



# STATE OF NEBRASKA

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**JOHN A. GALE**  
**SECRETARY OF STATE**

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March 28, 2007

State HAVA Funding Reports  
U.S. Election Assistance Commission  
1225 New York Avenue, NW-Suite 1100  
Washington, DC 20005

Enclosed please find the State of Nebraska submission SF269 for funds expended for the implementation of the Help America Vote Act. Specifically this report represents Title II, section 251 funds received and to-date expenses to accurately reflect major expenditure categories.

The additional report titled Job Status Inquiry represents the State of Nebraska federal funds expenditure detail. This detail reflects minor cost categories by object of expenditure for review purposes.

If you should require further information on either of the reports please feel free to contact Neal Erickson, Deputy Secretary of State for Elections at (402) 471-4127 or myself Suzanne Hinzman at (402) 471-2384.

Sincerely,

A handwritten signature in cursive script that reads "Suzanne Hinzman".

Suzanne Hinzman  
Controller, Nebraska Secretary of State

**FINANCIAL STATUS REPORT**

*(Long Form)*

*(Follow instructions on the back)*

|  |  |  |         |  |                           |
|--|--|--|---------|--|---------------------------|
| 1. Federal Agency and Organizational Element to Which Report is Submitted<br>U.S. Election Assistance Commission   |  | 2. Federal Grant or Other Identifying Number Assigned By Federal Agency<br>CFDA 39.011 HAVA Title II sec. 251  |         | OMB Approval No.<br><b>0348-0039</b>   | Page of<br>1   1<br>pages |
| 3. Recipient Organization (Name and complete address, including ZIP code)<br>Nebraska Secretary of State<br>Elections Division   |  |  |         |  |                           |
| 4. Employer Identification Number<br>[REDACTED]  |  | 5. Recipient Account Number or Identifying Number<br>[REDACTED]  |         | 6. Final Report<br><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |                           |
| 7. Basis<br><input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual  |  |  |         |  |                           |
| 8. Funding/Grant Period (See instructions)<br>From: (Month, Day, Year)<br>4/22/2003  |  | To: (Month, Day, Year)   |         | 9. Period Covered by this Report<br>From: (Month, Day, Year)<br>10/1/2005              |                           |
|  |  |  |         | To: (Month, Day, Year)<br>9/30/2006  |                           |
| 10. Transactions:  |  |  |         | I  | II                        |
|  |  |  |         | Previously Reported  | This Period               |
|  |  |  |         | III  | Cumulative                |
| a. Total outlays   |  |  |         | 856,414.00   | 11,454,877.00             |
| b. Refunds, rebates, etc.  |  |  |         |  | 0.00                      |
| c. Program income used in accordance with the deduction alternative  |  |  |         |  | 0.00                      |
| d. Net outlays (Line a, less the sum of lines b and c)   |  |  |         | 856,414.00   | 11,454,877.00             |
|  |  |  |         |  | 12,311,291.00             |
| <b>Recipient's share of net outlays, consisting of:</b>  |  |  |         |  |                           |
| e. Third party (in-kind) contributions   |  |  |         |  | 0.00                      |
| f. Other Federal awards authorized to be used to match this award  |  |  |         |  | 0.00                      |
| g. Program income used in accordance with the matching or cost sharing alternative   |  |  |         |  | 0.00                      |
| h. All other recipient outlays not shown on lines e, f or g  |  |  |         |  | 0.00                      |
| i. Total recipient share of net outlays (Sum of lines e, f, g and h)   |  |  |         | 0.00   | 0.00                      |
|  |  |  |         |  | 0.00                      |
| j. Federal share of net outlays (line d less line i)   |  |  |         | 856,414.00   | 11,454,877.00             |
|  |  |  |         |  | 12,311,291.00             |
| k. Total unliquidated obligations  |  |  |         |  |                           |
| l. Recipient's share of unliquidated obligations   |  |  |         |  |                           |
| m. Federal share of unliquidated obligations   |  |  |         |  |                           |
| n. Total Federal share (sum of lines j and m)  |  |  |         |  | 12,311,291.00             |
| o. Total Federal funds authorized for this funding period  |  |  |         |  | 14,127,056.00             |
| p. Unobligated balance of Federal funds (Line o minus line n)  |  |  |         |  | 1,815,765.00              |
| <b>Program Income, consisting of:</b>  |  |  |         |  |                           |
| q. Disbursed program income shown on lines c and/or g above  |  |  |         |  |                           |
| r. Disbursed program income using the addition alternative   |  |  |         |  |                           |
| s. Undisbursed program income  |  |  |         |  |                           |
| t. Total program income realized (Sum of lines q, r and s)   |  |  |         |  | 0.00                      |
| 11. Indirect Expense   |  | a. Type of Rate (Place "X" in appropriate box)<br><input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed |         |  |                           |
|  |  | b. Rate  | c. Base | d. Total Amount  | e. Federal Share          |
| 12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.<br>Line 10 o includes interest earned in the amount of \$459,134. MOE expended = \$208,473 in FY06. |  |  |         |  |                           |
| 13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.                                    |  |  |         |  |                           |
| Typed or Printed Name and Title<br>Suzanne J. Hinzman, Controller  |  |  |         | Telephone (Area code, number and extension)<br>402-471-2384                            |                           |
| Signature of Authorized Certifying Official<br>   |  |  |         | Date Report Submitted<br>March 28, 2007  |                           |

## **Summary of Expenditures Title II**

**10/1/05 to 9/30/06**

Attached is a specific listing of expenditures for Title II monies expended during the '06 fiscal year. This summary sheet indicates totals for the specific categories outlined in Title III. In some cases, incidental costs such as shipping, printing, travel or training may cover more than one categories. These expenditures are summarized below the Title III categories.

### **Voting Systems (Section 301)**

Expenditures in this category include approximately \$5.6 million for a Automark ballot marking equipment that allows voters (including disabled voters) to mark an optical scan ballot in private and unassisted as required by section 301(2). In addition, approximately \$4.6 million in expenditures was for a mix of central and precinct scan vote tabulators to count such ballots. Included in these amounts are some costs associated with training of local officials on the equipment.

### **Central Voter Registration System (Section 303)**

Approximately \$1.1 million of the Title II funds were expended on the Central Voter Registration system including software costs, consulting fees for testing and other functions, and costs associated with coordinating other databases such as motor vehicles, criminal justice, and vital statistics.

### **Other Expenditures**

Other expenditures of approximately \$75,000 are attributable to more than one category include printing, training, shipping and postage costs.

Project

| Job     | Cost Code | Cost Type | Description               | L P M<br>DE CUM | Original<br>Budget Amt | Revised<br>Budget Amt | Actual<br>Amount | Open Commit<br>Amount | Tot Conctrct<br>Amount | Budget<br>Variance |
|---------|-----------|-----------|---------------------------|-----------------|------------------------|-----------------------|------------------|-----------------------|------------------------|--------------------|
| 9824588 | 461100    |           | OPERATING FED GRANTS & C  | 5 D             |                        |                       | 6,460,570.16     |                       | 6,460,570.16           | 6,460,570.16-      |
| 9824588 | 460000    |           | REVENUE-INTERGOVERNMENTAL | 4 T             |                        |                       | 6,460,570.16     |                       | 6,460,570.16           | 6,460,570.16-      |
| 9824588 | 484500    |           | REIMB NON-GOVT SOURCES    | 5 D             |                        |                       | 500.00-          |                       | 500.00-                | 500.00             |
| 9824588 | 486500    |           | MISCELLANEOUS ADJUSTMENT  | 5 D             |                        |                       | 129.00-          |                       | 129.00-                | 129.00             |
| 9824588 | 480000    |           | REVENUES-MISCELLANEOUS    | 4 T             |                        |                       | 629.00-          |                       | 629.00-                | 629.00             |
| 9824588 | 400000    |           | REVENUE                   | 3 T             |                        |                       | 6,459,941.16     |                       | 6,459,941.16           | 6,459,941.16-      |
| 9824588 | 521300    |           | FREIGHT EXPENSE           | 5 D             |                        |                       | 27.68            |                       | 27.68                  | 27.68-             |
| 9824588 | 521400    |           | DATA PROCESSING EXPENSES  | 5 D             |                        |                       | 19,087.69 ✓      |                       | 19,087.69              | 19,087.69-         |
| 9824588 | 521500    |           | Publication & Printing    | 5 D             |                        |                       | 250.00           |                       | 250.00                 | 250.00-            |
| 9824588 | 522200    |           | CONFERENCE REGISTRATION   | 5 D             |                        |                       | 69.00            |                       | 69.00                  | 69.00-             |
| 9824588 | 524600    |           | rent exp-buildings        | 6 D             |                        |                       | 1,581.90         |                       | 1,581.90               | 1,581.90-          |
| 9824588 | 522200    |           | CONFERENCE REGISTRATION   | 5 T             |                        |                       | 1,650.90         |                       | 1,650.90               | 1,650.90-          |
| 9824588 | 524700    |           | RENT EXP-OTHER REAL PROP  | 5 D             |                        |                       | 3,278.00         |                       | 3,278.00               | 3,278.00-          |
| 9824588 | 527400    |           | REP & MAINT-DATA PROC     | 5 D             |                        |                       | 368.75           |                       | 368.75                 | 368.75-            |
| 9824588 | 531100    |           | OFFICE SUPPLIES EXPENSE   | 5 D             |                        |                       | 118.00           |                       | 118.00                 | 118.00-            |
| 9824588 | 533900    |           | FOOD EXPENSE              | 5 D             |                        |                       | 113.28           |                       | 113.28                 | 113.28-            |
| 9824588 | 543100    |           | IT CONSULTING-APPLICATION | 5 D             |                        |                       | 201,762.18 ✓     |                       | 201,762.18             | 201,762.18-        |
| 9824588 | 543200    |           | IT CONSULTING-HW/SW SUPPO | 5 D             |                        |                       | 141,505.00 ✓     |                       | 141,505.00             | 141,505.00-        |
| 9824588 | 554900    |           | OTHER CONTRACTUAL SERVICE | 5 D             |                        |                       | 11,297.50        |                       | 11,297.50              | 11,297.50-         |
| 9824588 | 555200    |           | SOFTWARE - NEW PURCHASES  | 5 D             |                        |                       | 725,952.66 ✓     |                       | 725,952.66             | 725,952.66-        |
| 9824588 | 559100    |           | OTHER OPERATING EXP       | 5 D             |                        |                       | 91.18            |                       | 91.18                  | 91.18-             |
| 9824588 | 520000    |           | OPERATING EXPENSES        | 4 T             |                        |                       | 1,105,502.82     |                       | 1,105,502.82           | 1,105,502.82-      |
| 9824588 | 571100    |           | BOARD & LODGING           | 5 D             |                        |                       | 772.98           |                       | 772.98                 | 772.98-            |
| 9824588 | 574500    |           | PERSONAL VEHICLE MILEAGE  | 5 D             |                        |                       | 1,049.58         |                       | 1,049.58               | 1,049.58-          |
| 9824588 | 570000    |           | TRAVEL EXPENSES           | 4 T             |                        |                       | 1,822.56         |                       | 1,822.56               | 1,822.56-          |
| 9824588 | 583300    |           | COMPUTER HARDWARE EQUIPME | 5 D             |                        |                       | 3,886,981.52     |                       | 3,886,981.52           | 3,886,981.52-      |

Project

| Job     | Cost Code | Cost Type | Description    | L P M<br>DEC UM | Original<br>Budget Amt | Revised<br>Budget Amt | Actual<br>Amount | Open Commit<br>Amount | Tot Contrct<br>Amount | Budget<br>Variance |
|---------|-----------|-----------|----------------|-----------------|------------------------|-----------------------|------------------|-----------------------|-----------------------|--------------------|
| 9824588 |           | 580000    | CAPITAL OUTLAY | 4 T             |                        |                       | 3,886,981.52     |                       | 3,886,981.52          | 3,886,981.52-      |
| 9824588 |           | 500000    | EXPENDITURES   | 3 T             |                        |                       | 4,994,306.90     |                       | 4,994,306.90          | 4,994,306.90-      |
|         |           |           | Total:         | 1 T             |                        |                       | 11,454,248.06    |                       | 11,454,248.06         | 11,454,248.06-     |