FINANCIAL STATUS REPORT

(*Long Form*)

(*Follow instructions on the back*)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page	of
U.S. Election Assistance Commission	Help America Vote Act of 2002	0348-0039	1	1 pages

3. Recipient Organization (Name and complete address, including ZIP code) Commonwealth of PA
Department of State
302 North Office Building
Harrisburg, PA 17120

4. Employer Identification Number	5. Recipient Account Number of Identifying Number	6. Final Report	7. Basis
23-6003081	19-S80710, S80720 (Title II)	☐ Yes ☒ No	☒ Cash ☐ Accrual

8. Funding/Grant Period (*See Instructions*) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
04/15/2003	Until disbursed	10/01/2005	09/30/2006

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total outlays	10,166,601.48	48,266,763.50	58,433,364.98
b. Refunds, rebates, etc.	0.00	0.00	0.00
c. Program income used in accordance with the deduction alternative	0.00	0.00	0.00
d. Net outlays (*Line a, less the sum of lines b and c*)	10,166,601.48	48,266,763.50	58,433,364.98
Recipient's share of net outlays, consisting of: e. Third party (in-kind) contributions	0.00	0.00	0.00
f. Other Federal awards authorized to be used to match this award	0.00	0.00	0.00
g. Program income used in accordance with the matching or cost sharing alternative	0.00	0.00	0.00
h. All other recipient outlays not shown on lines e, f, or g	0.00	0.00	0.00
i. Total recipient share of net outlays (*Sum of lines e, f, g, and h*)	0.00	0.00	0.00
j. Federal share of net outlays (*Line d less line i*)	0.00	48,266,763.50	58,433,364.98
k. Total unliquidated obligations			32,423,463.22
l. Recipient's share of unliquidated obligations			0.00
m. Federal share of unliquidated obligations			32,423,463.22
n. Total Federal share (*Sum of lines j and m*)			90,856,828.20
o. Total Federal funds authorized for this funding period			111,406,565.86
p. Unobligated balance of Federal funds (*Line o minus line n*)			20,549,737.66
Program income, consisting of: q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income	0.00	0.00	0.00
t. Total program income realized (*Sum of lines q, r and s*)	0.00	0.00	0.00

11. Indirect Expense	a. Type of Rate (*Place "X" in appropriate box*) ☐ Provisional ☐ Predetermined ☐ Final ☒ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
302 North Office Building
Harrisburg, PA 17120

PEDRO A. CORTÉS
Secretary of the Commonwealth

Telephone: (717) 787-8727
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Website: www.dos.state.pa.us

March 26, 2007

State HAVA Funding Reports
Attn: Edgardo Cortés
Election Research Specialist
U.S. Election Assistance Commission
1225 New York Avenue, NW—Suite 1100
Washington, DC 20005

RE: Report on Expenditures of Title II Funds for the Fiscal Year 2005-2006

Dear Mr. Cortés:

Enclosed please find the Pennsylvania Department of State's Help American Vote Act (HAVA) Title II, Section 251 report on funds used during the fiscal year 2005-2006.

Should you require additional information, please contact Patricia Antelitano, Director, Bureau of Finance and Operations at (717) 787-3945 or via email at pantelitan@state.pa.us.

Sincerely,

Pedro A. Cortés

Pedro A. Cortés

Enclosures

SUMMARY OF EXPENDITURES by ACTIVITY

HAVA Title II, Section 251

Reporting Period Ending 9/30/2006

Cost center #		Order		G/L Account		Actual Expends (D)
	COPA/Not assigned	S80710072000	HELP AMERICA VOTE, Title II	2100100	Accrued Payables	$92,412.14
				6311040	Travel - Transport	$ (29.00)
				6321010	Out Service Training	$ (60.00)
				6342100	Legal Services/Fees	$ (598.51)
				6343117	Other Spec Svc	$ (105,332.98)
				6343200	Advertising	$ (677.52)
				6344100	Cont EDP Svc-Vendor	$ (1,401,769.73)
				6344300	Cont Maint Svc - EDP	$ (212.76)
				6351130	EDP Soft Rent-Vendor	$ (33,521.03)
				6351140	EDP EDP Eq Rent-Comp	$ (1,035.04)
				6361100	Office Supplies	$ (18,225.91)
				6372000	Other Computer Equip	$ (22,884.68)
				6372010	Computer & Peripherl	$0.00
				6380110	Materials & Supplies	$0.00
				6391010	Postage	$ (191.26)
				6391020	Freight	$ (613.24)
				6391090	Food	$ (1,448.68)
				6450310	Office Equipment	$0.00
				6600300	Fed Pay-Govt Subrec	$ (293,560.00)
				9161010	Class & Pay (10)	$ (141.80)
				9161078	Bur of Mgt Cnslt(78)	$ (79.52)
				9161091	Comp Svc Bill (91)	$ (1,921.61)
				9161101	SEAP (101)	$ (95.76)
				Result		**$ (1,789,986.89)**
		S80720720000	HELP AMERICA VOTE, Title II	2100100	Accrued Payables	$595,548.34
				6311010	Travel - Lodging	$709.07
				6311020	Travel - Subsistence	$81.20
				6311040	Travel - Transport	$104.40
				6311999	Travel - Other	$24.59
				6321010	Out Service Training	$60.00
				6342100	Legal Services/Fees	$117,747.18
				6343117	Other Spec Svc	$104,829.88
				6343200	Advertising	$677.52
				6344100	Cont EDP Svc-Vendor	$4,275,857.14
				6344300	Cont Maint Svc - EDP	$2,624.64
				6344630	Cont Repairs-Bldg/Eq	$322.73
				6351130	EDP Soft Rent-Vendor	$33,558.90
				6351140	EDP EDP Eq Rent-Comp	$1,035.04
				6351220	Rent of Buildings	$550.00
				6351520	Rentals - Other	$209.72
				6361100	Office Supplies	$21,312.92
				6372000	Other Computer Equip	$22,884.68
				6372010	Computer & Peripherl	$0.00
				6373000	Furniture & Fixtures	$19.75
				6380110	Materials & Supplies	$0.00
				6391010	Postage	$667.65

				6391020	Freight	$613.24
				6391030	Printing	$270.86
				6391090	Food	$1,866.93
				6393160	Treasury Dept Svcs	$15.61
				6450310	Office Equipment	$17,765.00
				6600300	Fed Pay-Govt Subrec	$31,359,005.71
				9115001	SHARED SERVICES BILL	$916.30
				9148022	Postage Due (22)	$0.23
				9161010	Class & Pay (10)	$141.80
				9161030	Payroll Oper (30)	$374.72
				9161059	Media Svc-Video (59)	$571.68
				9161078	Bur of Mgt Cnslt(78)	$79.52
				9161091	Comp Svc Bill (91)	$10,869.12
				9161101	SEAP (101)	$95.76
				9171024	Tort Claims (24)	$10.23
				Result		**$36,571,422.06**
		Result				**$34,781,435.17**
1936020000	Voter Registration Division	S80720720000	HELP AMERICA VOTE, Title II	6344100	Cont EDP Svc-Vendor	$225,407.21
1936021026	Statewide Uniform Registry of Electors	S80720720000	HELP AMERICA VOTE, Title II	2100100	Accrued Payables	$226,605.38
				6344100	Cont EDP Svc-Vendor	$458,254.69
				6344300	Cont Maint Svc - EDP	$816.03
				6361410	Maint Material & Sup	$82,660.25
				Result		**$768,336.35**
1936030626	STATE-Education/Outreach	S80720720000	HELP AMERICA VOTE, Title II	2100100	Accrued Payables	$131,759.32
				6343200	Advertising	$300.00
				6391030	Printing	$80.00
				Result		**$132,139.32**
1936030629	STATE-Polling Place Accessibility	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$3,523.50
1936030631	STATE-Administration/Implementation	S80720720000	HELP AMERICA VOTE, Title II	6351130	EDP Soft Rent-Vendor	$325.25
				9161091	Comp Svc Bill (91)	$4,304.09
				9171024	Tort Claims (24)	$1.41
				9171031	Employ Liab Ins (31)	$56.86
				Result		**$4,687.61**
1936030633	STATE-Miscellaneous State Plan Expenses	S80720720000	HELP AMERICA VOTE, Title II	2100100	Accrued Payables	$70,760.85
				6321030	Conference Reg	$370.00
				6342100	Legal Services/Fees	$60,000.00
				6343117	Other Spec Svc	$ (0.83)
				6351220	Rent of Buildings	$440.00
				9171024	Tort Claims (24)	$2.82
				9171031	Employ Liab Ins (31)	$113.72
				Result		**$131,686.56**
1936030635	COUNTY-Title III Compliance	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$35,964.15
1936030636	COUNTY-Improving Federal Elec. Admin.	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$1,934.34
1936030637	COUNTY-Voter Education/outreach	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$8,976.87
1936030638	COUNTY-Pollworker/Election Official Training	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$831,561.87
1936030639	COUNTY-Voting System Procurement	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$11,322,060.71
1936030640	COUNTY-Polling Place Accessibility	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$18,959.84
1936030642	COUNTY-Provisional Ballot	S80720720000	HELP AMERICA VOTE, Title II	6600300	Fed Pay-Govt Subrec	$90.00
Overall Result						**$48,266,763.50**

PENNSYLVANIA DEPARTMENT OF STATE
HAVA TITLE II, SECTION 251
ANNUAL REPORT
FOR THE PERIOD ENDING SEPTEMBER 30, 2006

Funds provided to Pennsylvania under Title II, Section 251 of the Help America Vote Act of 2002 (HAVA) are held in a restricted account pursuant to the requirements of HAVA. All funds were expended in a manner consistent with the Pennsylvania State Plan as amended.

A Summary of Expenditures for this reporting period has been provided on the preceding page. The following narrative describes the expenditures made with respect to each category of activity shown on the summary.

COPA/NOT ASSIGNED
$34,781,435.17

The costs shown in this category were posted, but had not been warranted by the ending date of this report.

VOTER REGISTRATION
Cost Center No. 1936020000 - $225,407.21

The costs shown were charged to the incorrect cost center. The Commonwealth has transferred these costs to the appropriate HAVA cost center, however the changes were posted after the ending date of this reporting period.

STATEWIDE UNIFORM REGISTRY OF ELECTORS (SURE)
Cost Center No. 1936021026 - $768,336.35

HAVA funds in this category have been budgeted for the development of the Commonwealth's voter registration database known as the Statewide Uniform Registry of Electors (SURE).

The costs shown were for maintenance and updates to the SURE system. The Commonwealth paid for services such as technical writing services, programming to maintain and update the system. We also have paid the cost for the administration personnel and the costs related to maintain and update the servers where this system is housed. These expenditures also include the maintenance of a call center where counties can call for information and technical assistance.

VOTER EDUCATION/OUTREACH
Cost Center Nos. 1936030626 and 1936030637 – $141,116.19

HAVA funds in this category have been budgeted to educate voters on the election procedures, to increase voter participation, and make available additional voter registration applications.

The expenditures reporting for this reporting period include voter education and outreach projects at both the state and county levels.

The Department procured the services of Neiman Group, Inc. and Harmelin Media from the statewide advertising and media contract to provide creative services and media buys for the Commonwealth's voter education campaign, "Ready. Set. Vote", for both the Primary and General Elections. The campaign included, but was not limited to, the following:

- Electronic voting machine demonstrations,
- Creation of an interactive website that allowed voters to become educated about the voting systems used in their particular county and to participate in virtual elections,
- Printed publications with election related information, and
- Grassroots outreach efforts to minority populations for the purpose of educating voters regarding the electronic machines. The media was encouraged to attend voter education events and the campaign was successful in reaching voters throughout the state.

Counties utilized funds disbursed from this category for local voter education programs that included machine demonstrations and information programs that aired on regional television and radio stations or appeared in print in regional news publications.

POLLING PLACE ACCESSIBILITY
Cost Center Nos. 1936030629 and 1936030640 - $22,483.34

HAVA funds from this category have been budgeted to bring polling place standards up to meet the federal law.

The expenditure of $3,523.00 shown in the category "STATE-Polling Place Accessibility was charged in error to this category and was moved to the correct cost center, 1936030640 "COUNTY-Polling Place Accessibility, after the ending date of this report.

Funds were disbursed to Commonwealth's counties for the following activities to update or upgrade accessibility to polling place locations:

- Purchase of portable wheelchair ramps
- Purchase and use of accessible door handles,
- Purchase and use of additional of signage
- Purchase and use of safety cones to mark off parking spaces,
- Purchase and installation of call buttons and doorbells,
- Construction of permanent ramps,

- Paving parking lots, and
- Door replacement.

A number of counties chose to hire consultants to aid them in the evaluation of their polling places. These consultants helped the counties complete required polling place surveys and recommended improvements and solutions to accessibility issues.

ADMINISTRATION AND IMPLEMENTATION
Cost Center No. 1936030631 - $4,687.61
HAVA funds from this category have been budgeted for Commonwealth personnel to administer HAVA and other costs for implementation.

The expenditures for this reporting period include the following:

- Subsistence and travel expenses incurred for representation by the Secretary of the Commonwealth at the Council of State Governments conference held in Phoenix Arizona,
- Software costs,
- Parking expenses,
- Payment for photocopier usage,
- Rental of the office space, and
- Postage and freight expenses.

MISCELLANEOUS/STATE PLAN EXPENSES
Cost Center No. 1936030633 - $131,686.56

HAVA funds from this category have been budgeted for grants to independent groups, unforeseen costs in implementing HAVA, and development of the State Plan.

The expenditures in this reporting period include the following:

- Conference registration fees,
- Expenses incurred for the legal counsel assigned to assist the Commonwealth in implementation of the Help America Vote Act of 2002.

PROVISIONAL VOTING
Cost Center No. 1936030642 - $90.00

HAVA funds from this category have been budgeted to establish the HAVA required website and toll free telephone lines.

Funds were disbursed to Fulton County for the establishment of a toll-free hotline for voters and election officials of Fulton County.

COMPLYING WITH TITLE III REQUIREMENTS
Cost Center No. 1936030635 - $35,964.15

Funds from this category were disbursed to the counties to aid them in complying with Title III of HAVA.

Funds were disbursed this reporting period for the following activities:

- To defray the cost of printing provisional ballots and other equipment necessary for the use of provisional ballots.
- To defray costs of election administration, including technical support, purchase of materials to aid in training or Election Day administration, and
- Costs incurred as a result of using the new machines.

IMPROVING THE ADMINISTRATION OF FEDERAL ELECTIONS
Cost Center No. 1936030636 - $1,934.34

Funds from this category were disbursed to the counties for cost incurred related to implementation of the Help America Vote Act of 2002 the administration of elections for federal office.

Funds were disbursed this reporting period for the following activities:

- Election administration,
- Training of election day rovers,
- Technical assistance from the voting system vendors, and
- Other related Election Day costs.

Many counties opted to use technical assistance provided by the voting system vendors. This allowed the vendors to send representatives to each county for the Primary and General Elections. The technical support provided by the vendor helped with setting everything up for Election Day, provided support throughout Election Day and, for many counties, stayed several days after the Election to help with problems arising during manual counts and recounts. Many counties also employed rovers. Rovers are election officials who are trained by the vendor to solve problems at the polling place. In most cases, rovers were assigned a certain number of precincts they were responsible for and it was their duty to respond to any problems or concerns at these polling places throughout the day. For example, Allegheny County had 4-5 technical support personnel provided by the vendor and nearly 250 rovers to address problems at the polling places. In addition to these costs, there were additional costs incurred by the counties due to the new machines, including instruction guides and other informational materials.

IMPROVING/ACQUIRING VOTING SYSTEMS
Cost Center No. 1936030639 - $11,322,060.71

Funds were disbursed this reporting period for the costs associated with voting system replacement and upgrades.

The counties spent $11,322,060.71 from Section 251 funds to replace lever and punch card systems in 2006. Voting system costs include purchasing, leasing or acquiring

compliant voting systems, privacy shields, stands, cases and maintenance and training services. The total number of machines purchased by the counties is 20,597. The breakdown by vendor and machine type is: ES&S Model 100, 620; ES&S Automark, 352; ES&S Model 650, 1; ES&S iVotronic, 11,543; Diebold TSX, 3277; Danaher 1242, 1800; Advanced Winvote, 1225; Hart eSlate, 771; Hart eScan, 308; and Sequoia Edge, 700. A few counties that had previously purchased DRE's were required to upgrade their voting system software only. The majority of counties bought privacy shields, stands and cases equal to the number of machines they purchased. Many counties opted to purchase long-term warranties as additional protection for the machines.

POLL WORKER/COUNTY ELECTION OFFICAL TRAINING
Cost Center No. 1936030638 - $831,561.87

Funds from this category were disbursed to the counties to be utilized in training their election officials by the counties.

Funds were disbursed the reporting period for the following activities:

- Costs to produce pamphlets and instructional material to be used by election officials on the use of new voting systems,
- Cost associated with training related to provisional ballot issuance,
- Training on how providing assistance at the polling place and other related issues linked to Election Day.