JENNIFER BRUNNER
OHIO SECRETARY OF STATE

180 EAST BROAD STREET, 15TH FLOOR
COLUMBUS, OHIO 43215 USA
TEL: 1-614-466-2655
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REVISED

March 20, 2007

Mr. Thomas R. Wilkey
Executive Director
U.S. Election Assistance Commission
1225 New York Avenue, NW, Suite 1100
Washington, DC 20005

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U.S. ELECTION ASSISTANCE
COMMISSION
2007 APR 23 PM 1:24

Reference: Amended HAVA Reports Title II, Section 251 for Fiscal Years 2003, 2004, 2005 and 2006

Dear Mr. Wilkey,

This letter is in reference to the letter dated February 1, 2007 sent to the Ohio Secretary of State's Office. The letters were received from your office on February 6, 2007.

An extension for filing the amended reports was granted by you on February 14, 2007 to allow us to finalize our audit response. The extended due date was March 20, 2007.

An email containing electronic copies of the amended reports was sent to you and to Edgardo Cortes on March 20, 2007. This package contains the original documents.

The attachments to this letter are as follows:

Amended Title II, 251 SF269 reports by federal fiscal year, most recent year on top
Item by item expenses and spreadsheet containing the maintenance of effort information

If you have any questions or need further information, do not hesitate to contact me at (614) 466-6232.

Sincerely,

Lori L. Jordan

Lori L. Jordan
Finance Grants Manager

Enclosures

# FINANCIAL STATUS REPORT

*(Long Form)*

*(Follow instructions on the back)*

REVISED

| 1. Federal Agency and Organization Element to Which Report is Submitted | 2. Federal Grant of Other Identifying Number Assigned By Federal Agency | OMB Approval No. | Page of |
|---|---|---|---|
| U.S. Election Assistance Commission | Title II, 251 | 0348-0039 | 1 of 1 pages |

3. Recipient Organization (Name and complete address, including ZIP code)
**Ohio Secretary of State Office**
**180 E. Broad St. 17th Fl.**
**Columbus, OH 43215**

| 4. Employer Identification Number | 5. Recipient Account Number | 6. Final Report | 7. Basis |
|---|---|---|---|
| [redacted] | CFDA 90.401 | no | accrual |

| 8. Funding/Grant period (*see instructions*) From: (Month, Day, Year) | To: (Month, Day, Year) | 9. Period Covered by this Report From: (Month, Day Year) | To: (Month, Day, Year) |
|---|---|---|---|
| 6/22/2004 | Until disbursed | 10/1/2003 | 9/30/2004 |

| 10. Transactions | I Previously Reported | II This Period | III Cumulative |
|---|---|---|---|
| a. Total Outlays | 0.00 | 87,356.06 | 87,356.06 |
| b. Refunds, rebates, etc. | 0.00 | 0.00 | 0.00 |
| c. Program income used in accordance with deduction alternative | 0.00 | 0.00 | 0.00 |
| d. Net outlays *(Line a, less the sum of lines b and c)* | 0.00 | 87,356.06 | 87,356.06 |
| **Recipient's share of net outlays, consisting of:** | | | |
| e. Third party (in-kind) contributions | 0.00 | 0.00 | 0.00 |
| f. Other Federal awards authorized to be used to match this award | 0.00 | 0.00 | 0.00 |
| g. Program income used in accordance with the matching or cost sharing alternative | 0.00 | 0.00 | 0.00 |
| h. All other recipient outlays not shown on lines e, f, or g | 0.00 | 0.00 | 0.00 |
| i. Total recipient share of net outlays *(Sum of lines e, f, g, and h)* | 0.00 | 0.00 | 0.00 |
| j. Federal share of net outlays *(line d less line i)* | 0.00 | 87,356.06 | 87,356.06 |
| k.Total unliquidated obligations | | | 2,412,643.94 |
| l. Recipient's share of unliquidated obligations | | | 0.00 |
| m. Federal share of unliquidated obligations | | | 2,412,643.94 |
| n. Total Federal share *(sum of lines j and m)* | | | 2,500,000.00 |
| o. Total Federal funds authorized for this funding period | | | 91,296,357.07 |
| p. Unobligated balance of federal funds *(Line o minus line n)* | | | 88,796,357.07 |
| **Program Income, consisting of :** | | | |
| q. Disbursed program income shown on lines c and/or g above | | | 0.00 |
| r. Disbursed program income using the addition alternative | | | 0.00 |
| s. Undisbursed program income | | | 0.00 |
| t. Total program income realized *(Sum of lines q, r, and s)* | | | 0.00 |

| 11. Indirect Expense | a. Type of Rate(*Place "X" in appropriate line*) ___ Provisional ___ Predetermined ___ Final ___ Fixed | | | |
|---|---|---|---|---|
| not applicable | b. Rate | c. Base | d. Total Amount | e. Federal Share |

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.* **This is an amended report. Federal Award $90,992,517.00 + interest FY04 $303,840.07=$91,296,357.07 line o. The interest accrued for this period is $ 303,840.07. The state match of $5,800,000.00 has been appropriated but not expended. The interest calculations for the state match are being calculated by the Ohio Office of Budget & Management & will be included in our federal audit response. The Maintenance of Effort requirement is fulfilled by the attached Elections Operating Expenses Spreadsheet given to State & Fed Auditors.**

13 Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

| Typed or Printed Name and Title | Telephone (Area code, number and extension) |
|---|---|
| **Veronica N. Sherman Chief Financial Officer** | **614-466-0180** |
| Signature of Authorized Certifying Official | Date Report Submitted |
| Veronica N. Sherman | **3/20/07** |

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Amended Narrative Reports
Ohio Secretary of State's Office
Narrative Report on Expenditure of Title I Funds
251 Funds 10/1/03 Through 9/30/04

REVISED

This narrative is provided per the request of the U.S. Election Assistance Commission. An Ohio Secretary of State staff member who is no longer employed by the agency wrote the original narratives that were filed with the 269 reports. The structure utilized to present the original narratives cannot be replicated, because the institutional knowledge of the programmatic methodology is no longer available. The information provided in this document depicts the actual type of expenditures by category.

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Outlays for this period:

| | | |
|---|---|---|
| **Voter Education Marketing** | | **$87,356.06** |

Interest Accrued for this period $303,840.07.

State match appropriated is $5,800,000.00. No state match funds were expended during this reporting period.

Maintenance of effort requirement is fulfilled by the spreadsheet attached to this document that was also provided to the federal auditors during the Ohio audit.

# Secretary of State

## Elections Operating Expenses FY2000 - FY2006

### Payroll

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 |
|---|---|---|---|---|---|---|---|
| Elections | $ 1,093,728.00 | $ 1,197,346.00 | $ 1,153,647.00 | $ 1,253,270.00 | $ 1,254,071.00 | $ 868,129.00 | $ 845,526.00 |
| Field Staff | | | $ 48,206.00 | $ 275,194.00 | $ 370,143.00 | $ 334,950.00 | $ 308,254.00 |
| Campaign Finance | | | | | | $ 585,808.00 | $ 654,518.00 |
| Total | $ 1,093,728.00 | $ 1,197,346.00 | $ 1,201,853.00 | $ 1,528,464.00 | $ 1,624,214.00 | $ 1,788,887.00 | $ 1,808,298.00 |

### Consulting - Payments

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 |
|---|---|---|---|---|---|---|---|
| Elections | $ 99,286.04 | $ 137,531.39 | $ 143,374.86 | $ 309,395.68 | $ 841,912.71 | $ 137,153.46 | $ 63,055.14 |
| Field Staff | | | $ - | $ - | $ 21,417.27 | $ 35,822.44 | $ 10,728.86 |
| Campaign Finance | | | | | | $ 259,330.36 | $ 77,317.40 |
| Total | $ 99,286.04 | $ 137,531.39 | $ 143,374.86 | $ 309,395.68 | $ 863,329.98 | $ 432,306.26 | $ 151,101.40 |

### Supplies & Maintenance

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 |
|---|---|---|---|---|---|---|---|
| Elections | $ 134,131.00 | $ 79,978.36 | $ 74,323.00 | $ 127,032.00 | $ 139,969.00 | $ 63,651.00 | $ 43,743.00 |
| Field Staff | | | $ 20,755.00 | $ 61,773.00 | $ 61,319.00 | $ 54,800.00 | $ 45,691.00 |
| Campaign Finance | | | | | | $ 16,761.00 | $ 23,764.00 |
| Total | $ 134,131.00 | $ 79,978.36 | $ 95,078.00 | $ 188,805.00 | $ 201,288.00 | $ 135,212.00 | $ 113,198.00 |

### Furniture & Equipment

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 |
|---|---|---|---|---|---|---|---|
| Elections | $ 9,344.00 | $ 9,665.32 | $ 389.00 | $ 3,830.00 | $ 570.00 | $ - | $ 3,849.00 |
| Field Staff | | | $ 7,279.00 | $ 110.00 | $ 3,713.00 | $ 1,251.00 | $ - |
| Campaign Finance | | | | | | $ - | $ - |
| Total | $ 9,344.00 | $ 9,665.32 | $ 7,668.00 | $ 3,940.00 | $ 4,283.00 | $ 1,251.00 | $ 3,849.00 |

### Totals

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 |
|---|---|---|---|---|---|---|---|
| Elections | $ 1,336,489.04 | $ 1,424,521.07 | $ 1,371,733.86 | $ 1,693,527.68 | $ 2,236,522.71 | $ 1,068,933.46 | $ 956,173.14 |
| Field Staff | | | $ 76,240.00 | $ 337,077.00 | $ 456,592.27 | $ 426,823.44 | $ 364,673.86 |
| Campaign Finance | | | | | | $ 861,899.36 | $ 755,599.40 |
| Total | $ 1,336,489.04 | $ 1,424,521.07 | $ 1,447,973.86 | $ 2,030,604.68 | $ 2,693,114.98 | $ 2,357,656.26 | $ 2,076,446.40 |

Loc - Given To Federal Auditors on 7/18/06