Redstone Building
26 Terrace Street
Montpelier, VT 05609-1101

Tel: (802) 828-2363
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www.sec.state.vt.us

State of Vermont
Office of the Secretary of State

Deborah L. Markowitz
Secretary of State

William A. Dalton
Deputy Secretary

February 7, 2007

Mr. Edgardo Cortés, Election Research Specialist
U.S. Election Assistance Commission
Suite 1100
1225 New York Ave, N.W.
Washington, DC 20005

Dear Mr. Cortés:

As requested, enclosed please find amended reports on the State of Vermont's use of funds awarded under the Help America Vote Act of 2002 (HAVA). Included are reports for

- Title I, Section 101, for calendar year 2004
- Title I, Section 101, for calendar year 2005
- Title II, Section 251, for fiscal year 2004
- Title II, Section 251, for fiscal year 2005.

We note that, like several other states, we did not interpret HAVA as requiring that the appropriated State match on Section 251 funds be deposited into a separate interest-bearing account from the onset. Given that the EAC's Inspector General has issued audit findings to the contrary in at least one instance, and on your advice, in our amended Section 251 reports we have calculated and reported the interest earnings that would have accrued on the State match had that match been deposited into the same interest-bearing account at the same time as the federal funds were. Those calculations demonstrate that we are on track to complete the spending of our required State match (including interest earned) by the end of fiscal year 2006 and will, in fact, have spent substantially more State dollars than required by fiscal year 2006 year-end.

We are sending electronic copies of the enclosed reports to you via e-mail for your convenience. We believe the reports contain all required information, but if you have questions, please feel free to contact me. Thank you for your assistance in this matter.

2007 FEB 12 PM 4:19
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U.S. ELECTION ASSISTANCE COMMISSION

Sincerely,

Paul Daley
Director of Administrative Services

cc: Deborah Markowitz, Secretary of State

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26 Terrace Street
Montpelier, VT 05609-1101

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State of Vermont
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Deborah L. Markowitz
Secretary of State

William A. Dalton
Deputy Secretary

18 January 2007

Mr. Edgardo Cortés, Election Research Specialist
U.S. Election Assistance Commission
Suite 1100
1225 New York Ave. N.W.
Washington, DC 2005

Dear Mr. Cortés:

The Office of the Vermont Secretary of State requests additional time to respond to your request for amended SF 269 reports for reporting years 2004 and 2005. Your letter dated January 10, 2007 was received January 17 with a response requested by January 26, 2007. This does not provide for a reasonable amount of time to research and respond to your request.

I expect that the amended reports will be complete and mailed by February 16, or within 30 days of receipt of your request. To expedite your review, a copy will be sent by e-mail to you as well.

Sincerely,

Paul Daley
Director of Administrative Services

cc: Deborah Markowitz, Secretary of State

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REVISED

FINANCIAL STATUS REPORT
(Long Form)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Federal Agency **Title I, 101**	OMB Approval No. 0348-0039	Page 1 of 3 pages

3. Recipient Organization (Name and complete address, including ZIP code)
Vermont Secretary of State
26 Terrace Street, Drawer 09
Montpelier, VT 05609

4. Employer Identification Number 036000264	5. Recipient Account Number or Identifying Number CFDA #39.011	6. Final Report ☐ Yes ☒ No	7. Basis ☒ Cash ☐ Accrual
8. Funding/Grant Period *(See instructions)* From:(Month, Day, Year) 4/15/03	To: (Month, Day, Year) until disbursed	9. Period Covered by this Report From: (Month, Day, Year) **01/01/04**	To: (Month, Day, Year) **12/31/04**

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	11,841.44	636,829.88	648,671.32
b. Refunds, rebates, etc.	0.00	0.00	0.00
c. Program income used in accordance with the deduction alternative	0.00	0.00	0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	11,841.44	636,829.88	648,671.32
Recipient's share of net outlays, consisting of: e. Third party (in-kind) contributions	0.00	0.00	0.00
f. Other Federal awards authorized to be used to match this award	0.00	0.00	0.00
g. Program income used in accordance with the matching or cost sharing alternative	0.00	0.00	0.00
h. All other recipient outlays not shown on lines e, f or g	0.00	0.00	0.00
i. Total recipient share of net outlays *(Sum of lines, e, f, g and h)*	0.00	0.00	0.00
j. Federal share of net outlays (line d less line i)	11,841.44	636,829.88	648,671.32
k. Total unliquidated obligations			0.00
l. Recipient's share of unliquidated obligations			0.00
m. Federal share of unliquidated obligations			0.00
n. Total federal share (sum of lines j and m)			0.00
o. Total federal funds authorized for this funding period			5,098,884.58
p. Unobligated balance of federal funds (*Line o minus line n)*			4,450,213.26
Program income, consisting of: q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income			0.00
t. Total program income realized (*Sum of lines q, r and s)*			0.00

11. Indirect Expense

a. Type of Rate *(Place "X" in appropriate box)*
☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed

b. Rate	c. Base	d. Total Amount	e. Federal Share

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:* Interest earned on Federal dollars this period: $72,509.46. Cumulative interest earned is $98,884.58. Supplemental information is included in separate memorandum.

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purpose set forth in the award documents.**

Typed or Printed Name and Title Paul T. Daley Director of Administrative Services	Telephone (Area code, number and extension) (802) 828-2477
Signature of Authorized Certifying Official Paul T. Daley	Date Report Submitted 7 February 2007

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U.S. ELECTION ASSISTANCE COMMISSION
2007 FEB 12 PM 1:19

February 28, 2005

MEMORANDUM

TO: Peggy Sims
U.S. Election Assistance Commission

FR: Kathy DeWolfe, Director of Elections
Office of the Vermont Secretary of State

RE: Calendar year 2004 HAVA Title I financial status report—Additional Information

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The following information is provided as a supplement to the **SF 269** that accompanies this memorandum. This information covers the reporting period January 1 – December 31, 2004.

1. List of expenditures made with respect to each category of activities described for the use of funds in HAVA section 101:

Complying with the requirements under Title III (uniform and nondiscriminatory election technology and administration requirements).	$ 0.00
Improving the administration of elections for Federal office.	$ 50,696.79
Educating voters concerning voting procedures, voting rights, and voting technology.	$ 72,079.39
Training election officials, poll workers, and election volunteers	$ 2,018.88
Developing the State plan for requirements payments to be submitted under part 1 of subtitle D of title II.	$ 0.00
Improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes.	$ 454,534.82
Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.	$ 57,500.00
Establishing toll-free telephone hotlines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations, and other relevant information.	$ 0.00

2. The number and type of articles of voting equipment obtained with the funds:

76 vote tabulating machines, Diebold Accuvote ES 2000
3 software upgrades for existing Diebold Accuvote ES 2000 machines

The Vermont State Plan adopted in July 2003 explained, at page 3, that Vermont planned to designate one voting system for all municipalities that use a machine. This conversion has been very successful and has shortened the time necessary to have ballots prepared for all of our jurisdictions. We anticipate that a small number of towns may vote to use tabulating machines in the next year and we have early out money still available to make such purchases.

3. An analysis and description of the activities funded to meet HAVA requirements and how such activities conform to the submitted State plan:

Vermont has spent a total of $636,829.88 during this period and a cumulative total of $648,671.32 of the early out money which Vermont received. During this period the following activities were funded to meet HAVA requirements in conformance with our Vermont State Plan, promulgated July 28, 2003:

A. Page 3, Vermont State Plan: $454,434,82 was used to purchase of 76 vote tabulating machines and 3 software upgrades for existing Diebold Accuvote ES 2000 machines so that instead of 4 different types of optic scan tabulating machines, Vermont jurisdictions now all use the same tabulating machine and ballot style. This improves administration of federal elections by allowing our office to shorten the time necessary to prepare and deliver ballots to jurisdictions throughout the state especially for military and overseas voters.

B. Pages 12 and 13, Vermont State Plan: Improve privacy and independence for disabled voters by providing 2 accessible voting stations to some jurisdictions in Vermont with an expenditure of $57,500. This is a continuing program and additional accessible voting stations were provided with funds in the next reporting period, and some may be provided in future years as needed.

C. Pages 8 and 9, Vermont State Plan: A total reporting period expenditure of $72,079.39 was used to educate voters concerning voting procedures, voting rights and voting technology through the production and distribution of a voter video available on our website and run on local access TV stations throughout Vermont; an updated Voter Rights Poster; radio public service announcements for voting procedures and deadlines; distribution of our candidate information guide in new ways for persons with disabilities; continuation of our voter telephone hotline; and print materials for voter registration campaigns.

D. Pages 3,10,11,and 14, Vermont State Plan: $50,696.79 was spent in this reporting period to improve the administration of elections for federal office by purchasing hardware, software, and training for state information technology staff to begin development and testing of the statewide checklist for voters, to provide information to election officials and voters regarding use of provisional ballots; to print provisional ballot envelopes with affidavits; bumper stickers and other printed materials distributed to voters to promote participation in the federal election.

E. Page 10, Vermont State Plan: $2,018.88 was spent in this reporting period along with state general election funds to do election official and poll worker education and training. The Vermont Secretary of State and the Director of Elections presented over 25 workshops in various locations throughout the state to detail all of the new HAVA requirements such as provisional voting, ID requirement for first time registrants by mail, overvoting procedures and voter education, and detailed memorandum to local municipal clerks regarding all changes in the law to comply with HAVA.

(Hard copy also being mailed today—2/28/05)

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