FILE COPY

CONSOLIDATED REPORT
On HAVA TITLE I, SECTION 101 FUNDS

For The Period
JANUARY 1 Through DECEMBER 31, 2006

Prepared by the Rhode Island:
Office of the Secretary of State
Board of Elections

FILE COPY

I. Standard Form 269

To be completed and signed by Business Office

RECEIVED
U.S. ELECTION ASSISTANCE COMMISSION
FILE COPY
2007 MAY 14 AM 11:01

FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report Is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page	of
Election Assistance Commission	HAVA Title	0348-0038	1	1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

State of Rhode Island and Providence Plantations, Office of the Secretary of State
217 State House, Providence, RI 02903

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
05 6000522	RI HAVA Election Fund	☐ Yes ☑ No	☑ Cash ☐ Accrual

8. Funding/Grant Period *(See instructions)* From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
4/30/2003		1/1/2006	12/31/2006

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	3,360,387.45	1,378,284.48	4,738,671.93
b. Recipient share of outlays	0.00	0.00	0.00
c. Federal share of outlays	3,360,387.45	1,378,284.48	4,738,671.93
d. Total unliquidated obligations			
e. Recipient share of unliquidated obligations			
f. Federal share of unliquidated obligations			
g. Total Federal share *(Sum of lines c and f)*			4,738,671.93
h. Total Federal funds authorized for this funding period			5,000,000.00
i. Unobligated balance of Federal funds *(Line h minus line g)*			261,328.07

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Paul A. Dombrowski, Chief Financial Officer	401-222-2299 x1392
Signature of Authorized Certifying Official	**Date Report Submitted**
Paul A. Dombrowski	May 14, 2007

RECEIVED
U.S. ELECTION ASSISTANCE
COMMISSION

2007 MAY 14 AM 11:01

II. Expenditures

a) *Complying with the requirements under Title III (uniform and nondiscriminatory election technology and administration requirements).*

Secretary of State	$	0
Board of Elections	$	0

b) *Improving the administration of elections for Federal office.*

Secretary of State	$	0
Board of Elections	$	0

c) *Educating voters concerning voting procedures, voting rights, and voting technology.*

Secretary of State	$	6,511.93
Board of Elections	$	0

d) *Training election officials, poll workers, and election volunteers.*

Secretary of State	$	1,377.20
Board of Elections	$	22,876.74

e) *Developing the State plan for requirements payments to be submitted under Part 1 of subtitle D of title II.*

Secretary of State	$	0
Board of Elections	$	0

f) *Improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes.*

Secretary of State	$	1,103,183.02
Board of Elections	$	243,984.00

g) *Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.*

Secretary of State	$	0
Board of Elections	$	0

h) *Establishing toll-free hotlines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations, and other relevant information.*

Secretary of State	$	351.59
Board of Elections	$	0

Title I, Section 101 PERMISSIBLE USES **Secretary of State**

A. Complying with the requirements under Title III (uniform and nondiscriminatory election technology and administration requirements).
$ 0

B. Improving the administration of elections for Federal office
$ 0

C. Educating voters concerning voting procedures, voting rights, and voting technology.

$ 1906.40	Printing of voter information flyers
$ 4106.89	Advertising
$ 498.64	Reimbursement to staff for voter registration classes

D. Training election officials, poll workers, and election volunteers.

$ 99.11	Training of local election officials
$ 315.45	NASS conference
$ 962.64	The Election Center Conference

E. Developing the State plan for requirements payments to be submitted under Part 1 of subtitle D of title II.
$ 0

F. Improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes.
$243,984.00 Optech Eagle voting equipment lease

G. Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.
$ 0.00

H. Establishing toll-free hotlines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations, and other relevant information.
$ 351.59 1-877-go2-vote

Title I, Section 101 PERMISSIBLE USES **Board of Elections**

A. **Complying with the requirements under Title III (uniform and nondiscriminatory election technology and administration requirements).**
$ 0

B. **Improving the administration of elections for Federal office**
$ 0

C. **Educating voters concerning voting procedures, voting rights, and voting technology.**
$ 0

D. **Training election officials, poll workers, and election volunteers.**
$ 3810.95 Election Center
$ 19065.79 Poll Worker Training

E. **Developing the State plan for requirements payments to be submitted under Part 1 of subtitle D of title II.**
$ 0

F. **Improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes.**
$1,103,183.02 Acquisition of voting equipment components & storage of accessible equipment

G. **Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.**
$ 0

H. **Establishing toll-free hotlines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations, and other relevant information.**
$ 0

III. Voting Equipment

The Office of the Secretary of State did not purchase any additional voting equipment with Title I funds during the 2006 calendar year.

In 2006, the State Board of Elections purchased equipment used in conjunction with the optical scan precinct count voting system.

IV. Analysis and Description

ACTIVITIES FUNDED BY THE OFFICE OF THE SECRETARY OF STATE TO MEET HAVA REQUIREMENTS AND HOW SUCH ACTIVITIES CONFORM TO THE SUBMITTED STATE PLAN

- In 2006 the Office of the Secretary of State spent Title I monies on activities (1) to educate voters concerning voting procedures and voting rights; (2) to train election officials; (3) to make lease payments for voting equipment; and (4) to maintain a toll-free hotline for voters.

1. EDUCATING VOTERS

Under Section 3 of the Rhode Island State Plan, the Office of the Secretary of State committed to improving its public outreach and education program in order to:

i) Assure that voters are informed of their rights and receive proper and timely instructions on how to vote in accordance with HAVA requirements.

j) Improve voter education and information materials and delivery systems.

k) Motivate individuals to exercise their democratic responsibility to register and vote and to serve as poll workers.

l) Develop a comprehensive high school civic participation, voter education and voter registration program aimed at involving high school students in the electoral process and related civics projects.

Rhode Island has spent Title I monies on voter information flyers and advertising in English and Spanish to advise high school students and Rhode Island citizens of voting procedures and their voting rights for the 2006 election cycle. Title I monies were also spent on voter registration drives intended to register voters and motivate individuals to exercise their democratic responsibility to vote and participate in the electoral process.

2. TRAINING ELECTION OFFICIALS

The Rhode Island State Plan, Section 3.2, requires that the Secretary of State provide training to Rhode Island election officials. The State Plan requires that the training be designed to ensure that election officials are well versed in all HAVA mandates, including the use of all voting equipment, CVRS, registration requirements, provisional voting, voting by mail ballot, voting on Election day and all related applicable state and federal laws.

As part of this training, elections officials from the Office of the Secretary of State and the State Board of Elections have attended national conferences and participated in the CERA Program offered through the national Election Center. The Office of the Secretary of State has also conducted training seminars with local election officials.

The Rhode Island State Plan, Section 3.3, requires that the redesign poll worker training programs and materials to include training on all requirements of HAVA, proper use of the voting equipment, provisional voting, and all other federal and state requirements.

As part of this redesign, the State Board of Elections has developed new training manuals and tools to be used for their poll worker training classes.

3. LEASING OPTICAL SCAN EQUIPMENT

Section 6 of the State Plan discusses Rhode Island's HAVA budget. The Rhode Island Department of Administration and the General Assembly have indicated that the annual payments for our current optical scan precinct count voting system and full service contract are to be made from Title I HAVA funds.

4. PROVIDING A TOLL-FREE HOTLINE

The Office of the Secretary of State, as part of its voter education program, maintained and manned a toll-free hotline (1-877-go2-vote) where all Rhode Island citizens could call to receive information regarding Rhode Island voting requirements, polling place and sample ballot information, mail ballot information and any other election related matters.