REVISED

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
302 North Office Building
Harrisburg, PA 17120

PEDRO A. CORTÉS
Secretary of the Commonwealth

Telephone: (717) 787-8727
Fax: (717) 787-1734
Website: www.dos.state.pa.us

January 24, 2007

Thomas R. Wilkey
Executive Director
U.S. Election Assistance Commission
1225 New York Avenue, N.W., Suite 1100
Washington, D.C. 20005

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U.S. ELECTION ASSISTANCE
COMMISSION
2007 JAN 26 PM 4:57

RE: Amended HAVA Reports
Title I, Section 101 for Calendar Years 2004 and 2005

Dear Mr. Wilkey:

Per your request dated January 10, 2007 enclosed please find the Commonwealth's amended HAVA Title I, Section 101 reports for the calendar years 2004 and 2005.

Should you require additional information, please contact Patricia Antelitano, Director, Bureau of Finance and Operations at (717) 787-3945 or via email at pantelitan@state.pa.us.

Sincerely,

Pedro A. Cortés

Pedro A. Cortés

Enclosures

FINANCIAL STATUS REPORT
(*Long Form*)
(*Follow instructions on the back*)

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1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page	of
U.S. Election Assistance Commission	Help America Vote Act of 2002	0348-0039	1	1 pages

3. Recipient Organization (Name and complete address, including ZIP code)
Commonwealth of PA
Department of State
302 North Office Building
Harrisburg, PA 17120

4. Employer Identification Number	5. Recipient Account Number of Identifying Number Revised 269	6. Final Report	7. Basis
23-6003081	19-S80710071000 (Section 101)	☐ Yes ☒ No	☒ Cash ☐ Accrual

8. Funding/Grant Period (*See Instructions*) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
04/15/2003	Until Disbursed	01/01/05	12/31/2005

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total outlays	10,334,492.68	(3,041,695.01)	7,292,797.67
b. Refunds, rebates, etc.	0.00	0.00	0.00
c. Program income used in accordance with the deduction alternative	0.00	0.00	0.00
d. Net outlays (*Line a, less the sum of lines b and c*)	10,334,492.68	(3,041,695.01)	7,292,797.67
Recipient's share of net outlays, consisting of: e. Third party (in-kind) contributions	0.00	0.00	0.00
f. Other Federal awards authorized to be used to match this award	0.00	0.00	0.00
g. Program income used in accordance with the matching or cost sharing alternative	0.00	0.00	0.00
h. All other recipient outlays not shown on lines e, f, or g	0.00	0.00	0.00
i. Total recipient share of net outlays (*Sum of lines e, f, g, and h*)	0.00	0.00	0.00
j. Federal share of net outlays (*Line d less line i*)	10,334,492.68	(3,041,695.01)	7,292,797.67
k. Total unliquidated obligations			1,268,503.79
l. Recipient's share of unliquidated obligations			0.00
m. Federal share of unliquidated obligations			1,268,503.79
n. Total Federal share (*Sum of lines j and m*)			8,561,301.46
o. Total Federal funds authorized for this funding period			12,140,311.99
p. Unobligated balance of Federal funds (*Line o minus line n*)			3,579,010.53
Program income, consisting of: q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income			0.00
t. Total program income realized (*Sum of lines q, r and s*)			0.00

11. Indirect Expense	a. Type of Rate (*Place "X" in appropriate box*) ☐ Provisional ☐ Predetermined ☐ Final ☒ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share
	N/A	N/A	0.00	0.00

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*

Section 10 a (II) – Grant was over committed on last report dated 2/17/04. Expenditures were transferred to Title II funds on January 13, 2005. Section 101 now has an unobligated balance of federal funds.

Section 10 o - Total Interest earned on Title I, Section 101 funds $817,143.99, interest earned during this report period $292,529.31

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all Outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Ronald Kutney, Supervisor of Federal Accounting	(717) 783-9142
Signature of Authorized Certifying Official	Date Report Submitted
Ronald Kutney	1/23/07

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COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

HAVA, Title I, Section 101
Expenditures made with respect to each category of activities described for use of funds
Reporting Period: January 1, 2005 -December 31, 2005

Activity	Funds Expended
Computerized Statewide Voter Registration List	($3,074,666.43)
Voter Education/Outreach	$315,095.28
Poll Worker/Election Official Training	$40,582.85
Polling Place Accessibility	$39,282.94
Alternative Language Assistance	$6,698.06
Administrative/Implementation Costs	$438,970.80
Provisional Voting	$58,009.56
Miscellaneous/State Plan	($940,158.78)
Complying with Title III	$29,773.80
Improving Federal Election Administration	$26,716.94
Improving Voting Systems	$18,000.00

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Commonwealth of Pennsylvania
Department of State

Report to the United States Election Assistance Commission (EAC)

Subject: Help America Vote Act (HAVA) Title I, Section 101 Expenditures

Reporting Period: January 1, 2005 to December 31, 2005

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Introduction

HAVA Section 101 provides funding to states to facilitate compliance with the Act. The Pennsylvania State Plan (State Plan) establishes the Commonwealth's goals to comply with HAVA's mandates. The Commonwealth continues to strive to meet its goals of establishing a uniform and nondiscriminatory voting process, improving election technology, and satisfying Title III election administration requirements. The Commonwealth's actions taken during the reporting period are summarized below.

Statewide Uniform Registry of Electors (SURE)

The Commonwealth has a $3,074,666.43 credit in this category for this reporting period. The Commonwealth over-committed grant funds on the last report submitted. We transferred expenditures to Title II funds on January 13, 2005.

Pennsylvania has fully implemented the SURE system, which was designed to ensure voter registration record accuracy and integrity. Effective January 1, 2006, all 67 counties have been converted to the SURE system. The Commonwealth is now compliant with HAVA's centralized voter registration and election management system requirement.

Voter Education/Outreach

As stated in Element 3 of the State Plan, *"The Commonwealth believes that voter education is the solution to eliminating much of the confusion that led to the debacle in Florida during the November 2000 election. Therefore, Pennsylvania, through the Department of State, plans to embark on an extensive education effort using all the tools at its disposal to educate its voters regarding their voting rights and the correct procedures to be used at their polling place."*

The Commonwealth spent $315,095.28 during this reporting period. These costs included material printing (Voter Registration Mail Applications and "Your Vote is Your Voice" pamphlets), media advertising, a toll-free telephone line, graphic services, and video development.

Poll Worker, District Election Official, and County Election Official Training

As detailed in Element 3 of the State Plan, the Commonwealth developed and conducted a training program that includes current laws and procedures relevant to processing voters on Election Day, voting systems operation, and procedures for canvassing votes and counting ballots (including absentee and provisional ballots) after the polls close. A training manual and PowerPoint presentation was distributed to all counties. The Commonwealth spent $40,582.94 for training.

Polling Place Accessibility

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The Commonwealth, as stated in Element 3 of the State Plan, disbursed funding to make the greatest possible number of inaccessible polling places accessible. In addition, the Commonwealth held meetings with accessibility advocates, including the Polling Place Accessibility Advisory Group, which consists of county officials and independent groups.

All counties submitted an accessibility survey to the Commonwealth. Of Pennsylvania's 9,381 polling places, 7,167 are accessible, 2,198 are inaccessible, and 16 are undefined.

The Commonwealth distributed $39,282.94 in advance payments to counties to comply with Section 101. The counties used these funds to improve polling place accessibility at inaccessible polling places. Modifications included doorknob purchases, ramp construction, handrail installation, handicapped parking signs, and step elimination.

Alternative Language Assistance

The Commonwealth formed the Alternative Language Accessibility Advisory Group consisting of advocacy groups for individuals who speak alternative languages as a primary language and county representatives. The group was established to assess the Commonwealth's alternative language accessibility and advise the Secretary of the Commonwealth on how to increase the number of polling places accessible to individuals who speak alternative languages as a primary language.

All materials regarding HAVA Title III complaints, provisional voting, and voter identification have been translated from English to Spanish and provided to the 67 county boards of elections.

The Commonwealth spent $6,698.06 during this reporting period and continues to provide election materials, forms (voter registration, absentee ballot, emergency ballot, etc.), and all related election materials in Spanish. In addition, the Department of State's www.votespa.com website is bilingual.

Administration and Implementation Cost

The Commonwealth spent $438,970.80 during this reporting period. These expenses included personnel salaries, wages, and benefits, travel expenses, conference attendance fees, office supplies, and other costs directly related to operations in the Department of State's Division of HAVA.

Provisional Voting

The Commonwealth developed and implemented a reporting system in SURE that allows counties to efficiently research provisional voter registration status and report that information uniformly. As required by the *Election Code,* this system provides functionality to quickly upload provisional ballot status information for public consumption.

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The Commonwealth spent $58,009.56 during this reporting period. This included money distributed in advance payments to counties to comply with provisional voting requirements for Section 101 funds. In addition, the Commonwealth purchased electronic devices for provisional ballot results storage and publication.

Miscellaneous/Compliance with the State Plan

The Commonwealth has a $940,158.78 credit in this category for this reporting period. The Commonwealth over-committed grant funds on the last report submitted. We transferred expenditures to Title II funds on January 13, 2005.

Title III Compliance

HAVA Title III requirements are divided into three sections: Section 301 (voting system standards), Section 302 (provisional voting and voting information), and Section 303 (computerized statewide voter registration list requirements and requirements for voters who register by mail).

The Commonwealth distributed $29,773.20 in advance payments to counties to comply with Title III requirements using Section 101 funds.

Improving Federal Election Administration

The Commonwealth distributed $26,716.94 in advance payments to counties to improve federal election administration using Section 101 funds.

Voting Systems

As detailed in Element 4 of the State Plan, "*In accordance with section 222(e) of HAVA, the EAC requires that the current Federal guidelines in effect are those adopted by the Federal Election Commission in 2002. Therefore, any voting system deemed to be HAVA compliant must meet the 2002 voting system standards. Consequently, no new electronic voting system may be approved in Pennsylvania without meeting the high standards of the Federal government, including "second chance voting," accessibility standards for individuals with disabilities, error rate, and audit capacity requirements.*"

The Commonwealth spent $18,000.00 in this category. The counties received advance payments from these funds to pay for professional fees of consultants charged with assisting the counties in developing their voting system procurement process.

Summary

In 2003, Pennsylvania received $11,323.168.00 under HAVA Title I, Section 101. As of this report, the grant fund has a $3,041,695.01 un-obligated federal fund balance.

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