BRUCE McPHERSON | SECRETARY OF STATE | STATE OF CALIFORNIA
MANAGEMENT SERVICES
1500 11th Street, 4th floor | Sacramento, CA 95814 | www.ss.ca.gov

February 21, 2006

ORIGINAL

Ms Gracia Hillman
State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW – Ste 1100
Washington DC 20005

RE: Federal Report Under Title I, Section 101 of Help America Vote Act (HAVA) of 2002

Dear Ms Hillman:

Attached is the original signed Financial Status Report (FSR, SF 269) for HAVA Title I, Section 101 covering the period from January 1 to December 31 of 2005.

Expenditures are listed according to the State Operations (Attachment A), Security Measures (Attachment B), Poll Worker & Voter Education (Attachment C), and Parallel Monitoring (Attachment D) programs.

As of today, Secretary of State Office has not received an approved ICRP, therefore, on line 11 of form 269, the "Indirect Cost" showed a "Zero" amount.

If you have any questions, please contact Michelle Fok (916) 653-1483.

Sincerely,

Dora Mejia
Chief, Management Service Division

Attachment

CC: Chris Reynolds

FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

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1. Federal Agency and Organizational Element to Which Report is Submitted U. S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Federal Agency Help America Vote Act of 2002, Title 1, Section 101	OMB Approval No. 0348-0039	Page 1 of 1 pages
3. Recipient Organization (Name and complete address, including ZIP code) 1500 11th Street, Room 465, Sacramento CA 95814			
4. Employer Identification Number 94-6001347	5. Recipient Account Number or Identifying Number	6. Final Report ☐ Yes ☑ No	7. Basis ☑ Cash ☐ Accrual
8. Funding/Grant Period *(See instructions)* From: (Month, Day, Year) 3/1/2003	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year) 1/1/2005	To: (Month, Day, Year) 12/31/2005

10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	5,335,268.00	9,702,907.00	15,038,175.00
b. Refunds, rebates, etc.			0.00
c. Program income used in accordance with the deduction alternative			0.00
d. Net outlays *(Line a, less the sum of lines b and c)*	5,335,268.00	9,702,907.00	15,038,175.00
Recipient's share of net outlays, consisting of:			
e. Third party (in-kind) contributions			0.00
f. Other Federal awards authorized to be used to match this award			0.00
g. Program income used in accordance with the matching or cost sharing alternative			0.00
h. All other recipient outlays not shown on lines e, f or g			0.00
i. Total recipient share of net outlays *(Sum of lines e, f, g and h)*	0.00	0.00	0.00
j. Federal share of net outlays *(line d less line i)*	5,335,268.00	9,702,907.00	15,038,175.00
k. Total unliquidated obligations			945,124.00
l. Recipient's share of unliquidated obligations			15,983,299.00
m. Federal share of unliquidated obligations			945,124.00
n. Total Federal share *(sum of lines j and m)*			15,983,299.00
o. Total Federal funds authorized for this funding period			27,340,830.00
p. Unobligated balance of Federal funds *(Line o minus line n)*			11,357,531.00
Program income, consisting of:			
q. Disbursed program income shown on lines c and/or g above			
r. Disbursed program income using the addition alternative			
s. Undisbursed program income			
t. Total program income realized *(Sum of lines q, r and s)*			0.00

11. Indirect Expense	a. Type of Rate *(Place "X" in appropriate box)* ☐ Provisional ☐ Predetermined ☐ Final ☐ Fixed			
	b. Rate	c. Base	d. Total Amount 0.00	e. Federal Share 0.00

12. *Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.*

13. Certification: **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Signature of Authorized Certifying Official	Date Report Submitted February 21, 2006

BRUCE McPHERSON | SECRETARY OF STATE | STATE OF CALIFORNIA
EXECUTIVE OFFICE | 1500 11th Street, Sacramento, CA, 95814 tel 916.653.7244 fax 916.653.4620 www.ss.ca.gov

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February 28, 2006

State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW – Suite 1100
Washington, DC 20005
Attn. Peggy Sims

To Whom It May Concern:

Enclosed please find California's Help America Vote Act of 2002 Section 101 and 102 expenditure reports for calendar year 2005.

This narrative report, including an analysis of how the expenditures conform to California's submitted State Plan, accompanies the submission of Standard Form 269 as required by the Election Assistance Commission (EAC). We would only note that these expenditures, which generally support the State Plan, are not Title II resources and are therefore not included in the State Plan budget. The reference to the State Plan budget is provided only pursuant to the request by the EAC to identify how these expenditures are consistent with the State Plan.

If you have any questions regarding this report, please do not hesitate to contact me at (916) 651-7837.

Sincerely,

Chris Reynolds
HAVA Coordinator

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State of California - HAVA Section 101 expenditures: January 1, 2005 - December 31, 2005	
Amount	**Analysis, description of activity, conformity to State Plan**
Section 101 (b) (1) (A) - Complying with the requirements under Title III	
$460,532	Funds used to develop statewide voter registration database, including research and development of a Feasibility Study Report, the basic, required planning and fiscal document for the project. Consistent with California's plans to comply with Section 303 (a), (See Section Six, Budget line 9, pg 4 of HAVA California State Plan 2004 Update)
Section 101 (b) (1) (B) - Improving the administration of elections for Federal Office	
$547,360	Funds used for consulting contract to assist with implementation of HAVA in aftermath of December 2004 performance audit by California Bureau of State Audits, including creation of Implementation Plan for HAVA after analysis of requirements, and development of internal control procedures necessary to administer federal funds. Consistent with California's plans to comply with HAVA Consistent with California's plans to comply with Sections 254(a)(2); 254 (a)(5); and 254 (a) (11) (See Section Six, Budget lines 17, 27, 28, and 33, pgs 4-5 of HAVA California State Plan 2004 Update)
$326,340	State printing costs for Permanent Absentee Voter notice to voters. This program was initiated pursuant to a change in state law that allows any voter to become a permanent, vote-by-mail voter. The program helps local elections officials more effectively administer elections, holding down costs and more accurately forecasting Election Day polling place needs. Consistent with California's plans to comply with Sections 303 (a); and 702. (See Section Six, Budget lines 11 and 16, pg 4 of HAVA California State Plan 2004 Update)
$81,341	Secretary of State staff costs for administering HAVA, including implementing a complaint procedure; developing a State Plan; executing contracts with local elections officials (counties) for HAVA needs (Section 102, poll worker and voter education grants, and voting system security measures); providing assistance and guidance to local election officials on HAVA requirements; developing statewide database plans; creating a single office to coordinate support for military and overseas voters; and internal control procedures for reimbursing counties pursuant to contracts.* Consistent with California's plans to comply with Sections 254 (a)(5) and 254 (a)(11). (See Section Six, Budget line 27, 28 and 33, pg 5 of HAVA California State Plan 2004 Update)

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Section 101 (b) (1) (C) - Educating voters concerning voting procedures, voting rights and voting technology	
$6,501,683	Contracts with counties to provide funding for voter education regarding new voting systems (including replacement of punch card voting systems and deployment of DRE systems and other HAVA requirements).** Consistent with California's plans to comply with Section 254 (a)(3). (See Section Six, Budget lines 18-22, pg 4 of HAVA California State Plan 2004 Update)
$83,769	Costs for printing and distributing to SOS staff, counties and others voter education materials, including a Voter Bill of Rights that includes HAVA, and other federal and state voting rights, and voter registration materials. Consistent with California's plans to comply with Section 254 (a)(3). (See Section Six, Budget lines 18-22, pg 4 of HAVA California State Plan 2004 Update)
Section 101 (b) (1) (D) - Training election officials, poll workers and election volunteers	
$6,501,683	Contracts with counties to provide funding for poll worker training regarding new voting systems (including replacement of punch card voting systems and deployment of DRE systems and other HAVA requirements.** Consistent with California's plans to comply with Section 254 (a)(3). (See Section Six, Budget lines 18-22, pg 4 of HAVA California State Plan 2004 Update)
Section 101 (b) (1) (E) - Developing the State Plan for requirements payments to be submitted under part 1 of subtitle D of title II	
$81,341	Secretary of State staff costs for administering HAVA, including developing a State Plan, executing contracts with local elections officials (counties) for HAVA needs (Section 102, poll worker and voter education grants, and voting system security measures); providing assistance and guidance to local election officials on HAVA requirements, developing statewide database plans and internal control procedures for reimbursing counties pursuant to contracts.* Consistent with California's plans to comply with Sections 254 (a)(5) and 254 (a)(11). (See Section Six, Budget line 27, 28 and 33, pg 5 of HAVA California State Plan 2004 Update)
Section 101 (b) (1) (F) - Improving, acquiring, leasing, modifying or replacing voting systems and technology and methods for casting and counting votes.	
$1,196,479	Reimbursement to counties using DRE voting systems for tasks required as a condition of recertification of the voting systems for use in the November 2004 General Election, which included elections for federal offices. Consistent with California's plans to comply with Sections 301 (a)(2); 301 (a)(3); 254 (a)(4). (See Section Six, Budget lines 1, 2, 23, 24, 25 and 26, pg 4-5 of HAVA California State Plan 2004 Update)

ORIGINAL

$116,451	Consulting contract to assist with voting system security measures; provide technical assistance for voting system certification; management and distribution of system software and system management; and provide technical assistance for development of voting system procedures pursuant to deployment of new HAVA-compliant voting systems. Consistent with California's plans to comply with Sections 301 (a)(2); 301 (a)(3); 254 (a)(4). (See Section Six, Budget lines 1, 2, 23, 24, 25 and 26, pg 4-5 of HAVA California State Plan 2004 Update)
$198,135	Consulting and internal costs for parallel monitoring program, a program initiated by the SOS as a part of the recertification of voting systems for the November 2004General Election, which included elections for federal offices. The program provided additional Election Day monitoring of voting systems to ensure reliable, secure, accurate Election Day results, as well as evaluating Election Day conduct of the election with new HAVA-compliant voting systems. Consistent with California's plans to comply with Sections 301 (a)(2); 301 (a)(3); 254 (a)(4). (See Section Six, Budget lines 1, 2, 23, 24, 25 and 26, pg 4-5 of HAVA California State Plan 2004 Update)
$47,101	Consulting contract to assist with voting system testing and certification pursuant to HAVA voting system standards as provided for in the Act in advance of promulgation of Voluntary Voting System Guidelines (VVSG); technical assistance with VVSG; provide technical advice regarding source code review; and technical assistance on voting system procedures and issues (e.g. manual recounts and open source code). Consistent with California's plans to comply with Sections 301 (a)(2); 301 (a)(3); 254 (a)(4). (See Section Six, Budget lines 1, 2, 23, 24, 25 and 26, pg 4-5 of HAVA California State Plan 2004 Update)
Section 101 (b) (1) (G) - Improving the accessibility and quantity of polling places, including providing physical access for individuals with disabilities, providing non-visual access for individuals with visual impairments, and providing assistance to Native Americans, Alaska Native citizens, and to individuals with limited proficiency in the English language.	
N/A	N/A
Section 101 (b) (1) (H) - Establishing toll-free telephone hot lines that voters may use to report possible voting fraud and voting rights violations, to obtain general election information, and to access detailed automated information on their own voter registration status, specific polling place locations and other relevant information.	
$162,931	Contract with AT&T for upgrade of toll-free hotline to accommodate increased volume of calls (increase ports) and to add additional language capabilities. Consistent with California's plans to comply with Sections 301 (a)(4) and 254 (a)(3). (See Section Six, Budget lines 3 and 21, pg 4 of HAVA California State Plan 2004 Update)

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Total 2005 calendar year expenditures	$9,722,122

*Note: Funds expended for staff resources in these categories ($81,341for 101 (b)(1) (B) & (E)) are noted twice because these resources assisted counties with the smooth implementation of HAVA requirements and were used to develop and implement the State Plan. These funds are NOT "double-counted" in the total funds expended.

**Note: Funds expended for training grants for counties in these categories ($6,501,683 for 101 (b)(1) (C) & (D)) are noted twice because these resources assisted counties with both voter education and poll worker training efforts. These funds are NOT "double-counted" in the total funds expended.

Secretary of State
Help America Vote Act of 2002
Title I, Sec 101 Grant Program Total

Total - Attachment A, B, C, D

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Fund:	0890	Report Due Date:	02/28/2006
Org Code No.:	5851, 5861	Reporting Period:	1/01/05 to 12/31/05
Grant Period:	Fr: 03/01/2003 To: Open	CFDA #:	39.011

EXPENDITURE CATEGORIES	TOTAL GRANT (A)	CURRENT EXPENDITURES (B)	EXPENDITURES PROJECT-TO-DATE (C)	ENCUMBRANCES (D)	AVAILABLE BALANCES A-(C+ D)
PERSONAL SERVICE COST:					
SALARIES AND WAGES		124,486	1,034,185	-	
BENEFITS		61,760	325,662	-	
TOTAL PERSONAL SERVICE COST		186,246	1,359,847		
OPERATIONAL EXPENSES AND EQUIPMENT:					
TRAVEL		3,931	44,287		
EQUIPMENT					
PRINTING & SUPPLIES		410,223	567,211		
POSTAGE		226	727,726		
CONSULTANT/CONTRACTS		1,042,679	3,809,575	355,124	
OTHER COST		163,690	295,498		
TOTAL OPERATIONAL EXPENSES AND EQUIPT.		1,620,749	5,444,297		
TOTAL DIRECT EXPENDITURES		1,806,995	6,804,144		
FEDERAL FUND DISTRIBUTION TO SUB RECIPIENT:					
LOCAL ASSISTANCE		7,895,912	8,125,953	590,001	
TOTAL DISTRIBUTED TO SUB RECIPIENT		7,895,912	8,125,953	590,001	
GRANT TOTAL - DIRECT EXPENDITURES		1,806,995	6,804,144		
GRANT TOTAL - DISTRIBUTED TO SUB RECIPIENT		7,895,912	8,125,953	945,124	
GRANT TOTAL - INDIRECT EXPENDITURES		0	108,077	-	
GRANT TOTALS TO BE REPORTED	27,340,830	9,702,907	15,038,174	945,124	11,357,532

Secretary of State
Help America Vote Act of 2002 - Section 101, State Operations

Attachment A

ORIGINAL

Sub-program Title:	Title I, Sec 101 **State Operation**	Report Due Date:	02/28/2006
Fund:	0890	Reporting Period:	1/01/05 to 12/31/05
Org Code No.:	5851		
Grant Period:	Fr: 03/01/2003 To: Open	CFDA #:	39.011

EXPENDITURE CATEGORIES	*TOTAL GRANT (A)*	*CURRENT EXPENDITURES (B)*	*EXPENDITURES PROJECT-TO-DATE (C)*	*ENCUMBRANCES (D)*	*AVAILABLE BALANCES A-(C+ D)*
PERSONAL SERVICE COST:					
SALARIES AND WAGES		124,486	1,034,185		
BENEFITS		61,760	325,662		
TOTAL PERSONAL SERVICE COST	0	186,246	1,359,847	0	
OPERATIONAL EXPENSES AND EQUIPMENT:					
TRAVEL		3,931	39,665		
EQUIPMENT					
PRINTING & SUPPLIES		410,223	567,204		
POSTAGE		226	226		
CONSULTANT/CONTRACTS		1,042,679	1,291,597		
OTHER COST		163,305	191,489		
TOTAL OPERATIONAL EXPENSES AND EQUIPT.	0	1,620,364	2,090,181	0	
TOTAL DIRECT EXPENDITURES	0	1,806,610	3,450,028	0	
FEDERAL FUND DISTRIBUTION TO SUB RECIPIENTS:					
LOCAL ASSISTANCE			0	0	
TOTAL DISTRIBUTED TO SUB RECIPIENTS		0	0	0	
GRANT TOTAL - DIRECT EXPENDITURES		1,806,610	3,450,028		
GRANT TOTAL - DISTRIBUTED TO SUB RECIPIENTS					
GRANT TOTAL - INDIRECT EXPENDITURES			108,077		
GRANT TOTALS TO BE REPORTED		1,806,610	3,558,105	0	

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Secretary of State
Help America Vote Act of 2002 - Section 101, Security Measure

Attachment B

Sub-program Title:	Title I, Sec 101 Security Measure	Report Due Date:	02/28/2006
Fund:	0890	Reporting Period:	1/01/05 to 12/31/05
Org Code No.:	5851 - 61002		
Grant Period:	Fr: 03/01/2003 To: Open	CFDA #:	39.011

EXPENDITURE CATEGORIES	TOTAL GRANT (A)	CURRENT EXPENDITURES (B)	EXPENDITURES PROJECT-TO-DATE (C)	ENCUMBRANCES (D)	AVAILABLE BALANCES A-(C+ D)
PERSONAL SERVICE COST:					
SALARIES AND WAGES					
BENEFITS					
TOTAL PERSONAL SERVICE COST		0	0	0	
OPERATIONAL EXPENSES AND EQUIPMENT:					
TRAVEL					
EQUIPMENT					
PRINTING & SUPPLIES					
POSTAGE					
CONSULTANT/CONTRACTS					
OTHER COST					
TOTAL OPERATIONAL EXPENSES AND EQUIPT.		0		0	
TOTAL DIRECT EXPENDITURES		0		0	
FEDERAL FUND DISTRIBUTION TO SUB RECIPIENTS:					
LOCAL ASSISTANCE		1,196,479	1,196,479		
TOTAL DISTRIBUTED TO SUB RECIPIENTS		1,196,479	1,196,479		
GRANT TOTAL - DIRECT EXPENDITURES		0	0		
GRANT TOTAL - DISTRIBUTED TO SUB RECIPIENTS		1,196,479	1,196,479		
GRANT TOTAL - INDIRECT EXPENDITURES					
GRANT TOTALS TO BE REPORTED		1,196,479	1,196,479	0	

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Secretary of State
Help America Vote Act of 2002 - Section 101, Pollworker

Attachment C

Sub-program Title:	Title I, Sec 101 County Poll Worker	Report Due Date:	02/28/2006
Fund:	0890	Reporting Period:	1/01/05 to 12/31/05
Org Code No.:	5861 - 61003		
Grant Period:	Fr: 03/01/2003 To: Open	CFDA #:	39.011

EXPENDITURE CATEGORIES	TOTAL GRANT (A)	CURRENT EXPENDITURES (B)	EXPENDITURES PROJECT-TO-DATE (C)	ENCUMBRANCES (D)	AVAILABLE BALANCES A-(C+ D)
PERSONAL SERVICE COST:					
SALARIES AND WAGES					
BENEFITS					
TOTAL PERSONAL SERVICE COST		0	0	0	
OPERATIONAL EXPENSES AND EQUIPMENT:					
TRAVEL					
EQUIPMENT					
PRINTING & SUPPLIES					
POSTAGE					
CONSULTANT/CONTRACTS					
OTHER COST					
TOTAL OPERATIONAL EXPENSES AND EQUIPT.		0	0	0	
TOTAL DIRECT EXPENDITURES		0	0	0	
FEDERAL FUND DISTRIBUTION TO SUB RECIPIENTS:					
LOCAL ASSISTANCE		6,501,683	6,731,724		
TOTAL DISTRIBUTED TO SUB RECIPIENTS		6,501,683	6,731,724	0	
GRANT TOTAL - DIRECT EXPENDITURES		0	0	0	
GRANT TOTAL - DISTRIBUTED TO SUB RECIPIENTS		6,501,683	6,731,724	0	
GRANT TOTAL - INDIRECT EXPENDITURES		0	0	0	
GRANT TOTALS TO BE REPORTED		6,501,683	6,731,724	0	

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Secretary of State
Help America Vote Act of 2002
Grant by Program Category

Attachment D

Sub-program Title:	Title I, Sec 101 Parallel Monitoring	**Report Due Date:**	02/28/2006
Fund:	0890	**Reporting Period:**	1/01/05 to 12/31/05
Org Code No.:	5851 - 51003		
Grant Period:	Fr: 03/01/2003 To: Open	**Grant ID #:**	39.011

EXPENDITURE CATEGORIES	*TOTAL GRANT (A)*	*CURRENT EXPENDITURES (B)*	*EXPENDITURES PROJECT-TO-DATE (C)*	*ENCUMBRANCES (D)*	*AVAILABLE BALANCES A-(C+ D)*
PERSONAL SERVICE COST:					
SALARIES AND WAGES				-	
BENEFITS				-	
TOTAL PERSONAL SERVICE COST		0	0	0	
OPERATIONAL EXPENSES AND EQUIPMENT:					
TRAVEL			4,622		
EQUIPMENT					
PRINTING & SUPPLIES			7		
PPOSTAGE					
CONSULTANT/CONTRACTS			80,214		
OTHER COST		385	385		
TOTAL OPERATIONAL EXPENSES AND EQUIPT.		385	85,228	0	
TOTAL DIRECT EXPENDITURES		385	85,228	0	
FEDERAL FUND DISTRIBUTION TO SUB RECIPIENTS:					
LOCAL ASSISTANCE		197,750	197,750		
TOTAL DISTRIBUTED TO SUB RECIPIENTS					
GRANT TOTAL - DIRECT EXPENDITURES		385	85,228	0	
GRANT TOTAL - DISTRIBUTED TO SUB RECIPIENTS		197,750	197,750		
GRANT TOTAL - INDIRECT EXPENDITURES		0	0	-	
GRANT TOTALS TO BE REPORTED		198,135	282,978	0	