FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

ORIGINAL

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Federal Agency Title I, 101	OMB Approval No. 0348-0039	Page 1 of pages

3. Recipient Organization (Name and complete address, including ZIP code)
Vermont Secretary of State
26 Terrace Street, Drawer 09
Montpelier, VT 05609

4. Employer Identification Number 036000264	5. Recipient Account Number or Identifying Number CFDA #39.011	6. Final Report ☐ Yes ☒ No	7. Basis ☒ Cash ☐ Accrual
8. Funding/Grant Period *(See instructions)* From:(Month, Day, Year) 4/11/03	To: (Month, Day, Year) until disbursed	9. Period Covered by this Report From: (Month, Day, Year) 1/1/06	To: (Month, Day, Year) 12/31/06

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	1,402,356.59	1,290,427.32	2,692,783.91
b. Refunds, rebates, etc.	0.00	0.00	0.00
c. Program income used in accordance with the deduction alternative	0.00	0.00	0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	1,402,356.59	1,290,427.32	2,692,783.91
Recipient's share of net outlays, consisting of: e. Third party (in-kind) contributions	0.00	0.00	0.00
f. Other Federal awards authorized to be used to match this award	0.00	0.00	0.00
g. Program income used in accordance with the matching or cost sharing alternative	0.00	0.00	0.00
h. All other recipient outlays not shown on lines e, f or g	0.00	0.00	0.00
i. Total recipient share of net outlays *(Sum of lines, e, f, g and h)*	0.00	0.00	0.00
j. Federal share of net outlays (line d less line i)	1,402,356.59	1,290,427.32	2,692,783.91
k. Total unliquidated obligations			0.00
l. Recipient's share of unliquidated obligations			0.00
m. Federal share of unliquidated obligations			0.00
n. Total federal share (sum of lines j and m)			2,692,783.91
o. Total federal funds authorized for this funding period			5,360,807.54
p. Unobligated balance of federal funds *(Line o minus line n)*			2,668,023.63
Program income, consisting of: q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income			0.00
t. Total program income realized *(Sum of lines q, r and s)*			0.00

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☒ Fixed, with carry forward			
	b. Rate 24.04%	c. Base 1,040,331.61	d. Total Amount 250,095.71	e. Federal Share 250,095.71

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:* Interest earned on Federal dollars this period: $170,484.03. Cumulative interest earned is $360,807.54. Supplemental information is included in separate memorandum.

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purpose set forth in the award documents.**

Typed or Printed Name and Title Paul T. Daley Director of Administrative Services	Telephone (Area code, number and extension) (802) 828-2477
Signature of Authorized Certifying Official	Date Report Submitted 27 February 2007

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ORIGINAL

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February 26, 2007

MEMORANDUM

TO: Peggy Sims
U.S. Election Assistance Commission

FR: Kathy DeWolfe, Director of Elections
Office of the Vermont Secretary of State

RE: Calendar year 2005 HAVA Title I financial status report—Additional Information

The following information is provided as a supplement to the **SF 269** that accompanies this memorandum. This information covers the reporting period January 1 – December 31, 2006.

1. **List of expenditures made with respect to each category of activities described for the use of funds in HAVA section 101:**

Complying with the requirements under Title III (uniform and nondiscriminatory election technology and administration requirements).	446,271.99
Improving the administration of elections for Federal office.	10,052.02
Educating voters concerning voting procedures, voting rights, and voting technology.	104,849.92
Training election officials, poll workers, and election volunteers.	0.00
Developing the State plan for requirements payments to be submitted under part 1 of subtitle D of Title II.	0.00
Improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes.	372,904.16
Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.	106,253.52
Establishing toll-free telephone hotlines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations, and other relevant information.	0.00
Indirect costs as calculated according to the approved indirect cost agreement	250,095.71
Total Spending for Calendar 2006	1,290,427.32

2. An analysis and description of the activities funded to meet HAVA requirements and how such activities conform to the submitted State plan:

Vermont has spent a total of $1,290,427.37 during this period. During this period (calendar year 2006) the following activities were funded to meet HAVA requirements in conformance with our Vermont State Plan, promulgated July 28, 2003:

A. Pages 3 & 17, Vermont State Plan: $446,271.99 was spent in this reporting period to improve the administration of elections for federal office by purchasing additional hardware, software, and training for state information technology staff to develop the statewide voter registration checklist and to train municipal clerks on the use of this system; to provide grants to towns and cities (grants under $3,000 except in 3 large jurisdictions up to $6,000) within Vermont to purchase computer equipment or training to allow the clerk to access the statewide voter registration checklist; to pay consultants to assist in the development and refinement of the statewide voter checklist.

B. Page 18, Vermont State Plan: Improving the administration of elections for federal office including implementing the budget and fiscal controls required by HAVA. In 2006, $10,052.02 was spent in order for the Director of Administrative Services to attend the NASS/EAC training on indirect costs and also for the staff costs to have the Director and a staff person develop and receive approval for the indirect cost formula to be used by the State of Vermont for HAVA programs.

C. Pages 7, 8, 9, Vermont State Plan: $104, 849.92 was spent on outreach to voters to educating voters concerning voting procedures, voting rights, and voting technology. These projects included preparation and updating of voter rights posters for each polling place, updating of voter education videos demonstrating voting procedures, preparation and distribution of materials to educate voters in the use of the Vote-by-Telephone system, and updating and distribution of a disability etiquette guide.

D. Pages 12 and 13, Vermont State Plan: $372,904.16 was spent on improving voting systems in Vermont by acquiring 12 additional vote tabulating machines for municipalities, purchasing and providing accessible voting stations (420 handicapped accessible voting booths), 300 table top voting booths, and 285 ADA phones & headsets to be used with the vote-by-telephone system for all municipal jurisdictions in Vermont. This is a continuing program and additional accessible voting stations may be provided with funds in the next reporting period, and in future years as needed.

E. Pages 4 & 17, Vermont State Plan: Purchase and Installation of a voting system for each polling place in Vermont that is accessible to persons with disabilities including blind and visually impaired persons. Vermont spent $106,253.52 for installation, maintenance, licensing of the telephone voting system during this calendar year.

F. Page 18, Vermont State Plan: $250,095.71 is the amount of indirect costs for calendar year 2006 as calculated according to the approved indirect cost agreement.