FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U. S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Federal Agency Title II, 251	OMB Approval No. **0348-0039**	Page 1 of 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

California Secretary of State Office
1500 11th Street, Room 465, Sacramento CA 95814

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
94-6001347		☐ Yes ☑ No	☑ Cash ☐ Accrual

8. Funding/Grant Period *(See instructions)* From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
3/1/2003		10/1/2005	9/30/2006

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	65,157,589.37	63,927,832.40	129,085,421.77
b. Refunds, rebates, etc.			0.00
c. Program income used in accordance with the deduction alternative			0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	65,157,589.37	63,927,832.40	129,085,421.77
Recipient's share of net outlays, consisting of:			
e. Third party (in-kind) contributions			0.00
f. Other Federal awards authorized to be used to match this award			0.00
g. Program income used in accordance with the matching or cost sharing alternative			0.00
h. All other recipient outlays not shown on lines e, f or g	65,157,589.37	34,839,715.20	99,997,304.57
i. Total recipient share of net outlays *(Sum of lines e, f, g and h)*	65,157,589.37	34,839,715.20	99,997,304.57
j. Federal share of net outlays *(line d less line i)*	0.00	29,088,117.20	29,088,117.20
k. Total unliquidated obligations			169,326,575.77
l. Recipient's share of unliquidated obligations			~~99,997,304.57~~
m. Federal share of unliquidated obligations			169,326,575.77
n. Total Federal share *(sum of lines j and m)*			198,414,692.97
o. Total Federal funds authorized for this funding period			277,005,323.43
p. Unobligated balance of Federal funds *(Line o minus line n)*			78,590,630.46
Program income, consisting of:			
q. Disbursed program income shown on lines c and/or g above			0.00
r. Disbursed program income using the addition alternative			0.00
s. Undisbursed program income			0.00
t. Total program income realized *(Sum of lines q, r and s)*			0.00

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed			
	b. Rate	c. Base	d. Total Amount	e. Federal Share

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*

Interest received: October 05 - September 06 $10,287,348.28 5% match disbursement: Oct 05 - Sept 06 $34,839,715.20
Budget allotment: July 05 - Jun 06 $2,510,000.00 July 06 - Jun 07 $2,964,000.00

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Chris Reynolds, Deputy Secretary of State, HAVA activities	
Signature of Authorized Certifying Official	Date Report Submitted
Chris Reynolds	March 15, 2007

DEBRA BOWEN | SECRETARY OF STATE | STATE OF CALIFORNIA
1500 11th Street, 6th floor | Sacramento, CA 95814 | Tel (916) 653-7244 | Fax (916) 653-4620 | www.sos.ca.gov

March 26, 2007

State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW – Suite 1100
Washington, DC 20005
Attn. Edgardo Cortes

To Whom It May Concern:

This submission constitutes the State of California's Financial Status Report (SF 269) on expenditure of Help America Vote Act of 2002 (HAVA) Title II, Section 251 funds to meet HAVA Title III requirements for the federal 2005-06 fiscal year (October 1 to September 30).

California made the first of its Title II, Section 251 fund expenditures during this reporting period. Funds to reimburse local jurisdictions for allocable and allowable HAVA Title III requirements costs for voting system upgrades necessary to meet Section 301 voting system standards, and associated voter education and poll worker training costs were incorporated into contracts with California counties totaling $195 million. Allocable and allowable costs associated with a statewide minimum requirements payment of approximately $11.6 million, pursuant to EAC notification, were also incorporated into this contract.

Finally, pursuant to the state approval process, and a November 2, 2005 Memorandum of Agreement with the U.S. Department of Justice, California expended funds to implement a statewide voter registration database project to achieve compliance with Section 303 requirements.

If you have any questions regarding this report, please do not hesitate to contact me at (916) 651-7837.

Sincerely,

Chris Reynolds
HAVA Coordinator

Encl.

DEBRA BOWEN | SECRETARY OF STATE | STATE OF CALIFORNIA
1500 11th Street, 6th floor | Sacramento, CA 95814 | Tel (916) 653-7244 | Fax (916) 653-4620 | www.sos.ca.gov

March 30, 2007

State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW – Suite 1100 **Sent via e-mail and US Postal Service**
Washington, DC 20005
Attn. Edgardo Cortes

To Whom It May Concern:

This submission constitutes the State of California's narrative report on expenditure of Help America Vote Act of 2002 (HAVA) Title II, Section 251 funds to meet HAVA Title III requirements for the federal 2005-06 fiscal year (October 1 to September 30). This narrative report, including an analysis of how the expenditures conform to California's submitted State Plan, accompanies the submission of Standard Form 269 as required by the Election Assistance Commission (EAC).

California made the first of its Title II, Section 251 fund expenditures during this reporting period. Funds to reimburse local jurisdictions for allocable and allowable HAVA Title III requirements costs for voting system upgrades necessary to meet Section 301 voting system standards, and associated voter education and poll worker training costs were incorporated into contracts with California counties totaling $195 million. Allocable and allowable costs associated with a statewide minimum requirements payment of approximately $11.6 million, pursuant to EAC notification, were also incorporated into this contract.

Finally, pursuant to the state approval process, and a November 2, 2005 Memorandum of Agreement with the U.S. Department of Justice, California expended funds to implement a statewide voter registration database project to achieve compliance with Section 303 requirements.

If you have any questions regarding this report, please do not hesitate to contact me at (916) 651-7837.

Sincerely,

Chris Reynolds
Deputy Secretary of State, HAVA Activities

Encl.

State of California - HAVA Section 251 expenditures: October 1, 2005 – September 30, 2006	
Amount	**Analysis, description of activity, conformity to State Plan**
Section 301 – Voting System Standards	
Voting equipment: $28,040,547.48 Voter Education: $306,470.15	These funds were expended to reimburse county costs for purchase and upgrade of voting system equipment and voting system components as defined by, and pursuant to, HAVA Section 301 requirements. During this reporting period, claims were submitted by 23 counties for reimbursement for purchase of voting system purchases or upgrades for the following systems: • Diebold AccuVote TSx (DRE) • ES&S Automark (Accessible Ballot-marking device) • Sequoia AVC Edge I & II (DRE) • Hart eSlate (DRE) In addition to expenditure of funds to meet HAVA Section 301 (a)(3) voting system accessibility standards, some counties were reimbursed for upgrades to absentee voting systems, pursuant to guidance provided by the federal Election Assistance Commission (EAC), the federal oversight authority for HAVA. This funding allocation was provided via individual contracts executed with California's 58 counties with an aggregate statewide value of $195 million. Under this contract, counties were allowed to submit claims for reimbursement for voting system equipment upgrades (Section 301(a) requirements); for voter education and assistance efforts (Section 301 (b)(2)(E) requirements); and for expenses allowable under a minimum requirements payment pursuant to Section 251 (b)(2)(B) and EAC guidance. (Funds expended under the minimum requirements payment allocation are noted separately below.) Expenditure of these funds is consistent with California's State Plan to comply with HAVA requirements for voting system standards, voter education, and poll worker education as provided for in Section Six of its 2004 State Plan (Budget) Update (See items A, H and J, which cumulatively total $198,637,124).

Section 303 – Computerized Statewide Voter Registration List Requirements and Requirements for Voters Who Register by Mail	
$17,921.79	These funds were expended for development, implementation and operation of California's statewide voter registration database to meet HAVA Section 303 requirements pursuant to a Memorandum of Agreement (MOA) executed with the United States Department of Justice on November 2, 2005. The agreement provided for both technological upgrades, regulatory actions and procedural measures to comply with the MOA on or before January 1, 2006 and during the 2006 election cycle. Expenditures of funds was primarily to pay the cost of redirected staff time that was devoted to training, education, trouble-shooting and providing help desk support for county elections officials in modifying and operating local election management systems, which under state law and prior to HAVA were the exclusive repository for voter rolls, which were reconfigured to synchronize and integrate with the statewide voter registration database. Expenditure of these funds is consistent with California's State Plan to comply with HAVA requirements for a statewide voter registration database, election official training, verification of voter registration information, and requirements for certain voters who register by mail as provided for in Section Six of its 2004 State Plan (Budget) Update (See items D, E, F and I, which cumulatively total $60,4000,000).
Minimum Requirements Payment expenditures	
$723,177.78	Pursuant to direction provided by the EAC, California submitted certification of its use of a minimum requirements payment as provided for in Section 251 (b)(2)(B) on April 3, 2006. (The certification letter and spreadsheet identifying each counties' allocation of the $11,596,803 minimum requirements payment is attached.)
Total HAVA Title II, Section 251 expenditures for Federal Fiscal Year (10/01/05 – 09/30/06)	$29,088,117.20
$12,768,199.43	Total interest earned to date on Section 251 funds as of 09/30/06
$34,839,715.20	Matching funds provided during Federal FY (10/01/05-09/30/06)