STATE BOARD OF ELECTIONS

6400 Mail Service Center • Raleigh, North Carolina 27699-6400

GARY O. BARTLETT
Executive Director

MAILING ADDRESS:
P.O. BOX 27255
RALEIGH, NC 27611-7255

March 23, 2007

State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW-Suite 100
Washington, D.C. 20005

Re: North Carolina FY05 Consolidated Report on Section 251 Requirements Payments

Dear Election Assistance Commission:

Pursuant to 42 USC § 15408, North Carolina submits the Federal FY05 (October 1, 2005-September 30, 2006) report concerning HAVA Title III activities during that time.

Enclosed is the FY05 Form 269 report of Title II requirement funds activity. Also enclosed are additional detail reports that might be helpful.

FY05 expenditures of Title II requirement funds were as follows:

$39, 460,007.60 was spent on Aid and Equipment.
$40,900.50 was spent on Audit Fees on HAVA funds.
$8,698.14 was spent on Workshop/Conference Expenses that relate to HAVA.
$3,043.84 was spent on HAVA related Postage, Freight, and Delivery.
$259.50 was spent on HAVA related General Office Supplies.

Voting Equipment and related expenditures made up all the $39, 460,007.60 spent on Aid and Equipment. A total of 2576 Model 100 optical scan tabulators, 6253 iVotronic DRE's, and 1899 AutoMarks were purchased for use by our 100 counties. Besides the voting systems themselves, supporting equipment, supplies and software for the systems were purchased, training and support was purchased, and maintenance plans were also among the items purchased. These voting system expenditures have just recently been audited by the North Carolina State Auditor in their annual single audit and they found no issues to report as to the funds spent and the accounting of them. The costs of this extensive audit were $40,900.50 as noted above.

The analysis and description of the activities funded, as required by Section 258(3) of HAVA are as follows:

Aid and Equipment

In August of 2005, S.L. 2005-323 was passed that decertified all previous voting systems in North Carolina, and required new voting systems that would meet the HAVA and EAC mandates. The RFP produced under the requirement of S.L. 2005-323 resulted in one approved vendor, ES&S, who was approved to sell their M-100 optical scan voting system, their iVotronic Direct Record Electronic touch screen voting system, and their AutoMark ballot marking system in early 2006.

After approval of the voting systems by our agency, the various counties chose which of these systems they wanted to use. Based upon their selections, the machines were centrally ordered, received, and tested by the State Board of Elections, and then shipped to the counties. The counties received installation help and training from both the vendor and this agency. Fully HAVA complaint voting systems were used by all North Carolina counties in the May 2006 Primary, except for two non-covered counties (Buncombe and McDowell). They used a hand count in the May Primary, but later this summer obtained the voting systems and used them in the November 2006 General Election. Since May 2006 the voting systems have worked well and we have had no race or language based complaints about the new voting equipment.

Purchased Services and Supplies

These expenses are set out in the enclosed detail and are self-explanatory.

If you are in need of additional information please contact our agency.

Sincerely,

Gary O. Bartlett

Gary O. Bartlett
Executive Director

FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Federal Agency HAVA Title II, Section 251	OMB Approval No. 0348-0039	Page 1 of 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)
North Carolina State Board of Elections
PO Box 27255, Raleigh, NC 27611

4. Employer Identification Number 56-1935214B	5. Recipient Account Number or Identifying Number 28025-6001-2401	6. Final Report ☐ Yes ☑ No	7. Basis ☐ Cash ☑ Accrual

8. Funding/Grant Period *(See instructions)* From: (Month, Day, Year) 6/17/2004	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year) 10/1/2005	To: (Month, Day, Year) 9/30/2006

10. Transactions:	I Previously Reported	I This Period	III Cumulative
a. Total outlays	1,969,853.79	39,512,909.58	41,482,763.37
b. Refunds, rebates, etc.			0.00
c. Program income used in accordance with the deduction alternative			0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	1,969,853.79	39,512,909.58	41,482,763.37
Recipient's share of net outlays, consisting of:			
e. Third party (in-kind) contributions			0.00
f. Other Federal awards authorized to be used to match this award			0.00
g. Program income used in accordance with the matching or cost sharing alternative			0.00
h. All other recipient outlays not shown on lines e, f or g			0.00
i. Total recipient share of net outlays *(Sum of lines e, f, g and h)*	0.00	0.00	0.00
j. Federal share of net outlays *(line d less line i)*	1,969,853.79	39,512,909.58	41,482,763.37
k. Total unliquidated obligations			
l. Recipient's share of unliquidated obligations			
m. Federal share of unliquidated obligations			
n. Total Federal share *(sum of lines j and m)*			41,482,763.37
o. Total Federal funds authorized for this funding period			73,050,154.54
p. Unobligated balance of Federal funds *(Line o minus line n)*			31,567,391.17
Program income, consisting of:			
q. Disbursed program income shown on lines c and/or g above			
r. Disbursed program income using the addition alternative			
s. Undisbursed program income			
t. Total program income realized *(Sum of lines q, r and s)*			0.00

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*
Interest earned during this reporting period = $2,030,888.51

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title Gary O. Bartlett, Executive Director	Telephone (Area code, number and extension) (919) 733-7173
Signature of Authorized Certifying Official Gary O. Bartlett	Date Report Submitted March 23, 2007

ederal Fiscal Year Ending Sept. 30, 2006 - Expenditures for Center 2401 - HAVA Title II, Sec 251

October 1, 2005 - September 30, 2006)

Acct.	Description	(July '06-Sept.06) BD 701 9/30/2006	plus	(July '05-June '06) BD 701 6/30/2006	minus	(July '05-Sept. '05) BD 701 9/30/2005		Fed Fiscal Year 2006	
32120	Finan/Audit Services			$40,900.50				$40,900.50	- pd.from match ?
32181900	Wrkshop/Conf Exp-Food Svc.			$3,509.06				$3,509.06	
32513900	Workshop/Conf - Room Rental			$2,863.01				$2,863.01	
32714	Transp-Grnd - In State			$345.26				$345.26	
32714900	Workshop/Conf Ground-In St			$500.00				$500.00	
32721	Lodging - In State			$488.32				$488.32	
32724	Meals - In State			$106.75				$106.75	
32731	Bd/Non Employee Transp.			$153.26				$153.26	
32732	Bd/Non Employee Subsis.			$732.48				$732.48	
32840002	Post,Fr&Del - Freight & Del			$43.84				$43.84	
32840003	Post,Fr&Del - Postal Meter			$3,000.00				$3,000.00	
33110	General Office Supplies			$259.50				$259.50	
35900	Other Expenses			$775,680.00				$775,680.00	
36910	Aid to Counties	($16,667.09)		$57,931.10		($90,850.75)		($49,586.74)	
36945	Purchased Equip/Counties	$7,575,133.37		$31,158,780.97				$38,733,914.34	
		$7,558,466.28		$32,045,294.05		($90,850.75)		$39,512,909.58	
33120	STIF Interest	$390,599.59		$2,159,746.57		($519,457.65)		$2,030,888.51	
								-	

HAVA Title II, Section 251
Expenditures 10/1/05 - 9/30/06

Category	Description	Amount
Aid & Equipment	Aid and Equipment	$ 39,460,007.60
Purchased Services	Audit Fees	$ 40,900.50
Purchased Services	Workshop/Conference Expense	$ 8,698.14
Purchased Services	Postage, Freight & Delivery	$ 3,043.84
Supplies	General Office Supplies	$ 259.50
	Grand Total	**$ 39,512,909.58**

HAVA Title II, Section 251
Expenses by Group (Detail) 10/1/05 - 9/30/06

Vendor	Group	Description	Amount	Total Amount
County Aid & Equipment	Aid & Equipment	Elections Aid & Equipment	$ 39,509,594.34	
Refunds from Counties	Aid & Equipment	Return of Unused Funds by Counties	$ (49,586.74)	
	Aid & Equipment Total			**$ 39,460,007.60**
Office of the State Auditor	Purchased Services	Audit Fees	$ 40,900.50	
Sheraton	Purchased Services	Workshop/Conference Expenses	$ 7,337.43	
Johnnie McLean	Purchased Services	Workshop/Conference Expenses	$ 267.12	
David Binford	Purchased Services	Workshop/Conference Expenses	$ 470.31	
Linda Grist	Purchased Services	Workshop/Conference Expenses	$ 401.22	
Ronald Broadnax	Purchased Services	Workshop/Conference Expenses	$ 68.80	
Susan Adams	Purchased Services	Workshop/Conference Expenses	$ 153.26	
Federal Express	Purchased Services	Postage, Freight & Delivery	$ 43.84	
US Postal Service	Purchased Services	Postage, Freight & Delivery	$ 3,000.00	
	Purchased Services Total			**$ 52,642.48**
Office Depot	Supplies	General Office Supplies	$ 259.50	
	Supplies Total			**$ 259.50**
		Grand Total		**$ 39,512,909.58**