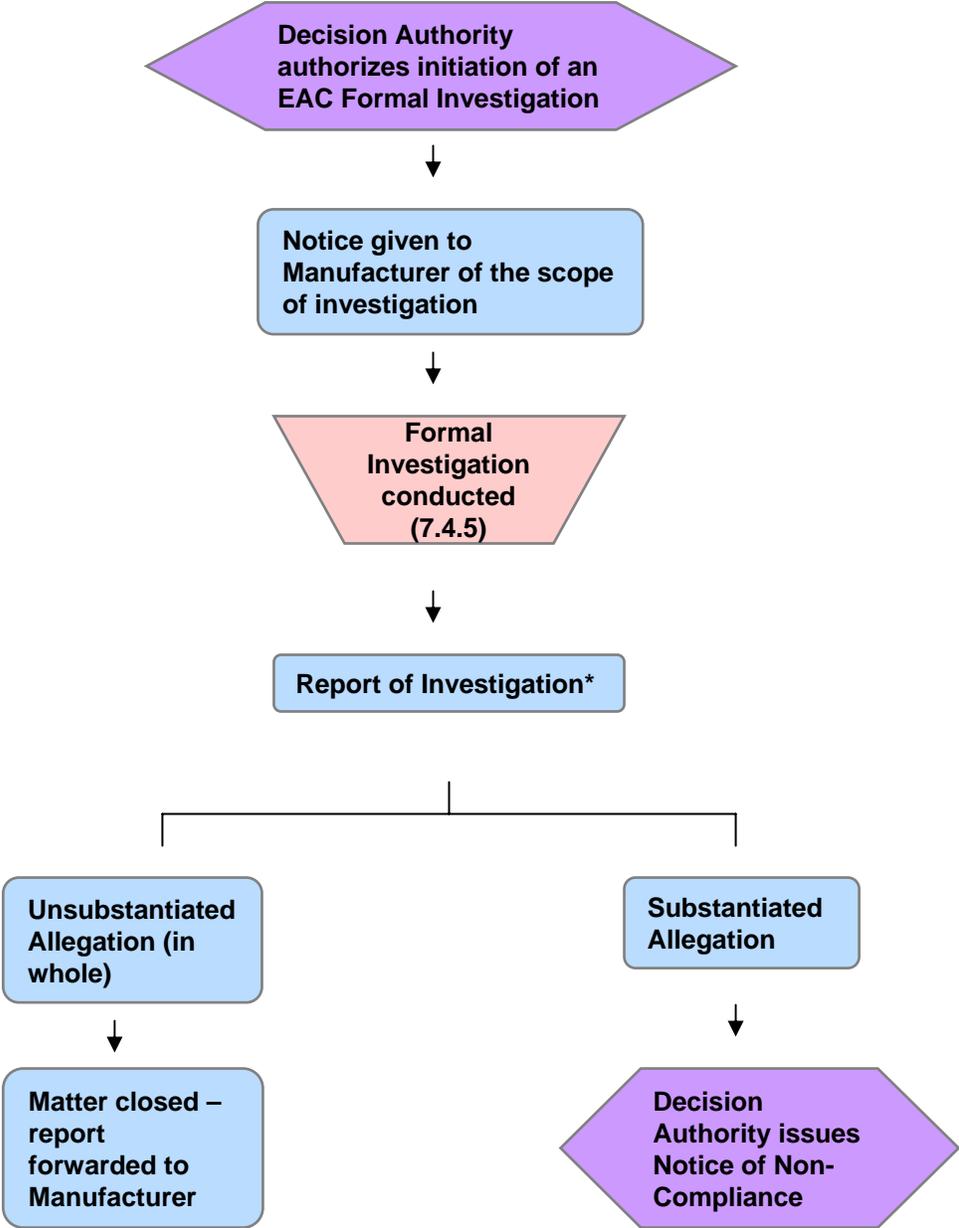
7.3.3.2. *Inquiry.* The Informal Inquiry process is limited to that inquiry necessary to determine whether a Formal Investigation is required. In other words, the Program Director shall conduct such inquiry necessary to determine (1) that the information obtained is credible and (2) that the information, if true, would serve as a basis for Decertification. The nature and extent of the inquiry process will vary depending on the source of the information. For example, an Informal Inquiry initiated as a result of action taken under the Certification Quality Monitoring Program will often require the Program Director merely to read the report issued as a result of the Quality Monitoring action. On the other hand, information provided by election officials or by voters who have used a voting system may require the Program Director (or assigned technical experts) to perform an in-person inspection or make inquiries of the Manufacturer.





U.S. Election Assistance Commission Decertification

III. Formal Investigations



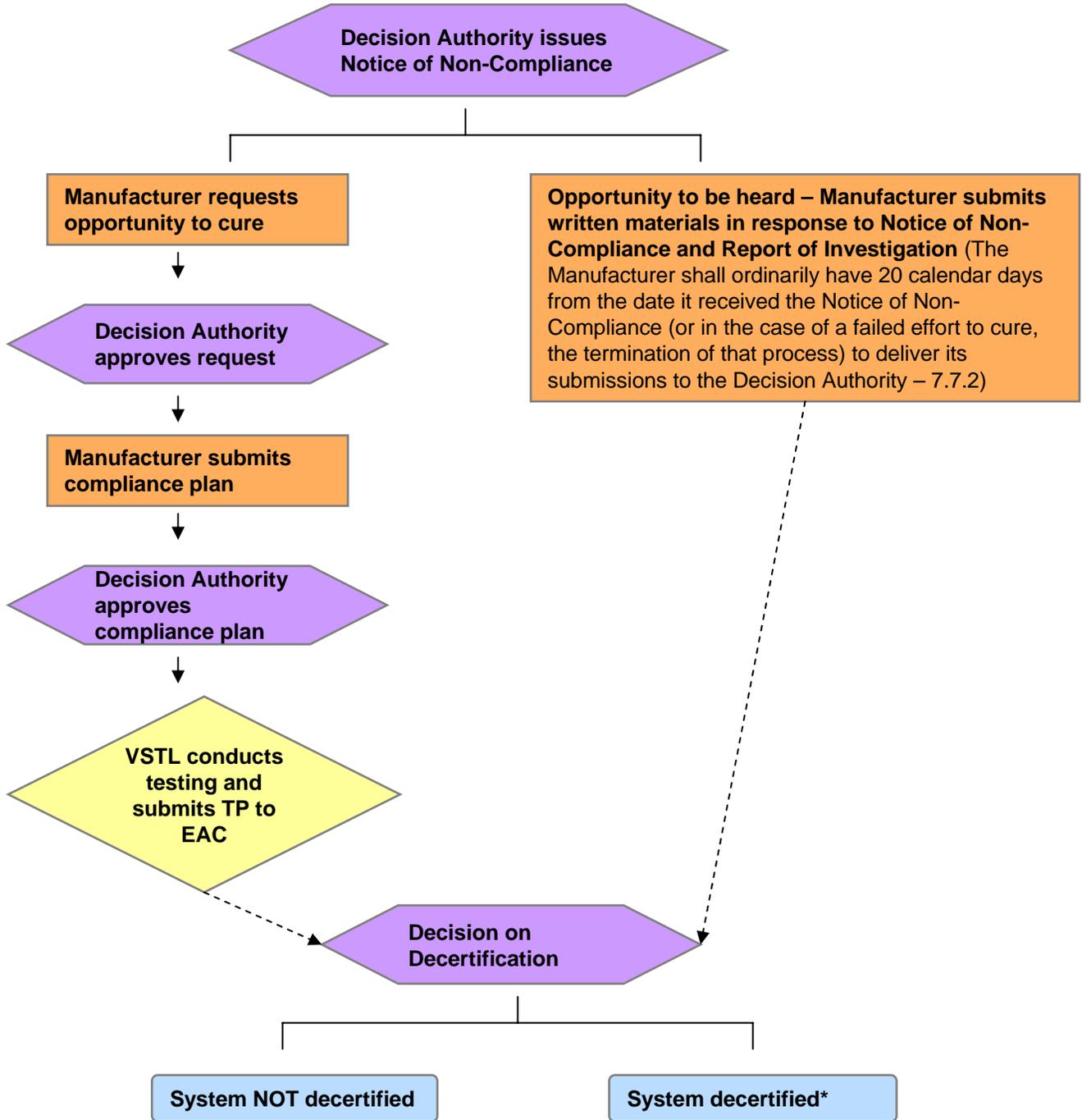
*7.4.6.1. *When Complete.* The report is complete and final when certified and signed by the Decision Authority.

*7.4.8. Publication of Report. The report shall not be made public nor released to the public until final.



U.S. Election Assistance Commission Decertification

IV. Formal Investigations – Substantiated Allegations

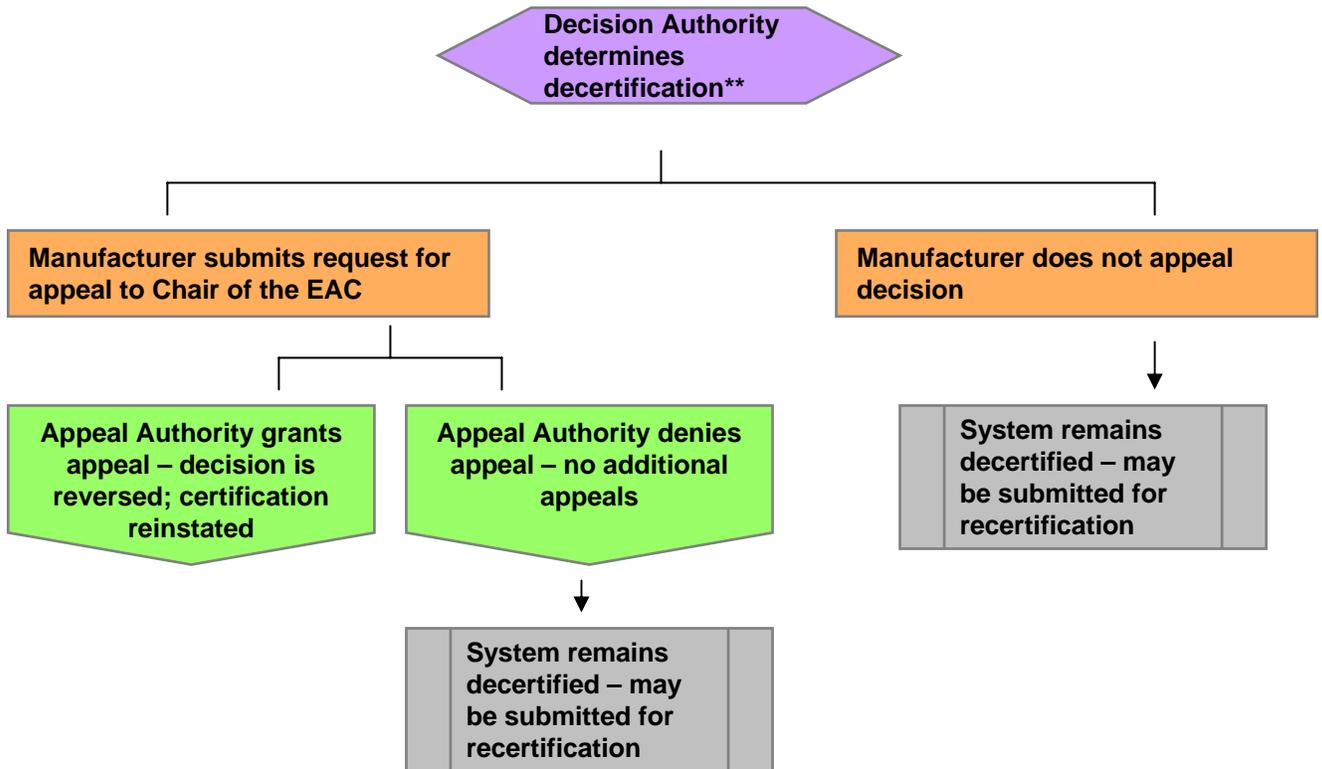


*7.8 A Decertification is effective upon the EAC's publication or Manufacturer's receipt of the decision (whichever is earlier).



U.S. Election Assistance Commission Decertification

V. Appeal of Decertification

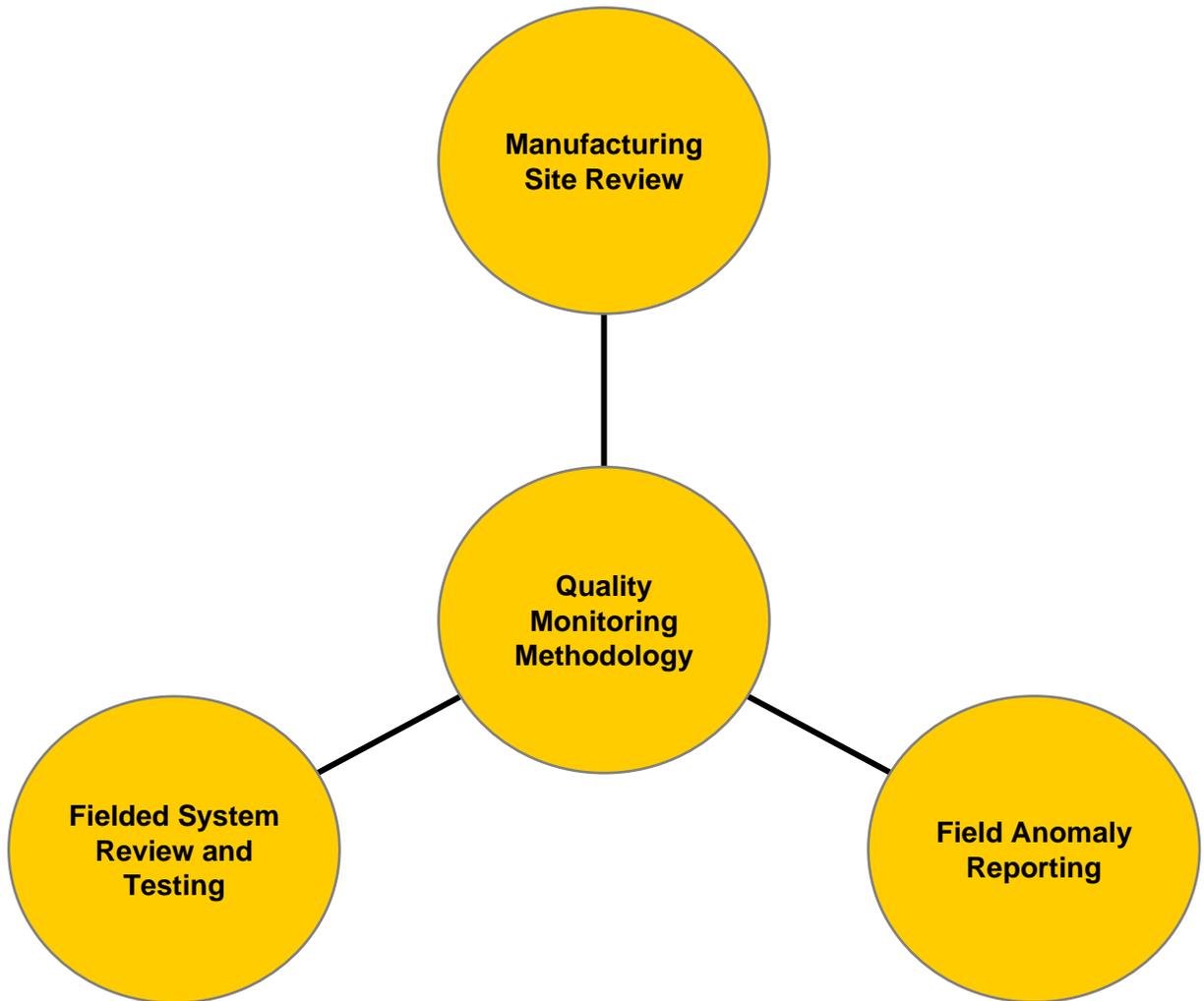


**** 7.10. Effect of Decertification.** A voting system that has been decertified no longer holds an EAC certification under the Certification Program. For purposes of this Manual and the program, a decertified system will be treated as any other uncertified voting system. As such, the effects of Decertification are as follows:

- 7.10.1. The Manufacturer may not represent the voting system as certified.
- 7.10.2. The voting system may not be labeled with a mark of certification.
- 7.10.3. The voting system will be removed from the EAC list of certified systems.
- 7.10.4. The EAC will notify State and local election officials of the Decertification.



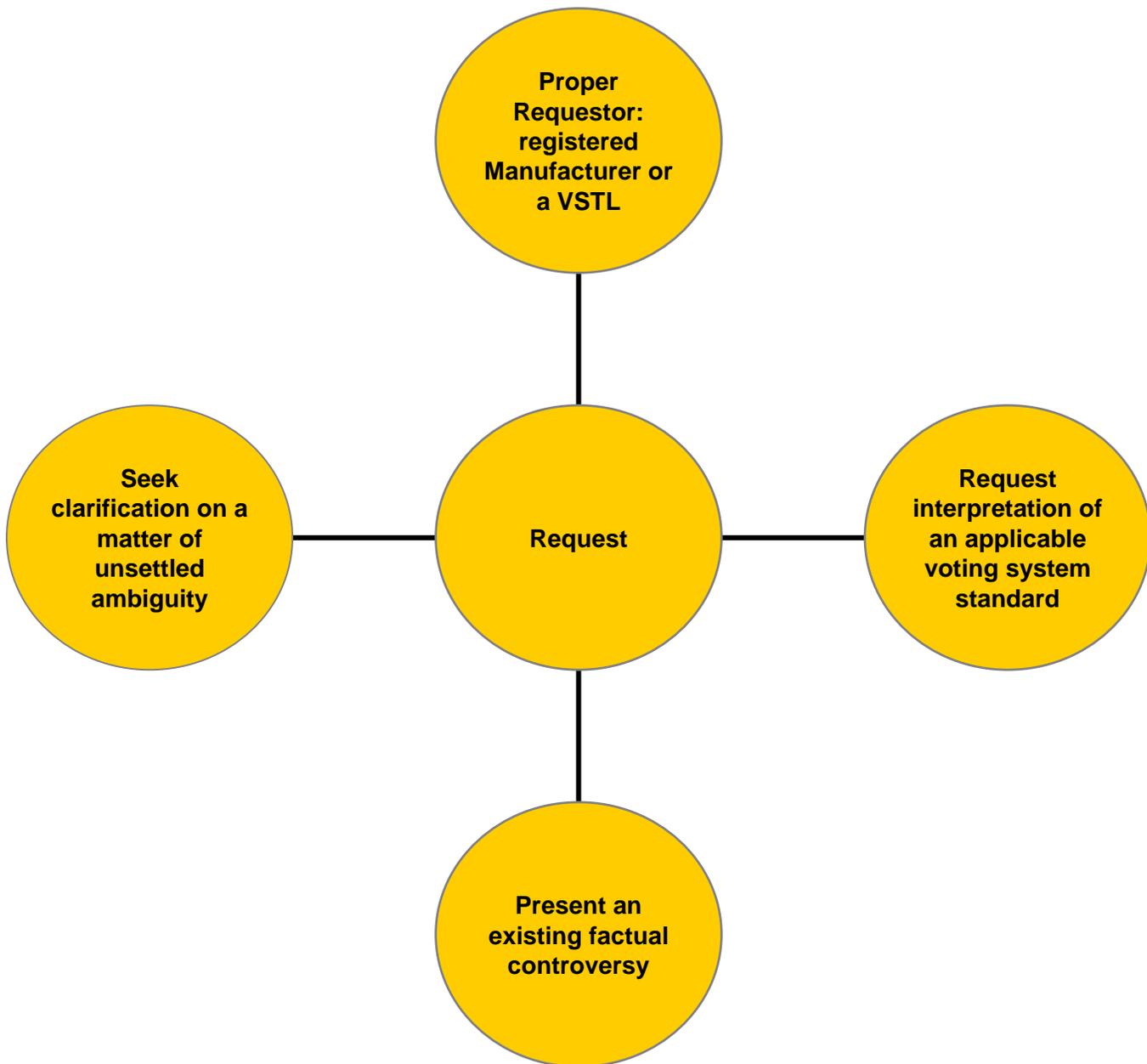
U.S. Election Assistance Commission Quality Monitoring Program





U.S. Election Assistance Commission Requests for Interpretations

I. Requirements for Submitting a Request for Interpretation





U.S. Election Assistance Commission Requests for Interpretations

II. Procedures for Requests for Interpretation

A. Requests for Interpretations shall be made in writing to the Program Director. The following steps must be taken when writing a request (Section 9.4):

- 9.4.1 Establish standing to make the request.
- 9.4.2 Identify the EAC voting system standard to be clarified.
- 9.4.3 State the facts giving rise to the ambiguity.
- 9.4.4 Identify the ambiguity.
- 9.4.5 Provide a proposed interpretation.

B. EAC Action

