

“Did Not Vote” line of questions, continued

DID NOT VOTE LINE

3

In which state would you have voted?

4

On November 7th, in which country were you residing or serving?

5

What filing status did you use? (Please check the same status as you indicated on your voter registration/ballot request FPCA form)

- U.S. citizen residing outside the U.S. temporarily
- U.S. citizen resident outside the U.S. indefinitely
- Uniformed Services, spouse or dependent

6

What is your voting history?

- I would have been a first time voter this year
- Voted before as overseas civilian
- Voted before in the US
- Voted both in the US, and as an overseas civilian

009483

- Voted before as absentee military/spouse/dependent
- Voted in US, and as absentee military/spouse/dependent

7

Did you try to register/request an absentee ballot for November 2006?

- Yes, I tried to register/request my ballot
- No, I didn't even try
- No, I thought I was registered
- No, I had confirmed that I was registered

End of Survey Page 3

“Did Not Vote” line of questions, continued

DID NOT VOTE LINE

8

By what means did you REQUEST your FPCA form to register to vote and/or request an absentee ballot? [Note: this form is traditionally called an FPCA form] (check all that apply)

- Electronically/online from a website
- In person from my Voting Assistance Officer (VAO)
- In person from the Consulate/Embassy
- Contacted my local election office by email

009484

- Contacted my local election office by telephone
- Contacted my local election office by FAX
- In person from local election office
- Used IVAS service from DOD
- In person from political party representative
- In person at a voter registration event
- In person from my employer
- In person from a friend
- Other, please specify

9

By which means were you PROVIDED a registration/ballot request FPCA form?

- Downloaded from a website
- Generated completed form through a website
- In the mail
- By FAX
- Via Email
- In person, I received a hardcopy paper form
- Accessed through automated IVAS system
- Other, please specify

009485

10

When did you send in your registration/ballot request FPCA form?

- June 2006 or earlier
- July
- August
- First half of September
- Second half of September
- First half of October
- Second half of October
- November

11

What method did you use to SEND IN your registration/ballot request FPCA form?

- FAX
- FAX + original FPCA by mail
- Email
- Email + original FPCA by mail
- Used IVAS service for DOD
- Regular Mail
- Certified or Express Mail / Courier
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person visit to election office

009486

Other, please specify

End of Survey Page 4

“Voted” Main Line of Questions

MAIN LINE OF QUESTIONS

12

How satisfied were you with the process of obtaining and casting a ballot in 2006?

Satisfied	Somewhat satisfied	Neutral	Somewhat dissatisfied	Dissatisfied
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

13

What filing status did you use? (Please check the same status as you indicated on your voter registration/ballot request FPCA form)

- U.S. citizen residing outside the U.S. temporarily
- U.S. citizen resident outside the U.S. indefinitely
- Uniformed Services, spouse or dependent

14

In which state did you vote by absentee ballot in 2006?

009487

15

On November 7th, in which country were you residing or serving?

16

What is your previous voting history?

- I would have been a first time voter this year
- Voted before as overseas civilian
- Voted before in the US
- Voted both in the US, and as an overseas civilian
- Voted before as absentee military/spouse/dependent
- Voted in US, and as absentee military/spouse/dependent.

17

Through which means/entity did you OBTAIN your voter registration/ballot request FPCA form? [Note: this form is traditionally called an FPCA form]

- My ballot arrived without filing a request
- Used online IVAS service from DOD
- Downloaded from a website
- Generated completed form through a website
- From my Voting Assistance Officer (VAO)
- From the Consulate/Embassy
- Election office sent it by email

009488

- Election office sent it by FAX
- Personally obtained it from local election office
- From political party representative
- At a voter registration event
- From my employer
- From a friend
- Other, please specify

End of Survey Page 5

“Voted” Main Line of Questions continued



MAIN LINE



18

When did you send in your registration/ballot request FPCA form?

- June 2006 or earlier
- July
- August
- First half of September
- Second half of September
- First half of October
- Second half of October

009489

November

19

What method did you use to SEND IN your registration/ballot request FPCA form?

- FAX
- FAX + original FPCA by mail
- Email
- Email + original FPCA by mail
- Used IVAS service for DOD
- Regular Mail
- Certified or Express Mail / Courier
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person visit to election office
- Other, please specify

End of Survey Page 6

“Voted” Main Line of Questions, continued

009490

20

When did you receive/obtain your blank ballot?

- Early to mid September
- Mid to end of September
- Early to mid October
- One to two weeks before the election
- Less than a week before the election
- Election day
- After election day

21

Through what method was your blank ballot delivered?

- FAX
- Email
- Downloaded through DOD IVAS service
- Regular Mail
- Certified or Express Mail/courier
- Military Postal Service (APO/FPO)
- Other, please specify

22

How would you characterize the logistical aspects of this method of blank ballot delivery? (check all that apply)

Main Line Questions for ETS Voters Only

MAIN LINE ETS SPECIFIC QUESTIONS

28

Did you ever use this method of electronic transmission to send a voted ballot in a previous election?

YES NO

29

Did you consider the method of electronic transmission you used to send your voted ballot to be any of the following: (check all that apply)

- Practical
- User-friendly
- Logical
- Well-defined
- Tedious
- Confusing
- Complex
- Incomprehensible
- Other, please specify

30

How satisfied were you with the electronic transmission process you used to send your voted ballot?

_____	_____	_____	_____	_____
Satisfied	Somewhat satisfied	Neutral	Somewhat dissatisfied	Dissatisfied
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

009493

Main Line of Questions for ETS and Non ETS Voters

MAIN LINE - ETS AND NON ETS

37

If given a choice would you utilize the same system for ballot transmission again in the future?

YES NO

38

How did you find out about the voting method you used? (check all that apply)

- Internet Search
- Received Email Notification
- Local Election Official
- Consulate/Embassy
- Voting Assistance Officer
- Federal Voter Assistance Program
- IVAS Website from DOD
- Newspaper
- Newsletter

State Election Office web site

Local Election Office web site

Can't remember

Other, please specify

39

How would you characterize your feelings about the security of your actual VOTE?

Concerned	Somewhat concerned	Neutral	Somewhat unconcerned	Unconcerned	Don't know
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6

40

What type of security concerns, if any, do you have in regards to your vote? (check all that apply)

Not sure my voted ballot actually arrived

Whether my voted ballot could go astray

Whether someone sees or discovers how I voted

Vote tampering / someone could change my vote

None, I am not concerned

Other, please specify

41

Did you confirm, or try to confirm, that your ballot arrived?

009495

- Yes, checked through state or county online tracking tool
- Yes, contacted my election office
- No, I did not confirm my ballot arrival
- Other, please specify

42

What was the TOTAL cost to you to return your registration AND ballot materials? (in \$USD)

- None
- Under \$5
- \$ 5 - \$10
- \$10 - \$25
- \$25 - \$50
- \$50 - \$100+
- Other, please specify

End of Survey Page 9

All Survey Takers through to end

ALL SURVEY TAKERS RECEIVE THESE FINAL QUESTIONS

009496

43

Please indicate which FAX services you have access to: (check all that apply)

- FAX machine regularly available
- Pay-per-use FAX services available
- Internet FAX program
- No FAX services available
- Other, please specify

44

How often do you access the Internet?

- Daily
- 2-3 times a week
- Once a week
- Occasionally
- Almost Never
- Never

45

From what type of location do you access the Internet? (check all that apply)

- Home
- Work

Internet Cafe

Library

Other

46

Qualify the Internet access location that you use: (check all that apply)

Public

Private

Business

47

In the future, would you feel comfortable submitting a completed ballot by email or interactively voting over the Internet?

Yes

No

Not sure

Other, please specify

48

Please define any concerns you might have in regards to submitting a completed ballot or voting interactively online? (check all that apply)

I do not have any concerns about online voting

009498

- Privacy concerns
- Security concerns
- I don't trust the Internet
- I don't plan on voting again
- Other, please specify

End of Survey Page 10

All Survey Takers

ALL SURVEY TAKERS

49

When did you last live in the US?

- Less than 1 year ago
- 1 - 2 years ago
- 2 - 5 years ago
- 5 - 10 years ago
- More than 10 years ago
- Does not apply - I am active duty within the US

50

How old were you on November 7, 2006?

009499

- 18 to 24 years
- 25 to 34 years
- 35 to 44 years
- 45 to 54 years
- 55 years and older
- 65 to 74 years
- 75 years and older

51

What is the highest level of formal education you have completed?

- Less than high school graduate
- High school graduate or GED
- College or associate's degree
- Bachelor's degree
- Advanced degree
- Other, please specify

52

How long would it take to travel to the closest US Consulate/Embassy?

- Less than 1 hour
- 2-3 hours
- 4 or more hours

009500

53

Would a Consulate/Embassy visit require time off work?

YES NO

54

Please indicate your gender:

Male

Female

55

Please provide other comments or suggestions that you may have here:

End of Survey Page 11

009501



kmd@q2dataresearch .com
10/18/2006 02:46 AM

To lotero@eac.gov
cc
bcc

Subject draft federal register notice attached

History:

✉ This message has been replied to.

good morning, laiza

attached, please find my first attempt to write the federal register notice. please let me know what, if anything, i should change. i assume that you received the survey document that i emailed to you and karen this afternoon - let me know if you have any questions about it, or problems with the formatting etc.
thank you again for all of your help!

regards,

karin



draftFRnotice.doc

009502

U.S. ELECTION ASSISTANCE COMMISSION

Request For Substantive Comments on the Survey Instrument for Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA) Voters.

AGENCY: United States Election Assistance Commission (EAC).

ACTION: NOTICE.

SUMMARY: The EAC has drafted a survey to collect information from UOCAVA voters about their interaction and experiences with the electoral system, specifically about their use of information technology and the internet in the registration and balloting process. Participation in the survey is strictly voluntary. The project is mandated by 42 U.S.C. §15371. The purpose of this notice is twofold: (1) to request public comment on the substantive aspects of the survey instrument and (2) to request public comment on the proposed collection of information pursuant to the emergency processing provisions of the Paperwork Reduction Act as submitted to the Office of Management and Budget (OMB).

(1) SUBSTANTIVE COMMENTS: The EAC seeks substantive comments from the public on the UOCAVA survey instrument. Please submit comments consistent with the information below. Comments should identify and cite the question and location of the survey question at issue. Where a substantive issue is raised, please propose a recommended change or alternative wording. This publication and request for comment is a voluntary effort by the EAC to gather input from the public on this EAC survey instrument.

DATES (Comments): Submit written or electronic comments on this draft procedural manual on or before 5:00 p.m. EDT on October 31, 2006.

009503

ADDRESSES: Submit comments on-line on EAC's website: <http://www.eac.gov>; via mail to Karen Lynn-Dyson, Research Director, U.S. Election Assistance Commission, 1225 New York Avenue, Suite 1100, Washington, D.C. 20005; or via fax to 202-566-1392. An electronic copy of the survey may be found on the EAC's web cite <http://www.eac.gov>.

FOR FURTHER INFORMATION CONTACT: Karen Lynn-Dyson, Research Director, 1225 New York Avenue, Suite 1100, Washington, D.C., (202)566-3100, Fax: (202)566-1392.

(2) COMMENTS ON THE PROPOSED COLLECTION OF INFORMATION: In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the EAC is publishing the following summary of proposed collections for public comment. Interested persons are invited to send comments regarding this burden estimate or any other aspect of this collection of information, including any of the following subjects: (1) The necessity and utility of the proposed information collection for the proper performance of the agency's requirement to conduct this study; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

The EAC is requesting an emergency review of the information collection referenced below. In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, we have submitted to the Office of Management and Budget (OMB) the following requirements for emergency review. The EAC is requesting an emergency review because the collection of this information is needed before the expiration of the normal time limits under OMB's regulations at 5 CFR Part 1320(a)(2)(ii). The information collection at issue is necessary in order to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the

Federal, State, and local electoral process, as mandated by the Help America Vote Act of 2002 (42 U.S.C. §15371). The EAC cannot reasonably comply with the normal clearance procedures because failure to implement this study in an expedited fashion is reasonably likely to prevent or disrupt the collection; as stated in 5 CFR 1320.13(a)(2)(i).

Approval of this emergency collection is essential in order to comply with Help America Vote Act of 2002 (42 U.S.C. §15371). Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process. In delineating nine possible research topics, Congress specifically identified the need for further research in determining the requirements for authorization, collection, storing, and processing electronically generated messages permitting eligible voters to apply for an absentee ballot.

In response to this HAVA requirement, the EAC is developing a Survey of voters that are protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA). UOCAVA voters are most likely to utilize communications and internet technologies in the Federal, State and local electoral process. The upcoming General Election of 2006 is the only Federal Election that will be conducted until 2008. This is the only election that will include Federal, State and local candidates and issues on one ballot until 2008, thus this election presents the only opportunity to collect the necessary data to comply with the HAVA requirement within the mandated time frame.

Statistical analyses on respondent behavior to voluntary surveys have shown that the interest level of potential respondents, and thus the response rate, drops dramatically as time passes after the event in question, which in this case, is the respondents' interaction and experience with the electoral system during the General Election of 2006. Furthermore, UOCAVA voters are a highly mobile group of voters. Their contact information will be gathered from local election officials, based on what the voter supplied as their contact preference for this election. As time passes from the date of the election, we will lose more potential respondents because we will not have current

009505

contact information. Members of the military, for example, are often reassigned on short notice and their contact information for election purposes will not have to be updated until the next election in 2008. Both reasons will dramatically disrupt, or in fact prevent, the collection of a sufficient number of responses to constitute a large enough sample to provide statistically significant data that are representative of the population being studied. The quality of the data collected from respondents will also suffer if the collection is not undertaken close to election time. It is a well documented fact that respondents tend to forget or don't adequately recollect processes as time passes. The validity of the data will greatly suffer if the collection commences much after election day.

1. Type of Information Collection Request: New collection;
2. Title of Information Collection: USEAC Survey of UOCAVA Voters
3. Use: The data will be collected, coded, summarized and analyzed by an EAC contractor. Summary data from the survey will be contextualized with qualitative data collected in case studies and presented in a report to the EAC. At the conclusion of the study, the EAC will transmit a report on the results of the study to Congress. The study, or subsections thereof, will also be publicly available upon release by the EAC.
4. Form Numbers: ?
5. Frequency: One-Time Voluntary Response
6. Affected Public: UOCAVA voters
7. Number of Respondents: 10,000;
8. Total Annual Responses: 10,000;
9. Total Annual Hours: 2,500 hours.

EAC is requesting OMB review and approval of this collection by

009506

October 31, 2006, with a 180-day approval period. Written comments and recommendations will be considered from the public if received by the individuals designated below by October 31, 2006.

To obtain copies of the supporting statement, access the EAC Web Site at www.eac.gov or mail your request, including your address, phone number, to Research Director, U.S. Election Assistance Commission, 1225 New York Avenue, Suite 1100, Washington, D.C. 20005; or fax the EAC Research Director at 202-566-1392.

Interested persons are invited to send comments regarding the burden or any other aspect of these collections of information requirements. However, as noted above, comments on these information collection and recordkeeping requirements must be mailed and/or faxed to the designees referenced below by October 31, 2006:

OMB Reviewer: Alexander T. Hunt

Office of Management and Budget, Room 10235

New Executive Office Building

Washington, DC 20503, (202) 395-7316.

009507



bg@q2dataresearch.com

11/06/2006 03:08 PM

To lotero@eac.gov, klynndyson@eac.gov

cc kmd@q2dataresearch.com, [REDACTED]

bcc

Subject

Dear Laiza and Karen:

Karin asked me to forward the pdf of the survey to you to include with the OMB application. It is attached here. Please let me know if you have any questions or need anything else.

Thanks,

Bonnie Glaser



Q2 Data & Research, LLC Review Draft 2006 EAC UOCAVA Voter Survey Nov6_06.pdf

009508

Review Draft 2006 EAC UOCAVA Voter Survey

Dear Voter,

We are conducting a survey of overseas and uniformed services absentee voters and are inviting you to tell us about your experiences with the election process. The United States Congress has asked for this study because of the reports that uniformed services and overseas voters often have problems when trying to vote. We are working with the United States Election Assistance Commission to make sure that your experiences and suggestions are being collected and forwarded to Congress.

Your responses are confidential so please take 8-10 minutes to fill out this survey, and tell us about your voting experience in November of 2006. This is a great opportunity to help improve the system and your participation matters: we can not do a good job without your help!

Thank you so much!

[Start Survey!](#)

Review Draft 2006 EAC UOCAVA Voter Survey

ALL SURVEY TAKERS ANSWER THIS QUESTION
"Yes" answers take the Main Line of questions
"No" answers take the Did Not Vote Line of questions

1

Were you an overseas citizen or a member of the Uniformed Services, spouse or dependent on November 7, 2006?

- Overseas Citizen
- Uniformed Services member
- Spouse or dependent of Uniformed Services member

2

Did you vote on November 7, 2006?

009509

- Yes, I voted
- No, I did not try to vote
- No, I tried but was unable to complete the process

Survey Page 1

Review Draft 2006 EAC UOCAVA Voter Survey

DID NOT VOTE LINE

Please note: The Federal Post Card Application (FPCA) is the federal form for both voter registration and absentee ballot request for overseas citizens, active duty military within and outside of the U.S., and their spouses and dependents.

3

Why didn't you vote? (check all that apply)

- My ballot did not arrive
- My ballot was late
- Forgot to send my ballot
- Registration/ballot request FPCA form was denied
- Missed the registration deadline
- I thought I was registered, but wasn't

009510

- My address changed
 - Could not meet my state's notarization requirements
 - Could not meet my state's witness requirements
 - I did not know what I needed to do to register and vote
 - Didn't think my vote would matter
 - The process was too complicated
 - Lacked candidate information
 - No interest
 - Other, please specify
-

Survey Page 2

Review Draft 2006 EAC UOCAVA Voter Survey

DID NOT VOTE LINE

4

If you had been in the U.S. on November 7, 2006, Election Day, how likely is it that you would have voted?

Very likely	Somewhat likely	Neither likely or unlikely	Somewhat unlikely	Very unlikely
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1	2	3	4	5

009511

5

In which U.S. state or territory would you have voted had you been in the U.S.?

6

On November 7th, in which country were you living or serving?

7

What filing status did you use, or would you have used, on the registration/ballot request FPCA form?

- U.S. citizen living outside the U.S. temporarily
- U.S. citizen living outside the U.S. indefinitely
- Uniformed Services, spouse or dependent

8

Describe your voting history: (check all that apply)

- This would have been my first time voting in my life
- This would have been my first time voting as an overseas citizen
- This would have been my first time voting as an absentee military service member
- This would have been my first time voting as an absentee military spouse
- This would have been my first time voting as an absentee military dependent
- Voted before as an overseas citizen

009512

- Voted before as an absentee military services member
- Voted before as a military absentee spouse
- Voted before as a military absentee dependent
- Voted before locally in the US
- Voted before as a domestic absentee in the US

9

Did you try to register to vote and/or request an absentee ballot for the November 7, 2006 election?

- Yes, I tried to request my ballot with the FPCA form
- Yes, I used a state absentee ballot request form
- Yes, but I am not sure which form I used
- No, I didn't even try
- No, I thought I was registered
- No, I had confirmed that I was registered

Survey Page 3

Review Draft 2006 EAC UOCAVA Voter Survey

DID NOT VOTE LINE

009513

10

Who did you go to for your FPCA form to register to vote and/or request an absentee ballot? (check all that apply)

- Looked in the Internet
- Federal Voting Assistance Program
- Voting Assistance Officer (VAO)
- Consulate/Embassy
- Local election office
- IVAS service from DOD
- Political party
- Nonpartisan voter organization
- Voter registration event
- Employer
- Friend
- I did not request or look for an FPCA form
- Other, please specify _____

11

How did you get your registration/ballot request FPCA form?

- Downloaded the blank FPCA form from a website
- Filled-out the FPCA form online and printed it
- Used the online IVAS system

009514

- In person, I received a hardcopy paper form
- Received it in the mail
- It was FAXed to me
- Received it as an email attachment
- I did not receive the form
- Other, please specify

12

When, in 2006, did you send in your registration/ballot request FPCA form?

- From January through June 2006
- July
- August
- First half of September
- Second half of September
- First half of October
- Second half of October
- November
- I was already registered from a previous year
- I never sent it in

13

009515

How did you SEND IN your registration/ballot request FPCA form?

- FAX
- FAX + original FPCA by mail
- Email
- Email + original FPCA by mail
- Used IVAS service for DOD
- Regular Mail
- Certified or Express Mail / Courier
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person visit to election office
- Other, please specify

Survey Page 4

Review Draft 2006 EAC UOCAVA Voter Survey



MAIN LINE OF QUESTIONS



Please note: The Federal Post Card Application (FPCA) is the federal form for both voter registration and absentee ballot request for overseas citizens, active duty military within and outside of the U.S., and their spouses and

009516

dependents.

14

How satisfied were you with the process of obtaining and casting a ballot in 2006?

Satisfied	Somewhat satisfied	Neutral	Somewhat dissatisfied	Dissatisfied
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

15

What filing status did you use on the FPCA form? (Please check the same status as you indicated on your voter registration/ballot request FPCA form)

- U.S. citizen living outside the U.S. temporarily
- U.S. citizen living outside the U.S. indefinitely
- Uniformed Services, spouse or dependent

16

In which U.S. state or territory did you vote by absentee ballot on November 7, 2006?

17

In which country were you residing or serving on November 7, 2006?

009517

18

Describe your voting history: (check all that apply)

- This was my first time voting in my life
- This was my first time voting as an overseas citizen
- This was my first time voting as an absentee military service member
- This was my first time voting as an absentee military spouse
- This was my first time voting as an absentee military dependent
- Voted before as an overseas absentee citizen
- Voted before as an absentee military services member
- Voted before as a military absentee spouse
- Voted before as a military absentee dependent
- Voted before locally in the US
- Voted before as a domestic absentee in the US

19

Who did you go to for your FPCA form to register to vote and/or request an absentee ballot? (check all that apply)

- Looked in the Internet
- Federal Voting Assistance Program
- Voting Assistance Officer (VAO)
- Consulate/Embassy
- Local election office
- IVAS service from DOD

009518

- Political party
- Nonpartisan voter organization
- Voter registration event
- Employer
- Friend
- I did not request or look for an FPCA form
- Other, please specify

20

How did you get your registration/ballot request FPCA form?

- Downloaded the blank FPCA form from a website
- Filled-out the FPCA form online and printed it
- Used the online IVAS system
- In person, I received a hardcopy paper form
- Received it in the mail
- It was FAXed to me
- Received it as an email attachment
- I did not receive the form
- Other, please specify

009519

Review Draft 2006 EAC UOCAVA Voter Survey

MAIN LINE

21

When, in 2006, did you send in your registration/ballot request FPCA form?

- From January through June 2006
 - July
 - August
 - First half of September
 - Second half of September
 - First half of October
 - Second half of October
 - November
 - I was already registered from a previous year
 - I never sent it in
-

22

How did you send in your registration/ballot request FPCA form?

- FAX

009520

- FAX + original FPCA by mail
- Email
- Email + original FPCA by mail
- Used IVAS service for DOD
- Regular Mail
- Certified or Express Mail / Courier
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person visit to election office
- Other, please specify

Survey Page 6

Review Draft 2006 EAC UOCAVA Voter Survey



MAIN LINE



23

When did you receive your blank ballot for the November 7, 2006 election?

- Early to mid September
- Mid to end of September

009521

- Early to mid October
- One to two weeks before the election
- Less than a week before the election
- Election Day
- After Election Day

24

How was your blank ballot delivered?

- FAX
- Email
- Downloaded through DOD IVAS service
- Regular Mail
- Certified or Express Mail/courier
- Military Postal Service (APO/FPO)
- Other, please specify

25

Had you ever received a blank ballot in this way before?

YES NO

26

009522

Please rate this method of receiving your blank ballot:

Easy	Somewhat easy	Neutral	Somewhat difficult	Difficult
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

27

How would you describe the way you received your blank ballot?
(check all that apply)

- Practical
- Fast
- Easy
- Slow
- Difficult
- Other, please describe: _____

28

Did you feel it was a secure way to receive your blank ballot?

Very secure	Secure	Neutral	Insecure	Very insecure
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

29

Was the ballot easy to complete?

009523

Easy	Somewhat easy	Neither	Somewhat difficult	Difficult	Don't know
1	2	3	4	5	6

30

What method did you use to SEND IN your VOTED ballot?

- Email
- Email + original ballot in mail
- FAX machine
- FAX machine + original ballot in mail
- Internet FAX transmission
- Internet FAX transmission + original ballot in mail
- Regular Mail
- Certified or Express Mail/courier
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- Other, please specify

31

How would you rate the ease-of-use of this way of sending in your voted ballot?

Easy	Somewhat easy	Neutral	Somewhat difficult	Difficult
------	---------------	---------	--------------------	-----------

009524

1

2

3

4

5

32

When did you send in your voted ballot for the November 7, 2006 election?

- First half of September
- Second half of September
- First half of October
- Second half of October
- First week of November
- Election Day
- After Election Day
- I never sent it

Survey Page 7

Review Draft 2006 EAC UOCAVA Voter Survey

ETS USER SPECIFIC QUESTIONS

PLEASE NOTE:

The term "electronic transmission method" includes Email, FAX, Internet and online systems.

009525

33

How would you describe the electronic transmission method you used for returning your voted ballot? (check all that apply)

- Practical
- User-friendly
- Logical
- Well-defined
- Fast
- Easy
- Slow
- Difficult
- Hard to understand
- Other, please describe:

34

Did you ever use this electronic transmission method to send a voted ballot in any other election?

YES NO

35

satisfied

dissatisfied

1

2

3

4

5

36

Please rate the ease-of-use of the electronic transmission method you used to send your voted ballot?

Easy

Somewhat Easy

Neutral

Somewhat difficult

Difficult

1

2

3

4

5

37

What made this electronic transmission method to return your voted ballot easy for you to use? (check all that apply)

- Clear instructions
- Easy to understand
- Fast
- Handy
- Good format - easy to see
- Low cost or no cost
- Could use it from my location
- No travel required
- Other, please specify

009527

38

Did you have any problems with the electronic transmission method of sending your voted ballot? (check all that apply)

- Too many steps
- Didn't understand the instructions
- Wasn't sure if I needed a witness
- I didn't understand what to do
- No, I did not have any problems
- Other, please specify

39

Why did you decide to send your ballot in this way? (check all that apply)

- It was easy
- It saved me time
- I didn't need to travel
- I thought it was required
- It was offered
- To get my ballot back faster
- It was suggested that I use this method
- I received an email telling me about it
- I thought it was safer than regular mail
- My blank ballot arrived late

009528

Other, please specify

40

How would you describe your feelings about vote privacy?

Concerned	Somewhat concerned	Neutral	Somewhat unconcerned	Unconcerned	Don't know
1	2	3	4	5	6

41

Were you asked to waive (give up) the right to privacy of your vote?

YES NO

Additional Comment

▲
▼

42

How would you describe your feelings about waiving your right to a private vote?

Concerned	Somewhat concerned	Neutral	Somewhat unconcerned	Unconcerned	Don't know
1	2	3	4	5	6

Review Draft 2006 EAC UOCAVA Voter Survey

43

Would you send your voted ballot in the same way again in the future?

YES NO

MAIN LINE - ETS AND NON ETS

44

How did you find out about the voting method you used? (check all that apply)

- Internet Search
- Got an Email
- Local Election Official
- Consulate/Embassy
- Voting Assistance Officer
- Federal Voter Assistance Program
- IVAS Website from DOD
- Newspaper
- Newsletter
- State Election Office web site

009530

Local Election Office web site

Political party

Voter organization

Can't remember

Other, please specify

45

How did you feel about the security of your actual VOTE?

Concerned Somewhat concerned Neutral Somewhat unconcerned Unconcerned Don't know

1

2

3

4

5

6

46

What security concerns did you have in regards to your vote?
(check all that apply)

Not sure my voted ballot actually arrived

Concerned that my voted ballot could get lost

Concerned that someone saw how I voted

Concerned someone could change my vote

None, no concerns

Other, please specify

009531

47

Did you confirm that your ballot arrived?

- Yes, checked through state or county online tracking tool
- Yes, contacted my election office
- No, I did not confirm my ballot arrival
- Other, please specify

48

How much time would you estimate the entire process of voting took you from the time you started till the time you sent your voted ballot? Include registration/ballot request, paperwork processing, phone calls or visits to official offices, as applicable to you.

- Less than 2 weeks
- 2 - 4 weeks
- 5 - 6 weeks
- 7 - 8 weeks
- More than 8 weeks

49

What was the TOTAL cost to you to return your registration AND ballot materials? (in \$USD)

- None
- Under \$5

009532

- \$ 5 - \$10
- \$10 - \$25
- \$25 - \$50
- \$50 - \$100+
- Other, please specify

Review Draft 2006 EAC UOCAVA Voter Survey



ALL SURVEY TAKERS RECEIVE THESE FINAL QUESTIONS



50

Which FAX services do you use: (check all that apply)

- FAX machine always available
- Pay-per-use FAX services
- Internet FAX program
- No FAX services
- Other, please specify



51

How often do you access the Internet?

- Daily
- 2-3 times a week
- Once a week
- Sometimes
- Almost Never
- Never

52

Where do you access the Internet? (check all that apply)

- Home
- Work
- Internet Cafe
- Library
- Other, please specify

53

What kind of Internet access location do you use: (check all that apply)

- Public
- Private

009534

Business

Other, please specify

54

In a future election, would you be comfortable sending in a voted ballot electronically by email, FAX or voting online?

Yes

No

Not sure

Other, please specify

55

What concerns would you have about sending in a voted ballot electronically by email, FAX or voting online? (check all that apply)

I do not have any concerns about voting online

I do not have any concerns about voting by FAX

I do not have any concerns about voting by email

Privacy concerns

Security concerns

I don't trust the Internet

I don't want to share personal information on the Internet

I'm concerned that my election official will see how I voted

009535

I'm afraid that people could see how I voted

Other, please specify

Survey Page 10

Review Draft 2006 EAC UOCAVA Voter Survey

ALL SURVEY TAKERS

56

When did you last live in the US?

- Less than 1 year ago
- At least 1 year but less than 2 years ago
- At least 2 years but less than 5 years ago
- At least 5 year but less than 10 years ago
- 10 or more years ago
- Does not apply - I am active duty within the US

57

How old were you on November 7, 2006?

- 18 to 24 years

009536

- 25 to 34 years
- 35 to 44 years
- 45 to 54 years
- 55 to 64 years
- 65 to 74 years
- 75 years and older

58

What is the highest level of formal education you have completed?

- Less than high school graduate
- High school graduate or GED
- Trade school
- College or associate's degree
- Bachelor's degree
- Advanced degree
- Other, please specify

59

Did you need to go to the US Embassy or Consulate at any time in the voting process?

YES NO

009537

60

How often do you go to the US Embassy or Consulate during an average election year?

- Never
- Once
- Twice
- Three times or more
- Other, please specify

61

How long would it take to travel to the closest US Consulate/Embassy from where you live right now?

- Less than 1 hour
- 2-3 hours
- 4 or more hours

62

Would a Consulate/Embassy visit require time off work?

- Yes
- No
- Not applicable

009538

63

Please indicate your gender:

Male

Female

64

Please provide other comments or suggestions that you may have here:

Juliet E. Hodgkins/EAC/GOV

11/09/2006 10:08 AM

To Laiza N. Otero/EAC/GOV@EAC

cc

bcc

Subject Re: Survey of UOCAVA Voters 

Looks good to me.

Juliet Thompson Hodgkins
General Counsel
United States Election Assistance Commission
1225 New York Ave., NW, Ste 1100
Washington, DC 20005
(202) 566-3100
Laiza N. Otero/EAC/GOV

 **Laiza N. Otero/EAC/GOV**

11/09/2006 10:00 AM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

Subject Survey of UOCAVA Voters

Hello,

Could you look over and approve the following Supporting Statements for the Survey of UOCAVA Voters? I would like to submit them today since they will be an emergency review --- since it's emergency we do not need the FR Notices, nor does this one require the PIA - I have included the Justification Statement below (this will be copied and pasted in the required text box allotted for it in ROCIS). Alex was made aware of this possible submission a couple of weeks ago. Thank you!



Emergency Justification.doc



UOCAVA Supporting Statement A.doc



UOCAVA Supporting Statement B.doc

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009540

Emergency Justification

U.S. Election Assistance Commission

The EAC is requesting an emergency review of the information collection referenced below. In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, we have submitted to the Office of Management and Budget (OMB) the following requirements for emergency review. The EAC is requesting an emergency review because the collection of this information is needed before the expiration of the normal time limits under OMB's regulations at 5 CFR Part 1320(a)(2)(ii). The information collection at issue is necessary in order to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process, as mandated by the Help America Vote Act of 2002 (42 U.S.C. §15371). The EAC cannot reasonably comply with the normal clearance procedures because failure to implement this study in an expedited fashion is reasonably likely to prevent or disrupt the collection; as stated in 5 CFR 1320.13(a)(2)(i).

Approval of this emergency collection is essential in order to comply with Help America Vote Act of 2002 (42 U.S.C. §15371). Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process. In delineating nine possible research topics, Congress specifically identified the need for further research in determining the requirements for authorization, collection, storing, and processing electronically generated messages permitting eligible voters to apply for an absentee ballot.

In response to this HAVA requirement, the EAC is developing a Survey of voters that are protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA). UOCAVA voters are most likely to utilize communications and internet technologies in the Federal, State and local electoral process. The upcoming General Election of 2006 is the only Federal Election that will be conducted until 2008. This is the only election that will include Federal, State and local candidates and issues on one ballot until 2008, thus this election presents the only opportunity to collect the necessary data to comply with the HAVA requirement within the mandated time frame.

Statistical analyses on respondent behavior to voluntary surveys have shown that the interest level of potential respondents, and thus the response rate, drops dramatically as time passes after the event in question, which in this case, is the respondents' interaction and experience with the electoral system during the General Election of 2006. Furthermore, UOCAVA voters are a highly mobile group of voters. Their contact information will be gathered from local election officials, based on what the voter supplied as their contact preference for this election. As time passes from the date of the election, we will lose more potential respondents because we will not have current

contact information. Members of the military, for example, are often reassigned on short notice and their contact information for election purposes will not have to be updated until the next election in 2008. Both reasons will dramatically disrupt, or in fact prevent, the collection of a sufficient number of responses to constitute a large enough sample to provide statistically significant data that are representative of the population being studied. The quality of the data collected from respondents will also suffer if the collection is not undertaken close to election time. It is a well documented fact that respondents tend to forget or don't adequately recollect processes as time passes. The validity of the data will greatly suffer if the collection commences much after Election Day.

Supporting Statement A

OMB Control Number: xxxx-xxxx

U.S. Election Assistance Commission

Survey of UOCAVA Voters

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

In 2001, operating under the National Defense Authorization Act on behalf of the Secretary of Defense, the Director of the Federal Voting Assistance Program (FVAP) began work to fulfill a congressional directive to conduct an electronic voting demonstration for the 2002 general election. Building upon the technical foundation of the first national electronic voting pilot (the 2000 Voting Over the Internet project), FVAP designed the Secure Electronic Registration and Voting Experiment (SERVE). SERVE was designed to assess whether electronic voting technology could be used to improve the voting participation of uniformed services members and overseas citizens, whose rights are protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA).

Section 245 of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301) requires the U.S. Election Assistance Commission (EAC) to conduct a study of issues and challenges, including the potential for election fraud, that are presented by the incorporation of communications and Internet technologies in the Federal, State, and local electoral process. In delineating nine possible research topics, Congress specifically identified the need for further research in determining the requirements for authorization, collection, storing, and processing electronically generated messages permitting eligible voters to apply for an absentee ballot. At the conclusion of the study, the EAC is required to submit a report on the results of the study to Congress.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

The data will be collected, coded, summarized and analyzed by an EAC Contractor. Summary data from the survey will be contextualized with qualitative data collected in case studies and presented in a report to the EAC. At the conclusion of the study, the EAC will transmit a report on the results of the study to Congress. The study, or subsections thereof, will also be publicly available upon release by the EAC.

The collection of data complies with the applicable Information Quality Guidelines in the following ways: One, the survey is timed to be conducted shortly after the General Election of 2006, when respondents' recollection of their interaction with the voting process is still at its best. Two, statistical sampling techniques will be employed to select a sample that is representative of the population being studied. Three, the survey instrument, a description of the sample, and the summary data will be made available to the public, thus replication of results

009548

within the statistical error margin will be possible. Four, the data collection, analysis and resulting reports will be completed within an eleven month time-frame, which allows for a timely distribution of results to Congress, election officials, data users, researchers and other interested parties. Five, the survey instrument is being developed by a group of highly qualified researchers, and is being beta tested after each change with a different group of volunteers. The instrument will then be sent to interested groups that have experience with the subject topic, for comments. As appropriate, these comments will be incorporated prior to a final pre-test of the survey. Six, coding documentation will be developed, and coders will be trained to ensure uniform interpretation of data during the coding process of the results. Seven, statistical analysis will include modern methods that are commonly used in social science research, including cross-tabs, frequencies and regression analysis. Eight, ongoing quality control programs are in place to assure the highest possible validity and reliability of the data.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The potential respondents to the survey will be contacted in the same way in which they have asked for their ballot to be transmitted to them. This means that those that ask for their ballot to be faxed will receive a faxed copy of the survey, and those that use email will receive an email invitation to complete the survey over the Internet. Respondents have the option to ask for the survey in a different medium, i.e. a fax respondent has the option to use the Internet to complete the survey, and vice versa.

4. Describe efforts to identify duplication.

There are currently no other efforts to gather the information requested in this information collection. This information collection is unique and required by Section 245 of HAVA (42 U.S.C. 15301).

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

The collection of information does not involve small businesses or other small entities.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The EAC is asking for a one-time collection of survey responses. If the EAC does not collect this information it may be unable to comply with its statutory requirements under Section 245 of HAVA (42 U.S.C. 15301).

7. Explain any special circumstances that require the collection to be conducted in a

009544

manner inconsistent with OMB guidelines.

There are no special circumstances applicable to this information collection.

8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

The EAC is requesting an emergency approval to collect this information. We are requesting a waiver of the 60-day and 30-day *Federal Register* notices required in 5 CFR 1320.13(d) in order to ensure that we comply with the Help America Vote Act.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts will be provided to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

In accordance with generally used Human Subjects protocols, survey respondents will be informed that the information they provide will not be attributed to them personally, and will be compiled with the data from other respondents to construct summary data. Survey forms will not request identifying information from respondents, such as their name or address.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

No questions of a sensitive nature will be asked.

12. Provide an estimate in hours of the burden of the collection of information.

- a. Estimated number of respondents = 10,000
- b. Number of responses per each respondent = 1
- c. Total annual responses = 1
- d. Estimated hours per response = .25 hours
- e. Total annual reporting burden = 2,500 hours (# of respondents x frequency of

009545

response x hours of response)

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in #12 above).

Most respondents who utilize their personal computers to respond to the survey should not incur any costs additional to the monthly/yearly fees they already pay for connecting to the Internet. If respondents do not use their own personal computers but have free access to another one, there should be no cost associated with this collection. However, respondents may choose to respond to the survey from a location where they have to pay an hourly fee for use of a computer with access to the Internet; this cost will vary by location but it is estimated the time required for responding to the survey will only be a small part of the hourly fee (based on the estimated hours per response above). Respondents that chose to fax the responses may incur a cost of up to \$3.

14. Provide estimates of annualized cost to the Federal government.

The estimated annual cost to the Federal Government is \$99,176. This includes the fees associated with the development, testing, administration, analysis, and reporting of the survey and its results. This figure also includes the costs associated with printing and mailing of hard copies of the survey, and the costs for administering the survey online.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.

Not applicable. This is a new information collection.

16. For collections whose results will be published, outline the plans for tabulation and publication.

The collected survey responses will only be published in summary data format, as part of a report. The survey data will be contextualized with data from case studies. The report will be published by the EAC on its website, and results will also be presented to Congress in a report.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not applicable to this collection.

18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.

There are no exceptions to the certification statement identified in Item 19 of the OMB 83-I.

009546

Supporting Statement B

OMB Control Number: xxxx-xxxx

U.S. Election Assistance Commission

Survey of UOCAVA Voters

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g. establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.

The sample will target a sample of the 2006 UOCAVA registered voters in the four jurisdictions identified in our case studies. We expect the sample to be at least 10,000 registered voters, and we hope to get response rate of 20%. Previous on-line surveys of UOCAVA voters by the Overseas Vote Foundation had response rates of approximately 17%.

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

The sample will be chosen from UOCAVA registered voter lists in four states that vary by the size of the UOCAVA pool and the method by which overseas ballots can be obtained or cast. Montana is a small state (4,721 UOCAVA votes cast) that has a wide variety of electronic transmission methods with differences between local jurisdictions. South Carolina has the largest number of UOCAVA voters (168,814) and allows for emailing of blank and voted ballots and faxing of ballots and registration forms. Florida allows some emailing and faxing of blank ballots and is considering the possibility of internet voting. Most likely, the fourth state will be either Vermont (1,733 UOCAVA voters) or Illinois (30,556 UOCAVA voters) which have smaller numbers of UOCAVA voters but allow faxing for registration, ballot requests and blank ballots, and also have variations between local jurisdiction that can be studied. Working from the registration lists, we will invite the respondents to complete the survey in the form they received their ballot (i.e. mail, fax or email). Those that check email will get an email invitation with a link to the on-line survey. Others will get a paper version by fax or mail. Our intention is to contact all the registered UOCAVA voters in a given jurisdiction or where necessary to draw a random sample.

3. Describe the methods used to maximize response rates and to deal with nonresponse. The accuracy and reliability of the information collected must be shown to be adequate for

009547

the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.

Follow-up emails will be sent after 5 days to those who have not responded by email, and follow-up faxes and postcards to those who did not respond from those categories.

Aside from these multiple contacts, we will monitor for any potential biases in the responses we get by comparing attributes in the registration file with those who respond to the survey. If necessary, we will consider weighting the responses to test whether there is a measurable difference in the results as a result of any skew in the respondents' characteristics.

4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

The questionnaire will be pre-tested with a small sample of Overseas Vote Foundation volunteers and associates to refine the wording of questions and ensure that the questions are well understood, as well as members of the research team.

5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

Contractor contact: Prof. Bruce E. Cain, 1.415.336.0570

009548



Laiza N. Otero/EAC/GOV
11/09/2006 12:01 PM

To: Alexander_T._Hunt@omb.eop.gov
cc
bcc: Karen Lynn-Dyson/EAC/GOV@EAC; Juliet E.
Thompson-Hodgkins/EAC/GOV@EAC
Subject: Emergency Clearance

Dear Mr. Hunt,

This e-mail is to notify you that the EAC has submitted its 2006 Survey of UOCAVA Voters for emergency clearance as we had communicated previously we would do. If you have any questions or need more information, please, do not hesitate to contact me at this e-mail address or at the number listed below. Thank you very much for your time and assistance in this matter.

Sincerely,

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009549



kmd@q2dataresearch.com

To lotero@eac.gov

11/16/2006 04:42 PM

cc

bcc

Subject RE: Fw: RE: Emergency Clearance

hi laiza

my apologies for the late reply: somehow your email got stuck in my spam folder! yikes. here is the email i sent to karen a couple of hours ago. i hope this makes sense. please don't hesitate to call me if you need answers quickly and don't hear from me. my cell phone number is 510.367.7527. i'm in my uc berkeley office right now at 510.642.9086.

thanks!

karin

hi karen

here are our thoughts on the questions below:

1. The data that are collected through the survey are quantitative, not qualitative! The case studies will result in qualitative data. We are hoping that the response rate will be above 20%, but can currently only base our estimate on the only survey of UOCAVA voters that has been conducted to date. That survey, by the Overseas Vote Foundation, only contacted voters via email - we will contact voters via mail, fax and email. We hope that this will result in a higher response rate! While a 20% response rate would have a higher error rate than a larger response rate, we will be able to correct for this statistically. Recent survey research response rates have not been much higher than 20%.

2. The sample is a stratified one, due to the nature of the research. The EAC is interested in the experiences of UOCAVA voters and the sample is selected to reach such voters from an environment that stratifies them by the communication choices they are allowed to make by the local and state jurisdictions that governs them. Thus, we are currently in the process of collecting voter files from jurisdictions that fall into the various communication categories to draw a sample that is large enough to result in a generalizable sample. The qualitative data from the case studies will document the current processes of the local jurisdictions in the various strata, in the areas of collection, storing and processing of electronically generated messages etc.

3. The EAC's report to Congress will most certainly outline any limitations to the generalizability of these data. The qualitative data will provide context to understand the quantitative results.

please let me know if you have further questions. i can be reached today at 510.642.9086.

thanks

karin

> ----- Original Message -----
> Subject: Fw: RE: Emergency Clearance
> From: lotero@eac.gov
> Date: Wed, November 15, 2006 2:57 pm
> To: klynndyson@eac.gov, kmd@q2dataresearch.com

009550

>
>
>
> Hello,
>
> I got a question from our desk officer at OMB and I think I provided an
> OK response - however, please, let me know your thoughts or other
> information I should send to him. Thank you!
>
> Laiza
>
>
>
> -----Forwarded by Laiza N. Otero/EAC/GOV on 11/15/2006 04:53PM -----
>
> To: "Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov>@GSAEXTERNAL
> From: Laiza N. Otero/EAC/GOV
> Date: 11/15/2006 04:43PM
> Subject: RE: Emergency Clearance
>
>
> Thank you very much for your feedback. I don't know if the following
> fully answers your question; please, let me know:
>
> This survey of UOCAVA voters is being done in conjunction with case
> studies of at least 5 states detailing their experiences with developing
> and implementing a system for transmitting and/or receiving ballots from
> UOCAVA voters. In addition, we are also in process of planning a
> conference with state and local election officials on internet voting and
> the electronic transmission of and receipt of ballots. The conference
> agenda includes: 1) the technical (software and hardware) issues related
> to implementing the transmission and receipt of ballots and for internet
> voting; 2) issues related to the overall security of the voting system
> and the individual ballots; 3) processes used to verify the voters's
> identities; 4) the policy impediments to implementation of internet
> voting mechanisms; and 5) best practices in e-mail ballot and website
> designs. The findings of all of these three activities - survey, case
> studies, and conference - will be used to prepare the report to Congress.
>
>
> The data we collect on UOCAVA voters via the 2006 Election Administration
> and Voting Survey (currently under review), which is quantitative in
> nature, will also complement the results of the UOCAVA study. We will
> certainly make it clear on our reports that that these survey results are
> qualitative and therefore not necessarily representative of all overseas
> voters.
>
>
> On a side note: have you received any comments on the 2006 Election
> Administration and Voting Survey? Thank you!
>
>
>
> Laiza N. Otero
> Research Associate
> U.S. Election Assistance Commission
> 1225 New York Avenue, Suite 1100
> Washington, DC 20005
> Tel. (202) 566-3100 (main office)
> Tel. (202) 566-2209 (direct)
> Fax (202) 566-3128

009551

>
>
> -----"Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov> wrote: -----
>
> To: lotero@eac.gov
> From: "Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov>
> Date: 11/14/2006 06:31PM
> Subject: RE: Emergency Clearance
>
>
> I have reviewed the submission and have one general concern regarding
> EAC's use of the survey results.
>
> In Q.2 of the supporting statement, EAC acknowledges that the data
> collected will be qualitative, which is reasonable given the expected 20
> percent response rate. However, is EAC confident that qualitative data
> (versus quantitative data that is generalizable to the population) is
> sufficient, given the statutory mandate to produce a study of the
> challenges of internet voting (e.g., potential for fraud) and determine
> requirements for authorization, collection, storing, and processing of
> electronically generated messages permitting eligible voters to apply for
> an absentee ballot ? Will EAC's report to Congress make it clear that
> these survey results are qualitative and therefore not necessarily
> representative of all overseas voters?
>
> If you would prefer to discuss this, please let me know.
>
> Thanks.
>
> From: lotero@eac.gov [mailto:lotero@eac.gov]
> Sent: Thursday, November 09, 2006 12:02 PM
> To: Hunt, Alexander T.
> Subject: Emergency Clearance
>
>
>
> Dear Mr. Hunt,
>
> This e-mail is to notify you that the EAC has submitted its 2006 Survey
> of UOCAVA Voters for emergency clearance as we had communicated
> previously we would do. If you have any questions or need more
> information, please, do not hesitate to contact me at this e-mail address
> or at the number listed below. Thank you very much for your time and
> assistance in this matter.
>
> Sincerely,
>
> Laiza N. Otero
> Research Associate
> U.S. Election Assistance Commission
> 1225 New York Avenue, Suite 1100
> Washington, DC 20005
> Tel. (202) 566-3100 (main office)
> Tel. (202) 566-2209 (direct)
> Fax (202) 566-3128
>
>
>

009552



kmd@q2dataresearch .com

11/28/2006 03:58 PM

To lotero@eac.gov

cc klynndyson@eac.gov

bcc

Subject cleaned up survey instrument

History:

 This message has been replied to.

hi laiza

attached, please find the new, improved, spelled, cleaned-up and FINAL version of the survey instrument, along with a document that outlines the changes. we tried to make it easy to work with but as i said: its a difficult medium to improve upon unfortunately.

please let me know if i can help you get through it!

thank you for dealing with omb on this. we appreciate it!

regards,

karin mac donald

510.642.9086 today



or cell 510.367.7527 Revised 3.0 EAC UOCAVA Survey 28_Nov_2006_1.pdf



EAC UOCAVA Survey Revisions Report.112806.doc

009553



28 November 2006

Proposed Revisions to EAC UOCAVA Survey

In light of the results and feedback we have received from the OVF 2006 Post Election Survey, we propose the following refinements to be made to the *EAC Post Election Survey for UOCAVA Voters*.

Please refer to the new version: Revised 3.0 EAC UOCAVA Survey 28_Nov_2006 when reviewing this document. The comparison of question numbers is against the first submitted version titled: Review Draft 2006 EAC UOCAVA Voter Survey Nov6_06

Notes to survey reviewers:

1. Page headers in the review version of the survey will be removed prior to survey launch.
2. Please note that depending on answers some questions will be skipped; and these skips are noted in the new version (in yellow boxes) for the reviewers benefit.
3. Question numbers have necessarily changed, as the order of questions has been improved and a few deletions/additions made, however – the basic questions, the meaning and purpose of the survey remain consistent with the earlier version.

The three main, inter-related issues that drove revisions:

1. Time needed to take the survey
2. Number of questions
3. Redundancy of questions

In other words, this is a shorter survey with less redundancy and takes less time to complete.

Summary of Changes:

- Questions Added (refer to '28_Nov_2006' version): Q7, Q9, Q10, Q14, Q18, Q49
- Questions Moved (from 'Nov6_06' version) : Q14, Q15-Q18, Q53
- Questions Deleted (from 'Nov6_06' version) : Q10, Q15, Q16, Q17, Q19, Q26, Q40, Q59, Q61
- Reorganized the early flow of voter profile questions to eliminate redundancy (from 'Nov6_06' version) : Q 5-8, Q15-18
- Revised wording to eliminate technical term, "FPCA," and its associated definition statement; this makes the survey easier to understand and complete.
- Other minor modifications included: spelling; general clean-up; making answers and questions more concise and more personal; adding answer options to be more complete; and improving general readability.

009554

Review Draft 2006 EAC UOCAVA Voter Survey

Dear Voter,

We are conducting a survey of overseas and uniformed services absentee voters and are inviting you to tell us about your experiences with the election process. The United States Congress has asked for this study because of the reports that uniformed services and overseas voters often have problems when trying to vote. We are working with the United States Election Assistance Commission to make sure that your experiences and suggestions are being collected and forwarded to Congress.

Your responses are confidential so please take 8-10 minutes to fill out this survey, and tell us about your voting experience in November of 2006. This is a great opportunity to help improve the system and your participation matters: we can not do a good job without your help!

Thank you so much!

[Start Survey!](#)

009555

Review Draft 2006 EAC UOCAVA Voter Survey

Note to survey reviewers: This and other page headers in this review version of the survey will be removed prior to survey launch.

ALL SURVEY TAKERS ANSWER THIS PAGE OF QUESTIONS

Q5 will divide respondents:

"Yes" respondents skip to Main Line of questions

"No" respondents continue on Did Not Vote Line of questions

Welcome! This survey will take approximately 7 minutes to complete. You may use your browser "back" button if you need to return to a previous page. The questions will first cover your registration and ballot request experience and then your voting experience before asking a few general questions. Thank you for your participation!

If you encounter a technical problem in the survey, please 'Reply' to your survey invitation email to report it. The Zoomerang survey tool uses browser cookies (a small text file stored on your computer) to track your progress during a survey. If cookies are blocked by your web browser or another program on your PC, the survey will repeat the questions from the first page while numbering the questions as if they were new. Let us know if you encounter that problem and we will send you some simple instructions for what to do. Thank you!

- 1 Were you an overseas citizen or a member of the Uniformed Services, a spouse or dependent of a uniformed service member on November 7, 2006?

- U.S. citizen living outside the U.S. temporarily
- U.S. citizen living outside the U.S. indefinitely/permanently
- Uniformed service member
- Spouse or dependent of a uniformed service member

- 2 On November 7th, in which country were you living or serving?

- 3 In which U.S. state or territory did you vote, or would you have voted, had you been in the U.S. on November 7, 2006?

- 4 Describe your voting history: (check all that apply)

009556

- This was or would have been my first time voting in my life as an overseas citizen
- This was or would have been my first time voting as an absentee military service member, spouse or dependent
- Voted before as an overseas citizen
- Voted before as an absentee military services member, spouse or dependent
- Voted before locally in the US
- Voted before as a domestic absentee in the US
- I don't remember

5 Did you vote on November 7, 2006?

- Yes, I voted
- No, I did not vote or try to vote
- No, I tried but was unable to complete the process

Continue

Survey Page 1

Review Draft 2006 EAC UOCAVA Voter Survey

DID NOT VOTE LINE

6 Why didn't you vote? (check all that apply)

- My ballot did not arrive
- My ballot was late
- My ballot arrived while I was traveling
- My ballot arrived while I was on duty somewhere else
- I moved and my ballot was sent to my old address
- I forgot to send my ballot
- My voter registration/ballot request was denied
- I missed the registration deadline
- I thought I was registered, but wasn't
- My address changed
- I could not meet my state's notarization requirements
- I could not meet my state's witness requirements

009557

- I did not know what I needed to do to register and vote
- I didn't think my vote would matter
- I found the process too complicated
- I lacked candidate information
- I had no interest in voting
- Other, please specify

7 Did any of the following problems arise when identifying your last residence in the US? (check all that apply)

- My former address in the US no longer exists
- I couldn't remember the street or county where I lived
- I couldn't prove where I used to live in the US
- I never lived in the US so I didn't know where to register
- I did not know which parent's US address to use
- I was born in the US but did not live there long-term
- No, I had no problems
- Other

8 If you had been in the U.S. on November 7, 2006, Election Day, how likely is it that you would have voted?

Very likely	Somewhat likely	Neither likely or unlikely	Somewhat unlikely	Very unlikely
1	2	3	4	5

9 Would you have voted if you had been given electronic options to transmit your voting materials (telephone, FAX, email, Internet)?

YES **NO**

10 Did you complete a form to register to vote and/or request an absentee ballot for the November 7, 2006 election?

- Yes
- No
- I don't remember

Continue

009558

Review Draft 2006 EAC UOCAVA Voter Survey

DID NOT VOTE LINE

11 How did you get your voter registration/ballot request form?

- I downloaded the blank form from a website
- I filled-out the form online and printed it
- I received it from my state/local election office
- I picked it up at the US Embassy/Consulate
- I used the online IVAS system
- I registered and/or received a form in person
- I received it in the mail
- It was faxed to me
- I received it as an email attachment
- Other, please specify

12 When, in 2006, did you send in your voter registration/ballot request form?

- Between January and July 2006
- August
- September
- First half of October
- Second half of October
- November
- I never sent the form
- I don't remember

13 How did you SEND IN your voter registration/ballot request form?

- FAX
- FAX + original form by mail
- Email
- Email + original form by mail
- I used the IVAS service for the DOD
- Regular Mail
- Courier/Certified or Express Mail

009559

- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person at my election office
- I never sent the form
- Other, please specify

Continue

Survey Page 3

Review Draft 2006 EAC UOCAVA Voter Survey

MAIN LINE OF QUESTIONS - VOTERS

14 Did you complete a form to register to vote and/or request an absentee ballot for the November 7, 2006 election?

- Yes
- No
- I don't remember

Continue

Survey Page 4

Review Draft 2006 EAC UOCAVA Voter Survey

MAIN LINE OF QUESTIONS

15 How did you get your voter registration/ballot request form?

- I downloaded the blank form from a website
- I filled-out the form online and printed it
- I received it from my state/local election office
- I picked it up at the US Embassy/Consulate
- I used the online IVAS system
- I registered and/or received a form in person
- I received it in the mail
- It was faxed to me
- I received it as an email attachment

009560

Other, please specify

16 When, in 2006, did you send in your voter registration/ballot request form?

- Between January and July 2006
- August
- September
- First half of October
- Second half of October
- November
- I never sent the form
- I don't remember

17 How did you send in your voter registration/ballot request form?

- FAX
- FAX + original form by mail
- Email
- Email + original form by mail
- I used the IVAS service for the DOD
- Regular Mail
- Courier / Certified or Express Mail
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- In person at my election office
- I never sent the form
- Other, please specify

18 Did you receive a confirmation that your voter registration and/or ballot request was accepted?

- Yes, by postcard/letter
- Yes, by FAX
- Yes, by email
- Yes, by telephone
- Yes, through an online tracking tool
- No, it was denied
- No, I never received a confirmation
- Other

009561

Continue

Survey Page 5

Review Draft 2006 EAC UOCAVA Voter Survey

MAIN LINE

19 When did you receive your blank ballot for the November 7, 2006 election?

- August
- September
- First half of October
- Second half of October
- The week before the election
- Election Day
- After Election Day
- I don't remember

20 How was your blank ballot delivered to you?

- FAX
 - Email
 - Downloaded through DOD IVAS service
 - Regular Mail
 - Courier / Certified or Express Mail
 - Military Postal Service (APO/FPO)
 - Other, please specify
-

21 Had you ever received a blank ballot in this way before?

YES NO

22 How would you describe the way you received your blank ballot?
(check all that apply)

- Fast
- Easy

009562

- Practical
- Slow
- Difficult
- Impractical
- No opinion
- Other, please describe:



23 Did you feel it was a secure way to receive your blank ballot?

- | | | | | |
|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Very secure | Secure | Neutral | Insecure | Very insecure |
| <input type="radio"/> 1 | <input type="radio"/> 2 | <input type="radio"/> 3 | <input type="radio"/> 4 | <input type="radio"/> 5 |



24 Was the ballot easy to complete?

- | | | | | | |
|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Easy | Somewhat easy | Neither | Somewhat difficult | Difficult | Don't know |
| <input type="radio"/> 1 | <input type="radio"/> 2 | <input type="radio"/> 3 | <input type="radio"/> 4 | <input type="radio"/> 5 | <input type="radio"/> 6 |

Continue

Survey Page 6

Review Draft 2006 EAC UOCAVA Voter Survey



MAIN LINE



25 What method did you use to SEND IN your VOTED ballot?

- Email
- Email + original ballot in mail
- FAX machine
- FAX machine + original ballot in mail
- Internet FAX transmission
- Internet FAX transmission + original ballot in mail
- Regular Mail
- Courier / Certified or Express Mail
- Military Postal Service (APO/FPO)
- Sent through Consulate/Embassy mail pouch
- I dropped it off or voted at my local election office in the US

009563

Other, please specify

26 How would you rate the ease-of-use of this way of sending in your voted ballot?

- | | | | | |
|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| Easy | Somewhat easy | Neutral | Somewhat difficult | Difficult |
| <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |

27 When did you send in your voted ballot for the November 7, 2006 election?

- September
- First half of October
- Second half of October
- First week of November
- Election Day
- After Election Day
- I can't remember

28 How satisfied were you with the process of obtaining and casting a ballot in 2006?

- | | | | | |
|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| Satisfied | Somewhat satisfied | Neutral | Somewhat dissatisfied | Dissatisfied |
| <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |

Continue

Survey Page 7

Review Draft 2006 EAC UOCAVA Voter Survey

ETS USER SPECIFIC QUESTIONS

PLEASE NOTE:

The term "electronic transmission method" includes Email, FAX, Internet and online systems.

009564

29 How would you describe the electronic transmission method you used to RETURN your voted ballot? (check all that apply)

- Practical
- User-friendly
- Logical
- Well-defined
- Fast
- Easy
- Slow
- Difficult
- Hard to understand
- Other, please describe:



30 Did you ever use this electronic transmission method to send a voted ballot in any other election?

YES NO



31 Please rate the ease-of-use of the electronic transmission method you used to send your voted ballot?

Easy	Somewhat Easy	Neutral	Somewhat difficult	Difficult
<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5



32 If you found this method easy to use, please tell us what contributed to that ease-of-use. (check all that apply)

- Clear instructions
- Easy to understand
- Fast
- Handy
- Good format - easy to see
- Could use it from my location
- No travel required
- Not applicable; I found it difficult to use
- Other, please specify

009565

33 Why did you decide to send your ballot in this way? (check all that apply)

- It was easy
- It saved me time
- I didn't need to travel
- I thought it was required
- It was offered
- It was less expensive
- To get my ballot back faster
- It was suggested that I use this method
- I received an email telling me about it
- I thought it was safer than regular mail
- My blank ballot arrived late
- Other, please specify
-

34 Did you have any problems with the electronic transmission method of sending your voted ballot? (check all that apply)

- There were too many steps
- I didn't understand the instructions
- I wasn't sure if I needed a witness
- I didn't understand what to do
- No, I did not have any problems
- Other, please specify
-

35 How satisfied were you with the electronic transmission method you used to send in your voted ballot?

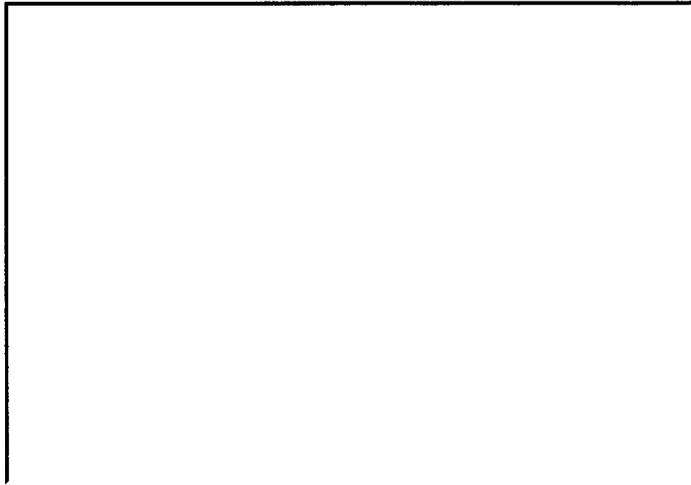
Satisfied	Somewhat satisfied	Neutral	Somewhat dissatisfied	Dissatisfied
1	2	3	4	5

36 Were you asked to waive (give up) the right to a private vote?

YES NO

Additional Comment

009566



37 If you did waive your right to a private vote, how would you describe your feelings about that?

- | | | | | | | |
|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Concerned | Somewhat concerned | Neutral | Somewhat unconcerned | Unconcerned | Don't know | Not Applicable |
| <input type="checkbox"/> |

[Continue](#)

Survey Page 8

Review Draft 2006 EAC UOCAVA Voter Survey

MAIN LINE - ETS AND NON ETS

38 Would you send in your voted ballot again in the future using the same method as you did in the election of November 7, 2006?

- YES NO

39 How did you find out about the voting method you used? (check all that apply)

- Internet Search
- Got an Email
- Local Election Official
- Consulate/Embassy
- Voting Assistance Officer

009567

- Federal Voter Assistance Program
 - IVAS Website from DOD
 - Newspaper
 - Newsletter
 - State Election Office web site
 - Local Election Office web site
 - Political party
 - Voter organization
 - Can't remember
 - Other, please specify
-

40 How did you feel about the security of your actual VOTE?

- | | | | | | |
|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| Concerned | Somewhat concerned | Neutral | Somewhat unconcerned | Unconcerned | Don't know |
| <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 | <input type="checkbox"/> 6 |

41 What security concerns did you have in regard to your vote? (check all that apply)

- I was not sure my voted ballot actually arrived
 - I was concerned that my voted ballot could get lost
 - I was concerned that someone saw how I voted
 - I was concerned someone could change my vote
 - I had no concerns
 - Other, please specify
-

42 Did you confirm that your ballot arrived?

- Yes, I checked through state or county online tracking tool
 - Yes, I contacted my election office
 - No, I did not confirm my ballot arrival
 - Other, please specify
-

43 How much time would you estimate the entire process of voting took you from the time you started till the time you sent your voted ballot for November 7, 2006? Include registration/ballot request,

009568

paperwork processing, phone calls or visits to official offices, as applicable to you.

- Less than 2 weeks
- 2 - 4 weeks
- 5 - 6 weeks
- 7 - 8 weeks
- More than 8 weeks
- I don't know



44 What was the TOTAL cost to you to return your registration AND ballot materials, including postage and any other costs? (in \$USD)

- None
- Under \$5
- \$ 5 - \$10
- \$10 - \$25
- \$25 - \$50
- \$50 - \$100+
- Other, please specify

Continue

Survey Page 9

Review Draft 2006 EAC UOCAVA Voter Survey



ALL SURVEY TAKERS RECEIVE THESE FINAL QUESTIONS



45 If you were to send a FAX, what type of FAX service would you use: (check all that apply)

- FAX machine always available
- Pay-per-use FAX services
- Internet FAX program
- No FAX services available
- I don't use FAX services
- Other, please specify



009569

46 How often do you access the Internet?

- Daily
- 2-3 times a week
- Once a week
- Sometimes
- Almost Never
- Never

47 Where do you access the Internet? (check all that apply)

- Home
 - Work
 - Internet Cafe
 - Library
 - Other, please specify
-

48 What kind of Internet access location do you use: (check all that apply)

- Public
 - Private
 - Business
 - Other, please specify
-

49 Would you use an online service that allows you to download a blank ballot?

- Yes
- No
- Maybe

50 In a future election, would you be comfortable sending in a voted ballot electronically by email, FAX or voting online?

- Yes
- No
- Not sure
- Other, please specify

009570

51 What concerns would you have about sending in a voted ballot electronically by email, FAX or voting online? (check all that apply)

- I do not have any concerns about voting online
- I do not have any concerns about voting by FAX
- I do not have any concerns about voting by email
- I have privacy concerns
- I have security concerns
- I don't trust the Internet
- I don't want to share personal information on the Internet
- I'm concerned that my election official will see how I voted
- I'm afraid that people could see how I voted
- Other, please specify

[Continue](#)

Survey Page 10

Review Draft 2006 EAC UOCAVA Voter Survey

ALL SURVEY TAKERS

52 How old were you on November 7, 2006?

- 18 to 24 years
- 25 to 34 years
- 35 to 44 years
- 45 to 54 years
- 55 to 64 years
- 65 to 74 years
- 75 years and older

53 Please indicate your gender:

- Male
- Female

009571

54 When did you last live in the US?

- Less than 1 year ago
- At least 1 year but less than 2 years ago
- At least 2 years but less than 5 years ago
- At least 5 year but less than 10 years ago
- 10 or more years ago
- Does not apply - I am active duty / active duty family member in the US

55 What is the highest level of formal education you have completed?

- Some high school
 - High school graduate or GED
 - Trade school
 - College or associate's degree
 - Bachelor's degree
 - Advanced degree
 - Other, please specify
-

56 Did you have to go to the US Embassy or Consulate at any time in the voting process?

- YES NO

57 How often do you go to the US Embassy or Consulate related to the voting process during an average election year?

- Never
 - Once
 - Twice
 - Three times or more
 - Other, please specify
-

58 How long does it take to travel to the closest US Consulate/Embassy from where you live right now?

- Less than 1 hour
- 2-3 hours
- 4 or more hours

009572



59 Please provide other comments or suggestions that you may have here:

[Empty text box for comments]

Continue

Survey Page 11

009573

Thank you for participating in this EAC voter survey. Your feedback will contribute to the further development and improvement of voter services to overseas citizens and military absentee voters.

009574



"Hunt, Alexander T."
<Alexander_T._Hunt@omb.eop.gov>

11/29/2006 07:41 PM

To lotero@eac.gov

cc

bcc

Subject RE: Emergency Clearance

History:

This message has been replied to.

Sorry for the delay in responding on this and other pending requests. I will get to them tomorrow. Thanks.

From: lotero@eac.gov [mailto:lotero@eac.gov]

Sent: Friday, November 17, 2006 7:32 AM

To: Hunt, Alexander T.

Subject: RE: Emergency Clearance

Dear Mr. Hunt,

As a follow-up to the information I provided two days ago, our Contractor provided the following insight - please, let me know if you have any other questions regarding this project - Thank you!

1. The data that are collected through the survey are quantitative, not qualitative. The case studies will result in qualitative data. We are hoping that the response rate will be above 20%, but can currently only base our estimate on the only survey of UOCAVA voters that has been conducted to date. That survey, by the Overseas Vote Foundation, only contacted voters via email - we will contact voters via mail, fax and email. We hope that this will result in a higher response rate! While a 20% response rate would have a higher error rate than a larger response rate, we will be able to correct for this statistically. Recent survey research response rates have not been much higher than 20%.
2. The sample is a stratified one, due to the nature of the research. The EAC is interested in the experiences of UOCAVA voters and the sample is selected to reach such voters from an environment that stratifies them by the communication choices they are allowed to make by the local and state jurisdictions that governs them. Thus, we are currently in the process of collecting voter files from jurisdictions that fall into the various communication categories to draw a sample that is large enough to result in a generalizable sample. The qualitative data from the case studies will document the current processes of the local jurisdictions in the various strata, in the areas of collection, storing and processing of electronically generated messages etc.
3. The EAC's report to Congress will most certainly outline any limitations to the generalizability of these data. The qualitative data will provide context to understand the quantitative results.

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
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Fax (202) 566-3128

-----"Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov> wrote: -----

To: lotero@eac.gov
From: "Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov>
Date: 11/14/2006 07:31PM

009575

Subject: RE: Emergency Clearance

I have reviewed the submission and have one general concern regarding EAC's use of the survey results.

In Q.2 of the supporting statement, EAC acknowledges that the data collected will be qualitative, which is reasonable given the expected 20 percent response rate. However, is EAC confident that qualitative data (versus quantitative data that is generalizable to the population) is sufficient, given the statutory mandate to produce a study of the challenges of internet voting (e.g., potential for fraud) and determine requirements for authorization, collection, storing, and processing of electronically generated messages permitting eligible voters to apply for an absentee ballot ? Will EAC's report to Congress make it clear that these survey results are qualitative and therefore not necessarily representative of all overseas voters?

If you would prefer to discuss this, please let me know.

Thanks.

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Sent: Thursday, November 09, 2006 12:02 PM
To: Hunt, Alexander T.
Subject: Emergency Clearance

Dear Mr. Hunt,

This e-mail is to notify you that the EAC has submitted its 2006 Survey of UOCAVA Voters for emergency clearance as we had communicated previously we would do. If you have any questions or need more information, please, do not hesitate to contact me at this e-mail address or at the number listed below. Thank you very much for your time and assistance in this matter.

Sincerely,

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009576



Laiza N. Otero/EAC/GOV

12/04/2006 11:40 AM

To [REDACTED]@GSAEXTERNAL

cc klynndyson@eac.gov

bcc

Subject RE: ICR approved!!!!!!!!!!!!

The OMB Control number for the focus groups collection is: 3265-0005. The expiration date is 5/31/2007. Both these pieces of information need to appear everywhere on the collection instrument - my suggestion is to make them part of the heading or footer of the pages. In addition, there is boiler plate information I am working on today with our general counsel's office that needs to be included as well - the latter is info on the time and cost burden on respondents, the response requirements (voluntary/mandatory), and compliance with the PRA process - I should have that information by the end of today.

Also, for your records the ICR Reference Number is: 200611-3265-001. This is an internal OMB number given to the request we made. This may or may not have to be included - waiting to hear on that.

If you have any questions or need more information, please, do not hesitate to contact me at your earliest convenience. Have a great day!

Sincerely,

Laiza

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009577

 Laiza N. Otero/EAC/GOV

12/06/2006 02:11 PM

To bg@q2dataresearch.com@GSAEXTERNAL

cc kmd@q2dataresearch.com

bcc

Subject RE: EAC logo on survey 

Is this ok with you? If it is, I'll put at the end of the pdf file - you can insert it then as a footnote on the actual survey.

Section 245 of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301) requires the U.S. Election Assistance Commission (EAC) to conduct a study of issues and challenges, including the potential for election fraud, that are presented by the incorporation of communications and Internet technologies in the Federal, State, and local electoral process. The EAC is required to submit a report on the results of the study to Congress. In addition, this information will be made publicly available on the EAC website at www.eac.gov. Respondents to this survey are registered uniformed and overseas voters. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is OMB Control No. 3265-0005 (expires 5/31/2007). The time required to complete this information collection is estimated to average .25 hours per response. This estimate includes the time for reviewing the instructions, gathering information, and completing the form. Comments regarding this burden estimate should be sent to the Program Manager - 2006 Election Administration and Voting Survey, U.S. Election Assistance Commission, 1225 New York Ave, NW, Suite 1100, Washington, DC 20005.

009578



Laiza N. Otero/EAC/GOV
12/06/2006 02:24 PM

To Alexander_T._Hunt@omb.eop.gov
cc
bcc
Subject Revised UOCAVA Survey Instrument

Alex,

Per our conversation yesterday, here are the updated documents regarding the EAC's Survey of UOCAVA voters (OMB Control No. 3265-0005). I tried to upload the documents into ROCIS but was not successful - I accidentally created an ICR Revision for this information collection. How should I proceed in this case? Thank you for your time and patience in this matter.

Sincerely,

Laiza

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
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Fax (202) 566-3128



Revised UOCAVA Survey.12.6.2006.pdf Summary of UOCAVA Survey Revisions.12.6.2006.doc

009579



"Hunt, Alexander T."
 <Alexander_T._Hunt@omb.eop.gov>
 12/06/2006 04:59 PM

To: lotero@eac.gov
 cc
 bcc
 Subject: RE: Revised UOCAVA Survey Instrument

History: This message has been replied to.

I'm not sure, but I don't have access to that screen. I would just delete and resubmit as a non-substantive change, but you may need to call the help desk for instructions.

From: lotero@eac.gov [mailto:lotero@eac.gov]
Sent: Wednesday, December 06, 2006 4:58 PM
To: Hunt, Alexander T.
Subject: RE: Revised UOCAVA Survey Instrument

It appears on the "created request list" - I did not enter new data or attach documents nor submit it, which you can do if I hit the tab under "Request Type" and "ICR Ref. No." However, there is a "Delete" option once you open the edit screen - will it delete just the new "ICR Rev." or both of them? Thank you.

Created Request List

[Filter List](#) [View All](#)

Criteria: **Status**=(Created);

<u>OMB</u> <u>Contr</u> <u>ol No</u>	<u>ICR</u> <u>Ref.No</u>	<u>Cre</u> <u>ated</u> <u>By</u>	<u>C</u> <u>re</u> <u>at</u> <u>e</u> <u>d</u> <u>D</u> <u>ate</u> <u>e</u>	<u>Agen</u> <u>cy/Su</u> <u>b</u>	<u>Agency</u> <u>ICR</u> <u>Trackin</u> <u>g</u> <u>Number</u>	<u>Title</u>	<u>Cur</u> <u>rent</u> <u>Exp</u> <u>irati</u> <u>on</u> <u>Dat</u> <u>e</u>	<u>Last</u> <u>Revie</u> <u>wed</u> <u>By</u>	<u>Req</u> <u>uest</u> <u>Type</u>	<u>Stat</u> <u>Met</u> <u>hods</u>
3265- 0005	200612- 3265-00 1	Oter o, Laiz a	1 2/ 0 6/ 2 0	EAC		U.S. Election Assistance Commission Survey of UOCAVA Voters	05/3 1/20 07		ICR Rev	Yes

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			6							
	200609- 3265-00 <u>1</u>	Otero, Laiza	0 9/ 2 2/ 2 0 0 6	EAC		U.S Election Assistance Commission Voluntary Voting System Testing and Certification Program Manual			<u>ICR</u> <u>New</u>	No

List shows all requests for ICR review (No Time Limit).

Login: lotero

"Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov>

12/06/2006 04:44 PM

To lotero@eac.gov

cc

Subject RE: Revised UOCAVA Survey Instrument

I don't see it pending in ROCIS. Can you pull it back?

From: lotero@eac.gov [mailto:lotero@eac.gov]
Sent: Wednesday, December 06, 2006 2:25 PM
To: Hunt, Alexander T.
Subject: Revised UOCAVA Survey Instrument

Alex,

Per our conversation yesterday, here are the updated documents regarding the EAC's Survey of UOCAVA voters (OMB Control No. 3265-0005). I tried to upload the documents into ROCIS but was not successful

009581

- I accidentally created an ICR Revision for this information collection. How should I proceed in this case?
Thank you for your time and patience in this matter.

Sincerely,

Laiza

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
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Washington, DC 20005
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Fax (202) 566-3128

009582