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FINANCIAL STATUS REPORT
(Long Form)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page	of
Election Assistance Commission	Title I, 102	0348-0039	1	1 pages

3. Recipient Organization (Name and complete address, including ZIP code)
Secretary of the Commonwealth, 1 Ashburton Place, Room 1705, Boston, MA 02108

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
046-002-284	CDFA # 39.011	☐ Yes ☒ No	☒ Cash ☐ Accrual

8. Funding/Grant Period *(See instructions)* From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
2/18/2003	until disbursed	1/1/2006	12/31/2006

10. Transactions:	I Previously Reported	I This Period	III Cumulative
a. Total outlays	1,433,306.78	12,768.88	1,446,075.66
b. Refunds, rebates, etc.	0.00	0.00	0.00
c. Program income used in accordance with the deduction alternative	0.00	0.00	0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	1,433,306.78	12,768.88	1,446,075.66
Recipient's share of net outlays, consisting of: e. Third party (in-kind) contributions	0.00	0.00	0.00
f. Other Federal awards authorized to be used to match this award	0.00	0.00	0.00
g. Program income used in accordance with the matching or cost sharing alternative	0.00	0.00	0.00
h. All other recipient outlays not shown on lines e, f or g	0.00	0.00	0.00
i. Total recipient share of net outlays *(Sum of lines e, f, g and h)*	0.00	0.00	0.00
j. Federal share of net outlays *(line d less line i)*	1,433,306.78	12,768.88	1,446,075.66
k. Total unliquidated obligations			0.00
l. Recipient's share of unliquidated obligations			0.00
m. Federal share of unliquidated obligations			0.00
n. Total Federal share *(sum of lines j and m)*			1,446,075.66
o. Total Federal funds authorized for this funding period			1,519,497.00
p. Unobligated balance of Federal funds *(Line o minus line n)*			73,421.34
Program income, consisting of: q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income			0.00
t. Total program income realized *(Sum of lines q, r and s)*			0.00

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*
HAVA Title I, section 102

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Michelle K. Tassinari, Director/Legal Counsel, Elections Division	617-727-2828
Signature of Authorized Certifying Official: Michelle K. Tassinari	Date Report Submitted: February 28, 2007

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CERTIFICATION: USE OF HAVA SECTION 102 FUNDS AND REPLACEMENT OF PUNCH CARD AND LEVER MACHINES

I, the undersigned, having investigated or caused to be investigated each matter, below; certify, affirm and acknowledge that each of the following numbered statements, and any attachments to this certification document, are true and accurately reflect the status, condition and operations of Massachusetts (hereinafter "state") as they related to the use and status of Help America Vote Act (HAVA) Section 102 Funds and the replacement of punch card or lever machines.

I understand that by certifying the information below, I am making a statement or representation to the U.S. Election Assistance Commission, an independent Federal Agency, necessary for the agency to determine Massachusetts' required compliance with HAVA Section 102. (42 U.S.C. §15302). Compliance with HAVA Section 102 is required by the state as a result of its accepting Federal funds under that provision. As a condition of receiving 102 funds, the state certified that it would "use the payment… to replace punch card voting systems or lever voting systems (as the case may be) in the qualifying precincts within the state by the deadline prescribed… [first election for Federal office held after January 1, 2006]." (42 U.S.C. §15302(b)).

I further understand that to the extent any of the below (or attached) representations or certifications are found to be materially false, the Federal funds received by the state will be subject to audit and possible recoupment. Further, such false statements may subject the undersigned to criminal prosecution under 18 U.S.C. §1001 or other Federal Statutes.

I. BACKGROUND. *Read the statements below and initial the items that you certify as true and accurate. If you are not able to certify one or more of the following statements, you must line through the statement at issue and attach a signed explanation identifying it and explaining why it may not be certified. The statement (attachment) should provide all necessary facts and concisely explain the conditions that make certification a problem. The explanation shall be labeled "Attachment A, Background."*

1. **Signing Official.** I hereby certify that I am the Chief State Election Official for Massachusetts, per 42 U.S.C. 1973gg-8 (National Voter Registration Act).

   Initials:

2. **Triggering Election**. The First Election for Federal office in 2006 (triggering the deadline noted in 42 U.S.C. 15302 (a)(3)(B)) for the state was held on September 19, 2006.

   Initials:

3. **Funds Received.** The State received $1,519,497 in Federal Funds pursuant to HAVA section 102.

   Initials:

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II. PUNCH CARD OR LEVER MACHINE REPLACEMENT. *Read the statements below and initial the items that you certify as true and accurate. If you are not able to certify one or more of the below statements, you must line through the statement at issue and attach a signed explanation identifying it and explaining why it may not be certified. The statement (attachment) should provide all necessary facts and concisely explain the conditions that make certification a problem. The explanation shall be labeled "Attachment B, Punch Card or Lever Machine Replacement."*

1. **Qualified Precincts**. The State had 476 total qualified precincts (precincts which used punch card or lever machines to administer the regularly scheduled general election for Federal office held in November of 2000).

   Initials: _____

2. **Qualified Precincts: No Punch Card or Lever Machine Used.** None of the qualifying precincts, noted in statement 1 above, used a lever or punch card machine in an election for Federal office on or after September 19, 2006.

   Initials: _____

3. **No Punch Card or Lever Machine Used in State**. No precinct in the state used a punch card or lever machine for an election for Federal office on or after September 19, 2006.

   Initials: _____

4. **Replacement Machines**. All machines purchased, leased or otherwise procured to replace punch card or lever machines in qualifying precincts meet the requirements of HAVA section 301 (42 U.S.C. §15481) and comply with all other relevant Federal statutory requirements (noted in 42 U.S.C. §15545). This includes the requirement that each polling place have at least one voting system equipped for individuals with disabilities. *Please provide (below) a complete list of all voting systems procured, leased or otherwise obtained to replace the state's punch card or lever machines.*

Manufacturer	Model	Version	Quantity
1. See Attached			
2.			
3.			
4.			
5.			
6.			
7.			

It you need additional space, please continue this table on a separate, signed attachment.

Initials: _____

5. **Voting Systems in Place**. All voting systems procured to replace punch card or lever machines were in place and used in the state's September 19, 2006 Federal election.

   Initials: _____

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III. HAVA § 102 FUNDS. *Read the statements below and initial the items that you certify as true and accurate. If you are not able to certify to either response "a" or "b" in statement 1 or statement 2, below, you must line through the statement and attach a signed document explaining why you could not make a certification. The explanation (attachment) should provide all necessary facts and concisely explain the conditions that make certification a problem. The explanation shall be labeled "Attachment C, HAVA §102 Funds."*

1. **Status of HAVA §102 Funds**. Please check the statement below that applies to your state. *(Check only one statement).*

   ___ (a) The state had none of the $1,519,497 it received pursuant to HAVA §102 remaining in its election fund after September 19, 2006. This means that as of this date, all 102 funds were expended. Funds are expended when finally transferred to another party (manufacturer or vendor) for consideration (voting system).

   ___ (b) The state had **only** obligated funds remaining in its election fund after September 19, 2006. This means that as of this date, all funds were either expended or obligated. Funds are expended when finally transferred to another party (manufacturer or vendor) for consideration (voting system). Funds are properly obligated when the state has incurred a legally enforceable liability (such as a grant agreement, contract or lease) to another party (such as a local government or contractor) for a specific portion of the 102 funds. *If the state had only obligated funds in its election funds after the above date, attach a statement explaining the obligation(s). This statement must clearly explain and state the value of the obligated funds remaining and the nature of the obligation. Documentation regarding the obligation should also be attached and explained (e.g. documents from vendor contracts or agreements with local governments). This explanation and supporting documentation shall be labeled "Attachment D, Obligated Funds."*

   Initials: ____

2. **Use of HAVA §102 Funds**. All HAVA §102 funds expended or obligated by the state were used to replace punch card or lever machines in qualifying precincts per 42 U.S.C. §15302(a)(2).

   Initials: ____

I, by signing my name below, certify, affirm and acknowledge, under penalty of Federal law, that each of the above numbered paragraphs initialed above accurately represent the operations, conditions and practices of Massachusetts as they related to the use and status of HAVA Section 102 Funds and the replacement of punch card or lever machines.

Signed this day, 1/22/06 :
Date

Name

Secretary of State
Title

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Section 102—Massachusetts Replacement of Punch Card and Lever Voting Machines

Jurisdiction	Manufacturer	Model	Version	Quantity
Agawam	Diebold	AccuVote OS	1.94W	8
Amherst	Diebold	AccuVote OS	1.94W	10
Becket	Diebold	AccuVote OS	1.94W	1
Bedford	Diebold	AccuVote OS	1.94W	5
BOSTON	Diebold	AccuVote OS	1.94W	265
Brookline	Diebold	AccuVote OS	1.94W	19
Dighton	Diebold	AccuVote OS	1.94W	1
EVERETT	Diebold	AccuVote OS	1.94W	20
Fairhaven	Diebold	AccuVote OS	1.94W	6
Franklin	Diebold	AccuVote OS	1.94W	9
Granby	Diebold	AccuVote OS	1.94W	1
Lanesboro	Diebold	AccuVote OS	1.94W	1
LAWRENCE	Diebold	AccuVote OS	1.94W	25
Lee	Diebold	AccuVote OS	1.94W	1
Lenox	Diebold	AccuVote OS	1.94W	1
Milton	Diebold	AccuVote OS	1.94W	12
NEWTON	Diebold	AccuVote OS	1.94W	33
Northbridge	Diebold	AccuVote OS	1.94W	5
Otis	Diebold	AccuVote OS	1.94W	1
Oxford	Diebold	AccuVote OS	1.94W	5
PITTSFIELD	Diebold	AccuVote OS	1.94W	15
Southbridge	Diebold	AccuVote OS	1.94W	6
Sturbridge	Diebold	AccuVote OS	1.94W	3
WALTHAM	Diebold	AccuVote OS	1.94W	20
West Springfield	Diebold	AccuVote OS	1.94W	9
Wilmington	Diebold	AccuVote OS	1.94W	7
WOBURN	Diebold	AccuVote OS	1.94W	14

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Attachment C: HAVA § 102 Funds

Currently, I am unable to certify that all funds received under § 102 of the Help America Vote Act were expended or obligated on or before September 19, 2006. Although all municipalities that were required to replace either lever or punchcard voting machines did so prior to the November 2004 election, two (2) municipalities have not filed the required paperwork for reimbursement. The total amount of unobligated funds pending for disbursement total $73,421.34 for the City of Woburn and the Town of Lee. The Town of Lee was sent a letter and reimbursement application on December 16, 2003, and the City of Woburn was sent a letter and reimbursement application on July 30, 2004.

William Francis Galvin
Secretary of the Commonwealth