

List of ICs

IC Title	Form No.	Form Name	CFR Citation
2006 Election Administration and Voting Survey	EAC-RS01	2006 Election Administration and Voting Survey	



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View ICR - OIRA Conclusion

OMB Control No: 3265-0006

ICR Reference No: 200610-3265-001

Status: Active

Previous ICR Reference No:

Agency/Subagency:

Agency Tracking No:

Title: 2006 Election Administration and Voting Survey

Type of Information Collection: New collection (Request for a new OMB Control Number)

Type of Review Request: Regular

OIRA Conclusion Action: Approved without change

Conclusion Date: 11/30/2006

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Date Received in OIRA: 10/31/2006

Terms of Clearance:

	Inventory as of this Action	Requested	Previously Approved
Expiration Date	11/30/2009	36 Months From Approved	
Responses	55	55	0
Time Burden (Hours)	6,329	6,329	0
Cost Burden (Dollars)	147,930	147,930	0

Abstract: The proposed information collection is necessary to meet requirements of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301). HAVA §241 requires the U.S. Election Assistance Commission (EAC) to study and report on election activities, practices, policies, and procedures, including methods of voter registration, methods of conducting provisional voting, poll worker recruitment and training, and such other matters as the Commission determines are appropriate. In addition, HAVA §802 transferred to the EAC the Federal Election Commission's responsibility of biennially administering a survey on the impact of the National Voter Registration Act (NVRA) (42 U.S.C. 1973gg-1 et seq.). The information the States are required to submit to the EAC for purposes of the NVRA report are found under Title 11 of the Code of Federal Regulations (11 CFR 8.7). HAVA §703(a) also amended §102 the Uniformed and Overseas Citizens Absentee Voters Act (UOCAVA) (42 U.S.C. 1973ff-1) by requiring that "not later than 90 days after the date of each regularly scheduled general election for Federal office, each State and unit of local government which administered the election shall (through the State, in the case of a unit of local government) submit a report to the Election Assistance Commission (established under the Help America Vote Act of 2002) on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas voters for the election and the combined number of such ballots which were returned by such voters and cast in the election, and shall make such a report available to the general public."

Authorizing Statute(s): US Code: 42 USC 1973gg-1 et seq. Name of Law: National Voter Registration Act

US Code: 42 USC 1973ff-1 Name of Law: Uniformed and Overseas Citizen Absentee Voters Act

US Code: 42 USC 15301 Name of Law: Help America Vote Act of 2002

Citations for New Statutory Requirements: None

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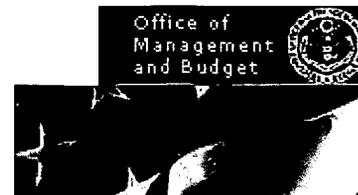
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8 (b)(3) about:
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected.
- (i) It uses effective and efficient statistical survey methodology (if applicable); and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item by leaving the box unchecked and explain the reason in the Supporting Statement.

Certification Date: 10/31/2006

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ICR Documents

Supporting Statement A

Document	Date Uploaded
2006 EAC Survey - Supporting Statement A.doc	10/25/2006

Supporting Statement B

Document	Date Uploaded
2006 EAC Survey - Supporting Statement B.doc	10/25/2006

Supplementary Documents

Title	Document	Document Type	Date Uploaded
Summary of and Response to Public Comments	2006 EAC Survey - Summary of and Response to Comments.doc	Supplementary Document	10/25/2006
Copy of Public Comments Received	2006 EAC Survey - Copy of Public Comments.pdf	Supplementary Document	10/25/2006
30-Day Federal Register Notice	30-day Federal Register Notice.pdf	Draft Federal Register Notice	10/31/2006
60-Day Federal Register Notice	60-Day Federal Register Notice.pdf	Draft Federal Register Notice	10/31/2006

Public Comments

Author Name	Comment Document	Author Affiliation	Sponsoring Org.	Type	Category	Date of Comment	Date Comment Received
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Blank fields in records indicate information that was not collected or not collected electronically prior to July 2006.

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Supporting Statement A:
OMB Control Number: xxxx-xxxx
U.S. Election Assistance Commission
2006 Election Administration and Voting Survey

A. Justification

1. Explain the circumstances that make the collection of information necessary.

The proposed information collection is necessary to meet requirements of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301). HAVA §241 requires the U.S. Election Assistance Commission (EAC) to study and report on election activities, practices, policies, and procedures, including methods of voter registration, methods of conducting provisional voting, poll worker recruitment and training, and such other matters as the Commission determines are appropriate. In addition, HAVA §802 transferred to the EAC the Federal Election Commission's responsibility of biennially administering a survey on the impact of the National Voter Registration Act (NVRA) (42 U.S.C. 1973gg-1 et seq.). The information the States are required to submit to the EAC for purposes of the NVRA report are found under Title 11 of the Code of Federal Regulations (11 CFR 8.7).

HAVA §703(a) also amended §102 the Uniformed and Overseas Citizens Absentee Voters Act (UOCAVA) (42 U.S.C. 1973ff-1) by requiring that "not later than 90 days after the date of each regularly scheduled general election for Federal office, each State and unit of local government which administered the election shall (through the State, in the case of a unit of local government) submit a report to the Election Assistance Commission (established under the Help America Vote Act of 2002) on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas voters for the election and the combined number of such ballots which were returned by such voters and cast in the election, and shall make such a report available to the general public."

2. Indicate how, by whom, and for what purpose the information is to be used.

The information collected in the 2006 EAC Administration and Voting Survey will be used by the EAC to report to Congress on the impact of the NVRA (42 U.S.C. 1973gg-1 et seq.) on the administration of elections for Federal office for the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. In addition, the EAC shall make available to the public the information collected on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas voters for the election and the combined number of such ballots which were returned by such voters and cast in the election as required by UOCAVA §102(c). Further, this collection will standardize the format for the reports submitted by States under UOCAVA §102(c) as required by HAVA §703(b).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

The EAC will provide for the secure collection of information via its website. Submission will be accepted using a secure, automated, form-fillable web application. Information will also be accepted via e-mail, fax, and regular mail to accommodate respondents that prefer alternate methods for submitting information. The EAC is committed to making the submission of information to the agency as secure,

efficient, and easy as possible through the use of technology and the ongoing support of survey respondents during the information collection process.

4. Describe efforts to identify duplication.

Currently, the U.S Census Bureau's Current Population Survey (CPS) contains a bi-annual supplement that collects information about the voting characteristics of their households. However, the EAC Survey is a census of election administration practices and voter turnout as reported by the chief election officials for the states, the District of Columbia, and the U.S. territories; it does not collect information from voters or regarding characteristics of the voters. The EAC has included the U.S. Department of Defense's Federal Voting Assistance Program in the development of this information collection to minimize duplication efforts regarding UOCAVA voters.

5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

This information collection does not have a significant impact on small businesses or other small entities. The chief election officials for the states, the District of Columbia, and the U.S. territories may have to request information from their local election jurisdictions, but most of this information is already routinely collected from the local election officials to certify election results and report voter turnout. The EAC has made efforts to limit the information requested and burden on all participants. The information sought is limited to that information necessary to meet the requirements listed in response to Question 1 above.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

If the EAC does not collect this information it may be unable to comply with its statutory requirements under HAVA (42 U.S.C. 15301), NVRA (42 U.S.C. 1973gg-1 et seq.), and UOCAVA (42 U.S.C. 1973ff-1). This collection of information must be carried out every two years after each Federal general election as stipulated by NVRA and UOCAVA. The EAC has reduced the burden of responding to the information collection by developing a single instrument to collect the information. Prior to this effort, the EAC administered three separate surveys to collect the information, and respondents were burdened by the different deadlines for submitting information and the lack of uniform definitions to describe the data requested. This new collection has clarified questions and election terms to alleviate some of the problems encountered in the previous surveys and increase the response rate.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

There are no special circumstances applicable to this information collection.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5CFR 320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken in response to the comments. Specifically address comments received on cost and hour burden. Describe efforts to consult with persons outside DOE.

The EAC published a notice in the Federal Register on August 1, 2006, at 71 FR 43477.

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9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

The EAC does not provide any payment or gift to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

There is no assurance of confidentiality.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

There are no questions of a sensitive nature.

12. Provide estimates of the hour burden of the collection of information. The statement should indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated.

- a. Number of respondents = 55
- b. Number of responses per each respondent = 1
- c. Total annual responses = 1
- d. Hours per response = 115.07 hours
 - i. Reviewing instructions: 2.13 hours
 - ii. Adjusting to comply with any previously applicable requirements: 10.75 hours
 - iii. Training personnel to respond to a collection of information: 5 hours
 - iv. Searching data sources: 47.20 hours
 - v. Completing and reviewing the collection of information: 47.63 hours
 - vi. Transmitting or otherwise disclosing the information: 2.36
- e. Total annual reporting burden = 6,328.85 hours (*# of respondents x frequency of response x hours of response*)
- f. Estimated total annual cost burden = \$147,905.22 (*# of total annual reporting hours (6,328.85) x estimated hourly cost for responding to this information collection (\$23.37)*)
 - i. The hourly cost factor was derived from dividing the estimated annual cost factor per respondent (\$2,689.63) by the estimated hours per response (115.07) = \$23.37

13. Provide an estimate for the total annual cost burden to respondents or recordkeepers resulting from the collection of information.

There are no capital or start-up costs associated with this information collection.

14. Provide estimates of annualized cost to the Federal government.

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The estimated annual cost to the Federal Government is \$272,007.20. This estimate includes: \$82,007.20 for website management and programming services for a web-based survey response application; \$180,000 for personnel to administer and manage collections, and \$10,000 for equipment and overhead.

- We estimate \$82,007.20 for website management and programming services for a web-based survey response application.
- We estimate \$180,000 for personnel to administer and manage the collections. Two full time personnel will be assigned to this program. With an average cost (pay and benefits) of approximately \$90,000 a year.
- We estimate \$10,000 for equipment costs and overhead.

15. Explain the reasons for any program changes or adjustments reported in Items 13 (or 14) of OMB Form 83-I.

Not applicable. This is a new information collection.

16. For collections whose results will be published, outline the plans for tabulation and publication.

The EAC is required by NVRA (42 U.S.C. 1973gg-7) to no later than June 30th of each odd-numbered year submit to Congress a report assessing the impact of this Act on the administration of elections for Federal office during the preceding 2-year period, including recommendations or improvements in Federal and State procedures, forms, and other matters affected by this Act. In addition, the EAC will make available to the public the information collected on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas voters for the election and the combined number of such ballots which were returned by such voters and cast in the election as required by UOCAVA §102(c). Since the data required by these two Acts is being collected as part of this information collection, the EAC expects to release all of its findings as one publication no later than the date required by NVRA (42 U.S.C. 1973gg-7). The EAC is also in process of developing a process for using a geographic information system (GIS) to display the collected data and allow the public to conduct queries.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not applicable to this collection.

18. Explain each exception to the certification statement identified in Item 19 of OMB Form 83-I.

The EAC does not request an exception to the certification of this information collection.

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Supporting Statement B:

OMB Control Number: xxxx-xxxx

U.S. Election Assistance Commission

2006 Election Administration and Voting Survey

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g. establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. Indicate expected response rates for the collection as a whole. If the collection had been conducted previously, include the actual response rate achieved during the last collection.

This information collection does not use sampling. The respondent universe for the 2006 includes all 50 states, the District of Columbia, and the four U.S. territories; the total universe is 55 respondents. The EAC expects all of the identified respondents (100%) to provide responses to the collection as a whole; however, some of the respondents are exempt from the NVRA and as such are not required to provide certain answers. In addition, since the manner in which elections are administered may vary by jurisdiction, there are some questions that may not apply to all respondents.

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

Not applicable to this collection.

3. Describe the methods used to maximize response rates and to deal with non-response. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses.

The EAC has worked closely with all survey respondents since early in 2005 to clarify survey questions and terms, and encourage full participation. For example, in May 2005, the EAC met with a small group of election officials to solicit their feedback regarding the 2004 Election Day Survey, which is now part of this new information collection. The group discussed the challenges faced by election officials when collecting this data, including the interpretation of the survey questions and terms and the methods for assembling the data. In April 2006, the EAC convened a second group, this time consisting of election officials, social scientists, and voter interest groups, to improve the new information collection based on their experiences with previous collections. In addition, the EAC has vetted the document with its Advisory and Standards Boards, which are comprised of 110 state and election officials and another 37 are drawn from various national associations and government agencies that play a role in the

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implementation of the Help America Vote Act and science and technology-related professionals appointed by Congressional members. Furthermore, the EAC met with the state members of the National Association of State Election Directors (NASSED) in July to review the proposed information collection. The EAC will carefully review the information submitted by survey respondents to ensure completeness and accuracy; respondents will be contacted by phone, e-mail, and/or mail to request information regarding missing and/or erroneous data.

4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

Not applicable to this collection.

5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

The EAC staff members responsible for conducting this information collection are:

1. Karen Lynn-Dyson
Research Manager
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
(202) 566-3100

2. Laiza N. Otero
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Washington, DC 20005
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Summary of and Response to Comments on the U.S. Election Assistance Commission's 2006 Election Administration and Voting Survey

The U.S. Election Assistance Commission (EAC) issued its 2006 Election Administration and Voting Survey for public comments on August 1, 2006 (71 FR 43477). Fourteen public comments were received in response to the EAC's request. The EAC made modifications in response to the comments to improve the clarity and consistency of the information collection. Not all comments were appropriate to accommodate at this time due to the short notice election officials would have to organize and collect the new data or they were outside the scope of the information collection. However, the EAC will consider those comments for incorporation into the 2008 version of the EAC's Election Administration and Voting Survey. It should be noted that the long-term goals of the EAC Survey includes refining the information collection to gather as much data as possible at the lowest level of analysis (precinct) regarding the administration of election, but this process will require careful planning and implementation so as to maintain the lowest burden possible on respondents. A copy of the comments received is being provided as part of this ICR.

The following changes were made to the information collection as a result of the public comments received.

- The definition for "duplicate registration application" now reads: "refers to an application to register by a person already registered to vote at the same address, under the same name and personal information (i.e. date of birth, social security number, driver's license, etc.), and the same political party (where applicable)."
- The following question was inserted and is now the first question on the survey:

Please, check if your State is exempt from NVRA:

- Yes; exempt from NVRA.
- No; not exempt from NVRA.

- Respondents now have the choice to mark either a "Don't Know" or "Check if your office does not collect this data" for questions requiring a numeric answer. In addition, a "Comment" box has been included after each question for respondents to address any concerns or provide additional information relating to that particular question.
- For question 3 (previously #2), the State of Montana was included in the list of states that allow registration on Election Day.
- Question 9 (previously #8) was rephrased as follows: "Total number statewide and by county/local jurisdiction of new, valid registrations verified and processed between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. ***This includes all registrations that are new to the local jurisdiction and re-registrations due to a change of address across jurisdictional lines but within the state. This does not include applications that are duplicates, rejected,*

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or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.”

- In Question 11 (previously #10), “Tax Assessors” and “Tax Collectors” are now listed separately.
- Question 12 (previously #11) now reads as follows:

Identify each and every other state and local government office or agency designated as a voter registration agency (*provides voter registration opportunities/services*):

- Motor vehicle offices
- All offices that provide public assistance that are mandated as registration sites by NVRA
- All offices that provide state-funded programs primarily serving persons with disabilities that are mandated as registration sites by NVRA
- All Armed Forces recruitment offices that are mandated as registration sites by NVRA
- Other agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

► Please, provide the names of the agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

- Question 13 (previously #12) now reads as follows:

Does your office provide training on the voter registration process to employees of Federal, State, and local government offices or agencies designated as voter registration agencies?

Yes, our office provides training on the voter registration process to ALL Federal, State, and local government offices or agencies designated as voter registration agencies.

► If yes, how frequently does your office provide training the above training?

Monthly Quarterly Biannual Annual Biennial

Other (*please, specify*) _____

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Yes, our office provides training on the voter registration process to SOME, BUT NOT ALL, Federal, State, and local government offices or agencies designated as voter registration agencies.

▶ If yes, how frequently does your office provide training the above training?

Monthly Quarterly Biannual Annual Biennial

Other (please, specify) _____

No, our office provides no such training.

- Question 14 (previously #13) now reads as follows:

How are voter registration applications transferred from the other voter registration agencies listed in response to Question 12 to the official responsible for voter registration (see Question 11)? Please, select all that apply.

Courier

Fax

Hand-delivered

Inter-office mail

U.S. mail

Electronic (If electronic, then select the appropriate media below.)

Power Profile System Tape Disk, CD, or other portable storage media

VPN Other electronic media (please, specify) _____

Other (please, specify) _____

- Question 17 (previously #16) now reads as follows:

How do the voter registration officials identified in Question 11 verify voter registration applications? (This refers to the process of verifying the applications used to register to vote. This does not refer to the process of verifying voter identity when they go to vote.) Please, select all that apply.

Check jury lists

Verify through the department of motor vehicles

Verify through the Social Security Administration's records

Verify through the State's vital statistics records

Verify through other state agency (please, specify agency)

Matched against the voter registration database

Tracking of returned voter identification cards

Tracking the return of disposition notices

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Other (please, specify)

- Question 18 (previously #17) now reads as follows:

What data fields are compared (used as matching criteria) to identify duplicate registrations? Please, select all that apply.

- Address
- Date of birth
- Driver's license number
- Names provided by registrant
- Social Security number
- Other (please, specify)

- Question 21 (previously #20) now reads as follows:

How does the statewide voter registration database link to the State's department of motor vehicles?

- Real-time
- Specific time intervals (please, specify)
- Other (please, specify)

- Question 30 (previously #29) now includes "Tax offices."
- The definition for "At the polls" was clarified to read as follows: "'refers to ballots issued, cast, or counted on a jurisdiction's voting system on Election Day at a polling place (separate from early and in-person absentee voting at the polls prior to Election Day)."
- The definition for "Ballots Cast" was clarified to read as follows: "refers to ballots that have been submitted manually or electronically by a voter regardless of whether they are ultimately counted. Note: For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter."
- The definition for "Domestic civilian absentee ballot" was clarified to read as follows: "refers to a ballot available to a non-military citizen living in the United States who is registered to vote and meets the State's requirement for voting absentee, and is not considered early voting by state definitions. Generally, a voter must request an absentee ballot from their local election office, and the completed ballot may be sent back by mail or dropped off in person (in-person absentee) depending on the laws and regulations of the voter's State of residence."
- The definition for "Domestic military citizen" now reads: "Domestic military citizen is statutorily defined as..."
- The definition for "Early voting" was clarified to read as follows: "refers generally to any in-person voting that occurred prior to November 7, 2006, at specific polling locations for which there were no special eligibility requirements,

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and is not considered absentee voting under the State's definitions/requirements for absentee voting."

- The definition for "Federal Write-In Absentee Ballot (FWAB)" was clarified to read as follows: "...is an emergency ballot available to military and overseas citizens (including APO and FPO addresses) when they have properly requested but have not received a regular absentee ballot from their local jurisdiction in time to return it before the deadline."
- The definition for "Overseas military citizen" now reads: "Overseas military citizen is statutorily defined as ..."
- The definition for "Provisional Ballot" was clarified to read as follows: "...refers to a ballot issued to a voter at the polling place when their eligibility to vote has not been determined."
- Question 32 (previously 31) was rephrased as: "Did your State conduct early voting for the November 7, 2006, Federal general elections?"
- Questions 33 and 34 were originally asked as part of the same question, but to avoid confusion the data is being requested separately. In addition, the following item was added to both questions (and later on in questions 38-40):
 - UOCAVA voters: If you are not able to separate ballots cast/counted for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:
- For question 37 (previously #35), the category of "Administrative error" was removed."
- Question 38 (previously #36) no longer asks for the number of absentee ballots "not counted," it only asks for the number of absentee ballots that were requested.
- Question 39 (previously #37) was clarified to read as follows: "Total number statewide and by county/local jurisdiction of advanced ballots TRANSMITTED to military and overseas citizens for the November 7, 2006 Federal general elections: (*Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.*)"
- For question 41, the categories of "Elector deceased" and "Elector voted early at the polls" were rephrased as "Voter deceased" and "Already voted in person."
- Question 50 (previously 48) was rephrased as: "Total number statewide and by county/local jurisdiction of precincts for the November 7, 2006, Federal general elections."
- Question 53 (previously 51) was rephrased as: "Total number statewide and by county/local jurisdiction of polling places where voters with disabilities can cast a private ballot for the November 7, 2006, Federal general elections: (*Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots in Braille).*)"
- Question 55 was inserted to capture information regarding voting equipment in use during the November 7, 2006, Federal general elections. This question was previously asked by the EAC in their 2004 Election Day Survey, and initially

removed for purposes of this survey. However, in light of the public comments and the current attention on voting equipment/systems the EAC felt it was necessary to include it in the final information collection. The question reads as follows:

- Please, provide a list of the types of voting equipment used in each county during the November 7, 2006, Federal general elections. Please, provide the following for each county:
 - a. Name of county
 - b. Type of voting system(s)
 - c. Manufacturer
 - d. Software version (if applicable)
- Question 56 (previously 52) was rephrased to read as follows:
 - Please, provide the following for each local election jurisdiction official that provided information for purposes of responding to this survey:
 - a. Name
 - b. Title
 - c. Agency/Office
 - d. Street address
 - e. P.O. Box number
 - f. City
 - g. State
 - h. Zip code
 - i. Telephone number
 - j. General e-mail address (if available)
- Question 57 (previously 53) was rephrased to read as follows:
 - Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. (All other sources of data shall include information obtained from a statewide voter registration database or any other public or non-public source). For individuals and agencies, please, include the following:
 - Statewide voter registration database
 - Other public and non-public sources – please, include the following:
 - a. Name of contact person
 - b. Title
 - c. Agency/Office
 - d. Street address
 - e. P.O. Box number
 - f. City
 - g. State
 - h. Zip code
 - i. Telephone number
 - j. General e-mail address (if available)
- Question 58 (previously 54) was rephrased to read as follows:

- Please, provide a list of the local individuals/entities responsible for registering voters (see Question 11) and those administering elections; include their name/entity, title, complete mailing address, telephone number, and general e-mail address (if available). In some cases, these two activities are carried out by one individual/entity and in others they are divided between two or more; please, identify which individual is responsible for each of the activities.
 - a. Name
 - b. Title
 - c. Agency/Office
 - d. Role (voter registration, election administration, or both)
 - e. Street address
 - f. P.O. Box number
 - g. City
 - h. State
 - i. Zip code
 - j. Telephone number
 - k. General e-mail address (if available)



"Sue Sautermeister"
<sue_sautermeister@yahoo.com>

08/06/2006 12:03 PM

To Lotero@eac.gov

cc

bcc

Subject response to voter questionnaire

Ms Latero

Bryan Whitener sent out the draft of the survey for the 2006 election to the members of the EAC advisory board

my comments

1. easy to read
2. don't split sections or questions between two pages (it occurs a few times only)
3. page 14, #43, in our situation the numbers of poll workers varies depending upon the number of registered voters in a precinct, but this question doesn't allow for a response where the numbers of poll workers vary among the precincts
4. page 14, #46, do you want multiple responses here? we have several who are involved
5. page 16, #53, not enough room to respond if you want everyone who had input to list name & info since not just one person will necessarily be completing the form
6. lastly, i hope this goes out early enough so that those who will be completing the form know what will be asked of them so they can compile the info as they go along

Sue

009279



"Melanie Abplanalp "
<melaniea@washco.state.ut.us>
s>

08/07/2006 09:59 AM

To lotero@eac.gov

cc

bcc

Subject washington county survey request

Laiza,

Thanks for letting us get a heads up on the new stats that we should be tracking.

I noticed that as I was doing this, most of the questions were fairly relevant. I know that some states are a little ahead of us, but I think the information can be useful in the future.

I did have some concerns about the time it would take, unless we could get Vista to track this info for us. I had to gather the info from many sources, and it took longer than I expected.

Here are some specifics,

We do not have information to track yet by Mail, or in Clerk's office, DMV, or other registrations with dates.

We cannot identify dupilcates, address changes or rejected registrations.

I thought tracking the deleted list was interesting, but I can see why they would like to have that info.

Tracking the amounts of confirmation cards would be a great help to me, it would be good to see how many

go out, and how many come back! Track expenses better.

Election totals seemed to be over kill, but if we could track the info in 1 or 2 places I would be willing to do the in depth detail that they would like.

If you have questions call me!

Melanie Abplanalp

435-652-5891

melaniea@washco.state.ut.us

009280



"Jim Harmening"
<jim@bitsmail.com>

08/07/2006 10:09 PM

Please respond to
jim@bitsmail.com

To lotero@eac.gov

cc

bcc

Subject Public Comments on Election Administration Proposed
Survey

Dear Ms. Otero,

I hope this helps, it is a great Survey and I hope the Election Jurisdictions actually collect the data you are asking for!

Thanks

Jim Harmening
7805 Palm Drive
Orland Park, IL 60462

708-288-3314



public comments EAC Survey.doc

009281

Election Administration Voting Survey Draft

Q10. I would suggest splitting up the Tax Assessor and the Tax Collector. In Illinois and many other jurisdictions throughout the country, they are two different offices.

Q11. In Illinois there are so many townships, cities and villages, that you may get a list several thousand. Likewise there are many public service agency locations.

I am not sure what the goal is for Q11 or what information is to be collected? Name, address, city, state, zipcode, person in charge, phone number? It doesn't appear that the question is detailed enough about what information is to be collected and that it tries to collect too much information (at least for a large state with many local offices.)

Q13 – there are kind of redundant answers e-mail/electronic and VPN are similar. Is Disk / tape the same? How about CD? Some people may use a USB drive? Either have a category of

Electronic with sub sections under it

Electronic

Tape

Diskette

E-mail

USB

Website Form

Website File Upload

FTP

VPN

Under Other you may want to put a line for them to write it in.

Great survey and good luck with the responses!

Jim Harmening

009282



"Silrum, Jim"
<jsilrum@nd.gov>
08/09/2006 05:28 PM

To lotero@eac.gov
cc "Jaeger, Al A." <ajaeger@nd.gov>, "Oliver, Lee Ann M."
<loliver@nd.gov>, "Odenbach, Danette"
<dodenbac@ndaco.org>
bcc
Subject 2006 Election Administration and Voting Survey

Dear Laiza,

Today as I was preparing a note to send to North Dakota's county election officials to encourage them to review the survey that is under review prior to the General Election in November, I noticed something in the Absentee Ballots section that made me think I should send a comment upon which I hope you too will ponder before the survey is finalized. I don't think we talked about this when we were together in April for the preliminary survey meeting, but if we did I apologize for not paying attention.

Question 36 asks for the number of absentee ballots (excluding FWABs) requested and the number not counted.

1. FWAB is spelled out in question 38, but since this is the first place it is used, this is the place it should be spelled out.
2. I understand the need for collecting both of these items of information, but the two numbers are quite disjointed from each other unless another number is asked for between these two.
3. The number would be the number of ballots returned.

I would suggest the following order and wording of the questions if I may be so bold:

36. Total number of statewide and by county/local jurisdiction of **advanced ballots** transmitted to military and overseas citizens for the November 7, 2006, Federal General Election: [keep the three subcategories with the total line]
37. Total number of statewide and by county/local jurisdiction of **absentee ballots** requested and returned (do not include FWAB) for the November 7, 2006, Federal General Election: [keep the four subcategories with the totals line – one column for requested and one column for returned]
38. This one could stay just as it is
39. If you added a total line to this question, you would know the number of absentee ballots not counted or rejected that were received from domestic civilians
40. If you added a total line to this question, you would know the number of absentee ballots not counted or rejected that were received from military and overseas citizens

The survey asks for the number of advanced ballots transmitted, but it doesn't ask how many of those were returned; rejected or not counted and why unless we are supposed to assume that these ballots should be tabulated with all the other absentee ballots based on the definition of "**Absentee voting**" at the beginning of this section. Perhaps this could be explained with the questions relating to the return of absentee ballots or these numbers could be separated as you have separated them for the two questions differentiating their delivery to the voter.

Please feel free to call me if you have any questions for me. Maybe I have been as clear as mud to you or maybe I have missed the obvious that would make the current order clearer to me.

009283

Thanks for letting me comment,

P.S. I know you have talked in the past that the 2006 survey would be one in which the numbers would be entered by each state through a web application. Do you know whether this will be a manual data entry method by those of us in the state election offices? If it is, I am concerned that there will be a high potential for "fat finger" errors to the submitted data. Or, can you describe the survey tool that is being planned for use?

Thanks,

Jim Silrum

Deputy Secretary of State
State of North Dakota
600 East Boulevard Avenue
Bismarck ND 58505-0500
701-328-3660 - Voice
701-328-2992 - Fax
www.nd.gov/sos
jsilrum@nd.gov

009284



"Pat Hollarn"
<phollarn@co.okaloosa.fl.us>
08/25/2006 05:44 PM

To: lotero@eac.gov
cc
bcc
Subject: DRAFT 2006 Election Administration and Voting Survey

Laiza,

I found the Draft 2006 Survey to be comprehensive and well done, and just have one subject to be addressed, if deemed warranted.

After Question #40, before ending the section on UOCAVA absentee ballots, would it be possible to add a question, or questions, concerning the absentee requests that were honored based on the UOCAVA voters' 2004 requests (per HAVA's mandated two-general-election-cycle requests)? It might be a multi-part question, to include these issues:

- How many absentee ballots were issued in 2006 to UOCAVA voters who requested a ballot in 2004, but from whom the election official had no contact since the 2004 request?
- Was any additional contact made to those voters prior to the 2006 elections?
- How many of those 2006 absentee ballots (from the 2004 request) came back "Return as Undeliverable" from the Postal Service?
- How many came back voted?
- How many never came back?

I am planning on including this subject in my presentation on Sept 21 in St. Louis, which is before the close of the Public Comment period, so I will be able to further explain the value of this information.

Pat Hollarn, Supervisor of Elections
1804 Lewis Turner Blvd, Ste 404
Fort Walton Beach FL 32547-1285
Tel: 850-651-7272 Fax: 850-651-7275
Email: phollarn@co.okaloosa.fl.us
Web site: www.govote-okaloosa.com

009285



"Jo-Anne Chasnow"

09/28/2006 12:50 PM

To lotero@eac.gov

cc

bcc

Subject Comments on Draft Voting Survey

U.S. Election Assistance Commission
1225 New York Avenue, NW., Suite 1100
Washington, DC 20005.
ATTN: Ms. Laiza N. Otero
(lotero@eac.gov)

Dear Ms. Otero,

Attached please find comments submitted by Project Vote responding to the "Draft 2006 Election Administration & Voting Survey" of the U.S. Election Assistance Commission.

Please confirm receipt, and please be certain to notify me if you have any problems opening up the attachment.

Many thanks.
Sincerely,

Jo-Anne Chasnow
Policy Director, Election Administration Program
Project Vote



www.projectvote.org Project Vote Comments on EAC 2006 Survey.doc

009286



Project Vote
2101 S. Main Street
Little Rock, Arkansas 72206
501.376.6451 phone 501.376.3952 fax

September 27, 2006

U.S. Election Assistance Commission,
1225 New York Avenue, NW., Suite 1100
Washington, DC 20005.
ATTN: Ms. Laiza N. Otero
(lotero@eac.gov)

Dear Ms. Otero:

We are writing today to provide comments on the "Draft 2006 Election Administration & Voting Survey". Thank you for this opportunity.

- I. On Page 1, the survey provides a "definition" of a duplicate registration. However, a vital piece of the definition is missing: same birth date. Without matching birth date, it is impossible to definitively identify duplicate registrations. We encourage you to add the words "same birth date".
- II. We believe additional questions should be included as a subset of question #12 if the respondent answers "yes" in either of the two "yes" boxes. The first question we recommend that you include is "If yes, how often?" and the second is "When was the last training offered?" It is vitally important to know some details about training of public employees who are offering voter registration.
- III. We believe you should insert a question following question #16. Our recommended language is: "If the voter registration application is not successfully matched against any existing record, is the next step to assign the registrant with a unique identifying number? If not, what IS the next step?"
- IV. In question #18, there is a "yes" box, followed by "(If yes, please identify which states)." Please consider adding to the words within the parenthesis the following phrase: "and the elements that must 'match' to establish a duplicate". We further recommend a follow-up question: "If duplicates are found, how are those duplicates handled?"

009287

Project Vote
Comments on Draft Election Administration & Voting Survey
September 29, 2006

- V. In question #19, please add the word "all" between the word "Are" and the word "applicants", so that it reads "Are all applicants whose applications are rejected notified of the rejection and the reason for the rejection?"
- VI. We encourage you to reinsert a question that was asked in the prior survey, to be added after question #21: "Identify any restrictions on the acceptance of voter registration applications such as paper weight, fax, electronic, mail (for non-NVRA states), batch, third-party handling (changed from delivery to handling), etc." These sorts of restrictions have placed significant burden on many of the civic organizations engaged in voter registration across the country. A question soliciting information from the states would be very informative and encourage transparency.
- VII. We also encourage you to add another additional question here, related to acceptance of the national application: "Do all election jurisdictions in your state accept a completed National Mail-In application as a complete voter registration application?"
- VIII. It would appear that two sections of the previous survey have been entirely eliminated. One section is "Voter Registration Drives". Voter registration drives are a centerpiece of participatory democracy. But little by little the ability for these drives to function is being eroded. Specifically, we encourage including the following questions:
- a. "Does your State manage the number of applications given to the outside groups and the number and timing of the return of those forms by these non-governmental registration organizations. If so, how?"
 - b. "Does your State allow organizations to print additional copies of the state voter registration applications by photocopying a blank application?"
 - c. "Does your State use serial numbers or other identifying codes on voter registration applications given to non-governmental organizations or individuals in order to be able to identify who handled completed applications that are received by State and local officials?"
- IX. The other section that has been entirely eliminated is "Public Information". Confirmation of whether applicants have actually been placed onto the voter rolls, especially when a state does not have a public access portal, is critical, especially for voter registration organizations. It is vitally important to know if voter registration cards are available for public inspection; and with newly created statewide databases, to know if voter registration files are available for sale – and if so how much they cost.

Project Vote
Comments on Draft Election Administration & Voting Survey
September 29, 2006

We then recommend the following questions, included in the previous version of the survey, be included here as well:

- a. "Are voter registration records public information?"
 - b. "Are voter registration files available for sale to the public? If so, how much do they cost?"
- X. Finally, we encourage you to add a section specifically for the states to report the breadth of voting machine problems experienced in 2006. This will respond to the rash of problems that jurisdictions around the country have experienced with elections in the 2006 primaries and most likely will experience in the 2006 general elections.

Thank you for the opportunity to share these comments.

Sincerely,

Jo-Anne Chasnow
Policy Director, Election Administration Program
Project Vote

009289



"Michael Cragun"
<mcragun@utah.gov>
09/28/2006 10:53 PM

To lotero@eac.gov
cc
bcc

Subject DRAFT 2006 Election Administration and Voting Survey

Laiza,

The one thing I have worried about since you shared this draft with us at the Standards Board Meeting in May is that election officials may not have adequate data to answer many of the questions that ask for information between Election Day 2004 through Election Day 2006. It seems to me that if you want data collected for a two year period, you need to tell the election officials about the expectation before the two year period begins.

Thanks,
Michael

Michael Cragun, Deputy Director
Office of the Lieutenant Governor
State of Utah
PO Box 142325
Salt Lake City, Utah 84114-2325
(801) 538-1041
(801) 538-1133 fax
mcragun@utah.gov

009290



"Carol Paquette"
[Redacted]

To lotero@eac.gov

cc

09/29/2006 03:03 AM

bcc

Please respond to
[Redacted]

Subject comments on 2006 election administration survey

Laiza -

This survey has really improved. Someone has been working hard on this. I hope the attached comments are understandable and helpful. I was sorry to see that there are no questions on types of voting systems in use and identification of any problems, but maybe EAC is collecting that data from some other source. You can reach me at 703.532.0524 if you have questions. Good luck with the survey! There are many of us eagerly awaiting the results!

Carol Paquette

[Redacted]
EarthLink Revolves Around You.



2006 Election Survey comments.doc

009291

9/28/2006

COMMENTS ON DRAFT OF 2006 ELECTION
ADMINISTRATION AND VOTING SURVEY

1. Recommend that "Voter Registration Applications" heading be changed to "Registered Voters", and that new heading "Voter Registration Application Processing" be inserted after question 2. Rationale: 1st 2 questions only refer to numbers of voters; other questions deal with application processing. Adjusting the headers helps the respondent navigate the instrument.
2. Recommend to move "Duplicate registration application ..." description to question 7 where it is referred to. Rationale: Use of ease for respondent by providing explanatory material where it is referenced.
3. In question 1, would it be clearer to use "at the conclusion of registration processing" or similar wording in place of "close of registration?" Rationale: Not all registrations are processed by the close of the registration period. I assume that the information sought is the final number of registered voters. This revised wording would also encompass Election Day registrations.
4. In question 2, should November 2, 2004 be added to get comparative data?
5. In question 6, what about applications generated by voter registration drives run by civic organizations and political parties? These constituted a big processing issue in 2004.
6. In question 7, it would be interesting to know the reason, for applications being rejected (in addition to being a duplicate, as noted).
7. In question 8, does "new, valid registrations *processed*" mean entered in to the voter database? Also, what is meant by "re-registrations across jurisdictional lines"? Is this a change of address?
8. Recommend that the words "conducting voter registration" in question 9 be replaced with "responsible for registering voters," as used in question 10. Rationale: This just makes clear that both questions are talking about the same function.
9. Capitalize Armed Forces in question 11 to be consistent with usage in question 6 (or vice versa!).
10. In question 12, it would be interesting to know which agencies are provided training for the "SOME, BUT NOT ALL" response.
11. In question 13, recommend that "official responsible for voter registration" be reworded to something like "election official responsible for approving voter registration applications." Rationale: This more clearly differentiates the election folks and their role from the other agencies involved. Also recommend that responses be divided into two categories: how delivered and what media used. For example, a tape could be delivered by courier, by hand (what's the difference between these two?), U.S. mail, inter-office mail, etc. Electronic delivery could be by fax, email, VPN. Ftp is missing as an important electronic means. Power Profile System needs to be explained. What are you trying to find out by this question? How quickly updates can be processed or how efficiently the process takes place? Not clear what one would learn from this set of responses. For

009292

example, a tape delivered manually that is compatibly formatted to run directly against the voter database would be much more efficient to process than an automated ftp that requires data format conversion.

12. In question 14, recommend that "forms" be replaced with "applications."
Rationale: Consistent terminology. What is meant by "verifies"? Does it mean the official with authority to approve application? What is meant by "processes"? Is this merely data entry; does it include validation of any sort? Some local election offices have administrative personnel enter applications into electronic pending file via keystroking the data or scanning the form, and then a senior official reviews and approves for upload to voter registration file.
13. In question 15, recommend that "processed voter registration form" be replaced with "accepted (or approved) voter registration application." Rationale: Keeps terminology consistent and clear.
14. In question 16, recommend that parenthetical explanation be reworded to read: This refers to the process of verifying the information provided in the voter registration application. It does not refer to the process of verifying voter eligibility at the polling place. Rationale: Clarifies the language. Also, what does "Link" mean in the responses? The word "records" should be added to the end of the second and fifth responses. Social Security Administration should be capitalized. What is a "disposition notice"?
15. Recommend that wording in question 17 be changed to read: "What data fields are compared to identify duplicate registrations?" Rationale: This wording better suits the response choices given.
16. In questions 20 and 21, suggest that questions be further refined to identify nature of linkage, e.g., periodic batch ftp uploads, real-time transaction-based data transfer, etc. This begins to provide some interesting data.
17. Don't understand what is meant by the terms "electronically" and "manually" in the responses. Can you clarify?
18. In question 23, would be interesting to know if there are differences in what the state and local officials can do for the "Both" response.
19. Recommend that a heading such as REMOVING (OR PURGING) VOTERS FROM VOTER REGISTRATION DATABASE be inserted after question 23.
Rationale: Ease of use for the respondent. This is the topic that the next several questions deal with.
20. Questions 24 and 28 seem to be asking for the same information with slightly different wording.
21. In question 25, need a citation for parenthetical [Section 8(d)(2) confirmation]. Is this NVRA?
22. In question 28, suggest you clarify what "moved outside of jurisdiction" means in first response. I assume it means left the state? Recommend that the word "registrations" be replaced with "voters" in the last response.
23. In question 29, aren't "car registrations" and "lists of automobile registrations" the same thing?
24. Recommend that next section heading be revised to "2006 ELECTION RESULTS". Rationale: I assume that the data sought is the official certified

- election results, not the preliminary election returns. Certified results are not available until 30 or so days after the election, as defined by state law.
25. In first line, change "Ballots" to "Ballot" for grammatical consistency with rest of sentence. Under Note:, delete "For" for grammatical correctness.
 26. Is "Ballots Counted" going to be a problem for election officials? As I understand it, normally ballots don't get counted, only votes get counted. Is EAC asking election officials to collect new data ? (There's nothing wrong with this, in my view) But if so, do election officials understand what's being asked for? (And maybe I'm just wrong in my understanding.)
 27. Description of "At the polls" is a bit confusing when the counting activity is included. Some jurisdictions have central count systems for voting machine results, so no ballots are counted at the polling place. Some jurisdictions send absentee ballots to the polling place to be counted, so all ballots are counted there. If you are trying to distinguish between polling place and absentee, maybe that can be accomplished by just saying where the ballot is voted and cast.
 28. Recommend that description of "Domestic civilian absentee ballot" be revised to something like the following. "Domestic civilian absentee voter refers to a non-military citizen who is otherwise eligible to vote, but is temporarily absent from their place of residence on election day, and meets their State's requirements to vote absentee."
 29. Recommend that "Domestic military citizen" be revised to something like: "Domestic military absentee voter is statutorily defined as: etc.,etc."
 30. Recommend that "Overseas military citizen" be revised to "Overseas military absentee voter is statutorily defined as: etc.", and that "Overseas civilians" be revised to "Overseas civilian absentee voter etc." These two descriptions should be moved to immediately follow the Domestic military absentee voter, so all descriptions of different categories of absentee voters are together.
 31. What about the category of permanent absentee voters? Many states have this designation for voters with medical conditions or disabilities that make it difficult for them to get to the polls, residents of nursing homes, etc. Since they are not absent from their place of residence they don't quite fit into the domestic civilian absentee category above. This is also a category where voting fraud is often an issue.
 32. Under "Early voting" description I would add the word "special" in the second line: "were no special eligibility requirements." Probably a nitpick, but avoids possible (but somewhat silly) interpretation that normal eligibility requirements don't apply.
 33. Recommend that FWAB description be revised to something like: Federal Write-In Absentee Ballot (FWAB) is an emergency absentee ballot available for use by military and overseas citizens when they have properly requested but have not received a regular absentee ballot from their local jurisdiction in time to return it before the deadline. I would move this description to follow provisional ballot.
 34. Would revise provisional ballot to something like: "Provisional ballot refers to a ballot issued to a voter at the polling place when their eligibility to vote has not been determined. Provisional ballots are prescribed by Section 302(a) of the Help America Vote Act."

35. Would it be possible to add further sub-questions to question 31? For those who answer yes, suggest you ask what is the time period, Also, what is the process, i.e., do voters have to go to clerk's office or are satellite locations provided, etc.? Since this is defined by state law it shouldn't be too hard to answer.
36. In question 32, I would split the responses as follows: (A) Ballots cast in person – at the polls, early voting, provisional ballots; and (B) Ballots cast absentee – domestic civilian absentee, domestic military absentee, overseas military absentee, overseas civilian absentee, FWAB
37. In question 35, I don't understand how "Ballot not timely received (absentee)" would be a reason to reject a provisional ballot? Similarly "Incomplete ballot form"? First of all, I don't really know what this means. If it means a ballot in which no votes have been cast for some of the races, that's not a reason to reject, because voters are not required to cast votes in all races for their ballot to be accepted.
38. Suggest that description of absentee voting is not needed if previous suggestions on rewording absentee voter categories are used. I think this description sort of misses the point of this voting option, which is not voting before Election Day (although true), but not being able to vote on Election Day due to inability to get to the polls – either through physical absence or for other state-defined reasons.
39. I think the correct term is "advance ballot" rather than "advanced ballot." Also appears in question 37.
40. In question 36, would add a column for Number Received and change Not Counted heading to Number Rejected. Would also ask for how many of each of these 4 categories of ballots were delivered to the voter and how many returned to the election office by mail, fax, email or courier.
41. In question 37, column heading for responses should be Number Received and Number Rejected.
42. Would use the same column headings for question 38.
43. In question 39, would change "Elector deceased" to "Voter deceased". Why introduce a new term? Would change "Elector voted early at the polls" to something like "Voter voted in person (either early voting or on election day)". Would add the word request to "No ballot request application on record". What does "Spoiled ballot" mean?

009295



"Bonnie Glaser"

09/29/2006 02:24 PM

To lotero@eac.gov

cc

bcc

Subject Comments on the 2006 Election Administration and Voting Survey

Dear Ms. Laiza N. Otero:

Please find attached a letter to you with our comments on the 2006 Election Administration and Voting Survey, sent at 2:30 EDT on September 29, 2006.

Sincerely,

Bonnie Glaser, Ph.D., Senior Researcher
Election Administration Research Center
University of California, Berkeley
510-642-8506



Comments on EAC 2006 survey.pdf

009296

September 28, 2006

ATTN: Ms. Laiza N. Otero
US Election Assistance Commission
1225 New York Avenue, NW., Suite 1100
Washington, DC. 2005

Dear Ms. Laiza N. Otero:

We submit the following comments about the 2006 Election Administration and Voting Survey, pertaining to "c) ways to enhance the quality, utility, and clarity of the information to be collected."

These comments are based on our experience conducting research with state and local election officials and in local election jurisdictions, both in terms of what data is possible to collect and what would be useful for research. Questions are referred to as 'Q#' in comments below.

Voter Registration Applications

Q4-Q6: It is likely that local election officials do not record if registrations come in by mail or in person, so it might be easier to make Q4 and Q5 optional. The same idea applies to Q6, that is, it is unlikely that the local election officials record where these additional registration forms come from; even if they do record which ones come from an outside agency, they will not necessarily specify the agency in all cases.

Q10. In the case of California, each county has either a County Clerk who is the Registrar or a Registrar, rather than two titles that "share responsibility for registering voters" the responsible party is called by different titles in different jurisdictions. The question could be worded more clearly along these lines "mark all possible titles of the individual in each jurisdiction that is responsible for registering voters."

Q11. The usefulness and purpose of this question is not clear. The choices provided are a mix of what is required by law and what is a state option, which could lead to confusion in completing this and responses that do not reflect what the question is meant to measure.

Election Day Results

Q31. Early voting will likely vary across local jurisdictions and over time. For this question to illicit a more clear response, we recommend "Did any local jurisdiction in your state conduct early voting for the November 7, 2006 Election." It also might help to ask the number of local jurisdiction that did conduct early voting.

Q32. This break down of ballots cast and of ballots counted by different methods, especially the five different forms of absentee ballots, will provide extremely useful information for understanding the different voting programs and their prevalence in each

009297

state. However, states that have a large number of jurisdictions may have difficulty getting this level of breakdown from each jurisdiction. While states should be encouraged to collect this, perhaps they should have instructions for compiling the results from less than all the jurisdictions (perhaps adding to their counts something like: "this is the number of ballots cast and counted in 40 out of 58 counties that provided this information").

Q35. This question will produce valuable information. However, it will be difficult for states to collect the number for each reason for each jurisdiction. We see that in the previous survey the question was simply "Identify the five most common reasons that provisional ballots were rejected," which did not require explicit counting of the reasons. We suggest offering an alternative way to report these reasons if the state is not able to count the incidence of each one. Also, "administrative error" could mean different things to different survey respondents. For that choice, we recommend something a little more specific about the kind of administrative error, such as "poll worker error," or a space to list the type of administrative error.

Absentee Ballots

We are confused by the definition of "absentee ballots," because in California any registered voter can request an absentee ballot without a reason or special qualification.

Q40. This data is only useful in the context of each state's laws. For example, do all states require that the ballot be notarized? Some require the registration form for military and overseas (FPCA) is notarized, but do they require that for the voted ballot as well?

Poll Workers

Q43-Q45. Collecting information on poll workers is very important; however, these three questions are too general to provide useful data. The previous survey asked for the required number of poll workers per precinct as established by law, and this question should be asked again, but with an option to give more than one answer. For example, in California the law is 3 workers per precinct, but for various reasons, such as language needs, voting technology, size of polling place, and precincts per polling place, the required number is more than 3 for certain precincts.

Also the counties in California have their own preferred level of staffing (often 4 or more) based on various needs at different polling places. In many cases, the legal minimum is not considered adequate staffing. For practical purposes, the success in staffing polling places is better reflected by evaluating how well they met their own perceived needs. The states could ask local jurisdictions to report some numbers on that question. For example, "how many precincts in your jurisdiction should have 3, 4, 5, and 6 workers at all times, and how many precincts did have that number."

If there are "split shifts" where two poll workers are hired for one day, then the number in Q44 will not be useful compared to the number in Q43. Q44 could read the number of "poll worker days served" so that two workers who split the day are counted as one.

Q45 will not necessarily produce useful answers because states will try to minimize this number; poll worker staffing is an extremely sensitive topic. Asking about actual staffing relative to preferred staffing (as suggested above) might be more productive than asking about compliance with the laws, and will still reflect difficulties with recruitment.

It would also be beneficial to ask more from states about poll workers than their total number hired and the adequacy of staffing levels. Some other important topics could be

- the five most common methods of recruitment,
- the five most common barriers to recruitment,
- the extent of problems with last minute dropouts and no-shows (such as the number per jurisdiction of individuals who were signed up but declined to work in the last week before the election or failed to show on Election Day), and
- whether any jurisdiction allows half day or multiple shifts.

Voting Jurisdictions and Polling Places

Q50. This question will be very difficult to answer, as it requires a "yes accessible" or "no not accessible" evaluation of thousands of polling places, rather than a degree of accessibility. Many polling places may be substantially more accessible than other polling places, but not completely ADA compliant on every measure. Also, many polling places are not accessible on their own, but are adapted by the elections office just for Election Day, and its unclear how to tease those out from others in this number. Q50 needs to be broken down into several questions or needs to include a more explicit definition of "accessibility" for the purposes of the question.

Q51. It is unclear why there is this question on visual impairment and not any other type of disability. It seems as though there should be a question on each major disability category or none at all. It is also unclear why any questions about voting equipment were dropped from this version of the survey. Asking about the number of "accessible voting machines" per polling place would be a better measure of accessibility to independent voting for voters with various disabilities. A measure of the prevalence of non-electronic accessibility devices must also be collected, in order to account for those jurisdictions which meet this requirement without "machines." Note that all states should answer in Q51 that all their polling places have the capacity for a visually impaired voter to cast a private ballot, as required by law. Because some jurisdictions use the "accessible" machines for all voters, the average number of accessible machines per polling place (for those jurisdictions which use machines for accessibility) should be more than one. That average would be an interesting number to compare across states, capturing both the uptake of accessible voting machines as well as the extent of accessibility. While we understand that the "accessibility" of different machine types is not absolutely confirmed, especially for all disabilities on all machines, collecting this data may still give a fairly good indication of the intent of jurisdictions to provide accessibility.

009299

Thank you for the opportunity to share our thoughts about this important survey of election administration. Please contact us if you have any questions about these remarks.

Sincerely,

Bonnie Glaser and Karin Mac Donald
Election Administration Research Center
UC Berkeley
111 Moses Hall
Berkeley, CA 94720
510-642-8506

009300



"Wittman, Bradley S"
<WittmanB@michigan.gov>
09/29/2006 02:36 PM

To lotero@eac.gov
cc "Thomas, Christopher M" <ChristopherT@michigan.gov>,
"Anastor, Rayan" <AnastorR@michigan.gov>
bcc

Subject Comments: DRAFT 2006 Election Administration & Voting
Survey (Michigan)

Greetings Ms. Otero: The following comments are offered with respect to the proposed 2006 Election Administration and Voting Survey released by the U.S. Election Assistance Commission (EAC) in August. We are directing our comments to your attention pursuant to the instructions published in the Federal Register on August 1, 2006.

In general terms, we find the data requests provided under the section of the survey entitled "2006 Election Day Results" overly detailed and ambiguous in places -- a problem noted in the 2004 Election Day Data Survey released by the EAC and the subject of much discussion at the meeting convened by the EAC on April 4, 2006 to review and critique the survey tool.

It bears note that despite the fact that Michigan's Qualified Voter File (QVF) system has been programmed to capture many of the data elements requested in the proposed EAC survey, it will still be necessary for this office to contact every local clerk in the state (i.e., Michigan's 1,516 city and township clerks) to collect key portions of the requested information.

This task will entail the analysis of the final version of the EAC survey to identify the data elements which must be collected from the city and township clerks, the development and design of our own survey tool, the distribution of the reformatted survey tool to the state's 1,516 local clerks, the compilation of the responses we receive, and the correction of any errors that we are able to identify in the compiled data. This is essentially the same procedure we followed when preparing our responses to the EAC's 2004 post-election surveys.

As you may be aware, we aggregated the data we collected after the 2004 general election by county given the complexity and burden such data collection exercises pose for Michigan. It is our intent to aggregate the data collected after the 2006 general election in the same manner when responding to the EAC's 2006 post-election survey.

In view of the above concerns, we strongly urge the simplification of the EAC's proposed 2006 post-election survey. As stands to reason, the more complex and ambiguous the data requests, the greater the chance for errors, confusion and unreliable results. Specific observations and recommendations are outlined below:

ITEM 32

1) Item 32 asks for the number of ballots "cast" at the polls and the number of ballots "counted" at the polls. Under the definitions, "ballots cast" means those ballots that have been submitted but not "verified and/or counted." Aside from the fact that a unique -- and therefore confusing -- definition has been given to the term "ballots cast," what would be an example of a ballot that has been "cast" at the polls but not "counted"? Would provisional ballots held for later evaluation fall into this category? (We note that there are separate data entry lines for "provisional ballots" later in the survey.)

2) In many cases, it is impossible for election officials to distinguish those

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ballots mailed to "domestic civilian" absentee voters from those ballots mailed to "domestic military" absentee voters. Consequently, any data that relies on the accuracy of this distinction would be highly unreliable.

3) Similarly, it is often impossible for election officials to distinguish those ballots mailed to "overseas military" absentee voters from those ballots mailed to "overseas civilian" absentee voters. Again, any data that relies on the accuracy of this distinction would be highly unreliable.

4) As you are aware, a Federal Write-In Absentee Ballot (FWAB) can be rejected for a variety of reasons -- including the fact that the voter returned the absentee ballot issued by his/her voting jurisdiction in time to be counted. Given the definition given to "ballots cast" (i.e., submitted but not counted), it would appear that the number of FWAB's that will fall under the "ballots cast" category will be alarmingly high and very misleading.

5) Again, given the definition of "ballots cast," would the "ballots cast" entry for provisional ballots under Item 32 be the same as the entry for the number of provisional ballots "rejected" under item 34?

ITEMS 36 and 38 (ITEM 37 does not apply in Michigan)

See comments above regarding the difficulty of accurately distinguishing ballots sent to "domestic civilian" absentee voters verses "domestic military" absentee voters and "overseas military" absentee voters verses "overseas civilian" absentee voters.

ITEMS 39 and 40

Requesting breakdowns of the specific reasons why absentee ballots mailed to "domestic civilian" absentee voters and "military and overseas" absentee voters were rejected would be tedious and burdensome data to document. Consequently, the accuracy of any data collected would be questionable.

Recommendation

Given the issues identified above, the simplification of the data requested under the "2006 Election Day Results" portion of the survey is strongly recommended. The following is suggested:

A) Number of voters who participated in the election (include all voters who attended the polls including those voters who were issued a provisional ballot; all voters who returned an absentee ballot which was counted; and all voters who submitted a FWAB which was counted).

B) Number of absentee ballots distributed to all voters.

C) Of the absentee ballots distributed to all voters, the number distributed to 1) voters within the territorial limits of the United States and 2) voters outside of the territorial limits of the United States.

D) Number of absentee ballots returned in time to be counted.

E) Of the absentee ballots returned in time to be counted, the number returned by 1) voters within the territorial limits of the United States and 2) voters outside of the territorial limits of the United States.

F) Number of absentee ballots which were rejected and not counted including those that were returned late.

G) Of the absentee ballots which were rejected and not counted, the number

009302

returned by 1) voters within the territorial limits of the United States and
2) voters outside of the territorial limits of the United States.

H) Number of provisional ballots issued to voters.

I) Of the number of provisional ballots which were issued to voters, the
number which were counted and the number which were rejected and not counted.

J) Number of Federal Write-In Absentee Ballots (FWAB's) submitted by 1)
domestic military voters and 2) overseas military and civilian voters.

K) Of the number of Federal Write-In Absentee Ballots (FWAB's) which were
submitted, the number which were counted and the number which were not
counted.

Thank you for extending the opportunity to comment on the proposed survey.
Please do not hesitate to contact this office if you have any questions
regarding our comments.

Best Regards,
Bradley S. Wittman
Director, Elections Liaison Division
Michigan Department of State
Bureau of Elections
P.O. Box 20126
Lansing, MI 48901-0726
Phone: (517) 373-2540
Fax: (517) 241-4785

009303



"Nicole Trella"
<ntrella@elections.state.md.us>
09/29/2006 04:06 PM

To: lotero@eac.gov
cc
bcc
Subject: FW: Comments on 2006 Draft Survey

From: Nicole Trella
Sent: Friday, September 29, 2006 1:09 PM
To: 'lotero@eac.gov'
Subject: Comments on 2006 Draft Survey

Please see attached comments.

Thanks,
Nikki Trella
Maryland State Board of Elections
410-269-2843

<<Comments to Proposed 2006 Elec Admin & Voting Survey.pdf>>



Comments to Proposed 2006 Elec Admin & Voting Survey.pdf

009304

MARYLAND

STATE BOARD OF ELECTIONS

P.O. BOX 6486, ANNAPOLIS, MD 21401-0486 PHONE (410) 269-2840

Gilles W. Burger, Chairman
Bobbie S. Mack, Vice Chairman
Joan Beck
Andrew Jezic
A. Susan Widerman



Linda H. Lamone
Administrator

Ross Goldstein
Deputy Administrator

September 29, 2006

Via Electronic Mail Only

Laiza N. Otero
U.S. Election Assistance Commission
1225 New York Avenue, N.W. Suite 1100
Washington DC 20005

Dear Ms. Otero:

Thank you for the opportunity to provide comment on the proposed 2006 Election Administration and Voting Survey. My comments relate only to the "2006 Election Day Results" portion of the draft survey.

Applying the proposed definitions of "early voting" and "absentee voting" to Maryland's absentee voting laws¹, the State has "early voting" and does not have "absentee voting." As a result, we would not be required to submit the information requested under the "Absentee Ballots" section of the survey (i.e., the number of requested and not counted absentee ballots, the number of rejected absentee ballots and the reasons for the rejections, etc.). I assume that this is an unintended consequence of the definitions.

If early voting is generally considered "in person" voting and absentee voting is typically conducted "by mail," perhaps the distinction between the two terms could be based on those criteria, instead of whether eligibility requirements exist. Alternatively, a footnote or parenthetical comment could be added under the "Absentee Ballots" section that requires states with "early voting" that is conducted generally by mail to complete this section, even though the definition is not technically met.

Question 32 asks for a breakdown of the ballots cast and ballots counted for domestic military citizens, overseas military citizens, and overseas citizens. It is important to note that statistics for these categories of voters can only be provided if the voters voted by absentee ballot. A voter who falls into one of these categories, is in Maryland on Election Day, and wishes to vote in person at the polling place will be counted as "at the polls." To clarify that these voters voted by absentee ballot, the report could refer to these categories as "Domestic military citizen absentee ballots," "Overseas military citizen absentee ballots," and "Overseas civilian absentee ballots." These descriptions would be similar to the description of "Domestic civilian absentee ballots."

¹ During the 2005 Legislative Session, the Maryland General Assembly passed legislation repealing the eligibility requirements for a voter who wishes to vote by absentee ballot. Under the new law, *any* voter may request an absentee ballot.

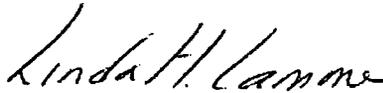
Letter to Ms. Otero
Page 2
September 29, 2006

Question 38 requests information about the number of Federal Write-In Absentee Ballots (FWABs) received. Question 36 requests the number of requested and rejected ("not counted") absentee ballots but specifically excludes FWABs. Question 40 is silent as to whether the reasons why any FWABs were rejected should be included, although the lack of responses specific to FWABs suggests that these ballots are not to be included. If Question 40 is **not** intended to report the rejection reasons for FWABs, there is no place to report the number of FWABs either counted or rejected. This will likely result in misleading absentee ballot statistics.²

To avoid this result, I suggest either: (1) including a separate question requesting the number of FWABs received, the number of FWABs rejected, and the reasons for the rejections; or (2) specifically including FWABs in Question 40. If the Election Assistance Commission includes a request for the number of rejected FWABs and the reasons why the ballots were rejected, rejection reasons specific to FWABs (i.e., "not registered" and "no ballot application on record") need to be included.³

Thank you again for the opportunity to comment on the proposed 2006 Election Administration and Voting Survey. If you have any questions about these comments, please contact Nikki Baines Trella at 410-269-2843.

Sincerely yours,



Linda H. Lamone
State Administrator

² In responding to the 2004 Military and Overseas Absentee Ballot Survey, Maryland reported that the total number of absentee ballot returned was 9,538. As requested, this number did not include 1,768 FWABs received by local election officials in Maryland. We also reported that the total number of absentee ballots counted (including FWABs) was 10,205. By not including FWABs in the number of received absentee ballots, it looks like more absentee ballots were counted than were received.

³ The Federal Voting Assistance Program's 2004 Post-Election Voting Survey of Local Election Officials included a specific question about the number of FWABs that were rejected and the reasons why the ballots were rejected. (See Question 8 of the survey.) Another rejection reason would be that the voter's State absentee ballot was voted and timely received.

009306



"Mike McCarthy"
<Mike.Mccarthy@state.mn.us
>
09/29/2006 04:28 PM

To "lotoero@eac.gov" <lotoero@eac.gov>
cc "Jim Hansen" <Jim.Hansen@state.mn.us>, "Alberto
Quintela" <Alberto.Quintela@state.mn.us>
bcc
Subject 2006 Election Administration and Voting Survey

Ms. Otero,

Please find enclosed the comments of Secretary Kiffmeyer regarding the 2006 Election Administration and Voting Survey.

Mike McCarthy
Minnesota Secretary of State's Office

<<EAC Comment 09.29.06.doc>>

NOTICE: E-mail correspondence to and from the Office of the Secretary of State of Minnesota may be public data subject to the Minnesota Data Practices Act and/or may be disclosed to third parties



EAC Comment 09.29.06.doc

009307



MINNESOTA SECRETARY OF STATE OFFICE

Mary Kiffmeyer, Secretary of State

MEMORANDUM

Date: September 29, 2006

To: United States Elections Administration

From: Mary Kiffmeyer
Minnesota Secretary of State

Re: Comments on Draft 2006 Election Administration and Voting Survey

First, as Minnesota Secretary of State, and on behalf of the State of Minnesota, I wish to commend the hard work of everyone who has contributed to developing the proposed Information Collection Activity.

I have provided comments on areas of the collection activity that I believe could benefit from revision. My hope is that you will find my commentary helpful, and that it will serve to enhance this activity. In response to the four questions presented, I make the following comments.

(a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility;

- Much of the information requested may have relevance for election administration. However, even though Minnesota has a well developed Statewide Voter Registration System, having had no notice that some of this information would be collected this election cycle means that some of this information has not been tracked in the past in a form which would provide an answer to the question.
- As examples, question (32) asks for a separate count of "Domestic Military Citizens," and "Overseas Military Citizens." Because the information requested on the Federal Post Card Application permits sending voting materials to a forwarding address, it is not always clear if a service member is overseas or not. In addition, question (36) asks for number of ballots "Not

009308

Counted.” Ballots “Not Counted” is not defined. Does it include ballots mailed out, but returned as undeliverable or not returned at all, along with rejected ballots? The answer to this question, as it is written, may give a misleading result.

- Question (39) requests information as to the reasons for the rejection of domestic civilian absentee ballots which is beyond the specific information maintained by the local election official. The reasons for rejection are noted by this state but placed into fewer categories in the state registration system than the expectation of this question. With notice, this information can be categorized as requested, but it cannot be done after the fact.
- Although question (45) asks the number of polling places “that did not have the required number of poll workers” for the election, it seems implausible that answers to a question of this type, i.e., asking a local election jurisdiction to admit it did not comply with state law, will result in an accurate answer.
- Questions (41) and (42) are to the number of undervotes and overvotes reported. However, currently, this information is not tracked in the system and cannot be tracked without redoing part of the system. With notice, this can be done, but it will require a substantial systemic change in the state which cannot be accomplished on such short notice, and so close to the 2006 election.

(b) the accuracy of the agency’s estimate of the burden of the proposed information collection;

- I believe this estimate underestimates the cumulative burden of the proposed information collection on all levels of election officials. Some of the information proposed to be collected has not been required to be tracked in the past so it may be unavailable. In addition, NVRA reporting requirements are now being placed upon all states as required reporting with this proposal, with no notice being given so states and local jurisdictions could begin collecting this information in a timely manner. In fact, as some of these requirements may increase election administration costs or conflict with current state statutory requirements; it may be necessary to amend current statutes to become consistent with these revised reporting requirements.
- In particular, proposed questions (25), (26), and (28) are not consistent with current state statutes and would place a heavy burden upon local election officials to research the answer.
- In addition, this state permits precincts to have combined polling places which would not readily appear in the Statewide Voter Registration System; so this total would have to be manually prepared this election cycle. If we had notice

that this information would be requested; the data could be entered into the system as part of routine data entry in the future.

(c) ways to enhance the quality, utility, and clarity of the information to be collected; and

- Provide longer notice of information which is to be sought so that systemic changes can be made prior to the request for the information.
- Permit states that do not come under the NVRA the option to respond to a question that information only required under the NVRA was not collected in that state, if that was the case, and waive the reporting requirement for that question at least until the succeeding election cycle. Those states can then begin collecting that information for the next reporting cycle.

(d) ways to minimize the burden of the information collection on respondents, including through the use of automated collection techniques or other forms of information technology.

- Due to the close proximity of the 2006 election to the time when the proposed information collection activity was released for comment, the burden on respondents is significantly increased because not all of the information has been previously sought, nor collected. Consequently, when this collection activity is finalized, it will be too late for some of the information to be collected from voters by local election officials, placing an undue burden upon them for providing this information. This will be particularly burdensome on local offices with smaller staffs.
- Automated collection techniques, when coordinated with the information maintained in state registration systems, will enhance this process, and will relieve the burden on all officials affected.

CONCLUSION

On behalf of the State of Minnesota, as well as the citizens of the United States of America, I would like to thank the Elections Assistance Commission for allowing me to comment on the proposed Information Collection Activity. Your assistance in this process is to be commended. The integrity of not only our elections process, but that of our democracy is contingent upon setting forth standards that are based upon reliable information. This should be taken as an opportunity to bolster a system that will stand strong for generations to come. With that said, thank you for putting this process into motion, and I wish the Election Assistance Commission much success in these, and all future efforts.



"Scott Novakowski"
<snovakowski@demos.org>
09/29/2006 04:20 PM

To: lotero@eac.gov
cc
bcc
Subject: Comments on Notice of Information Collection Activity;
Proposed Information Collection; Comment Request

Dear Ms. Otero,

I attach a cover letter and comments regarding Notice of Information Collection Activity; Proposed Information Collection; Comment Request published at 71 *Fed. Reg.* 43477 (August 1, 2006). Do not hesitate to contact me with any questions.

Sincerely,

Scott Novakowski

Scott Novakowski

Policy Analyst

Demos: A Network for Ideas and Action

220 5th Avenue, 5th Floor

New York, NY 10001

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Visit Demos' redesigned, web-based e-journal *Democracy Dispatches* for a daily news-feed on democracy-related issues, a blog analyzing current trends, and in-depth commentary.



EAC Cover Letter.pdf



EAC Survey Comments - FINAL.pdf

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Dēmos

A NETWORK FOR IDEAS & ACTION

September 29, 2006

VIA E-MAIL

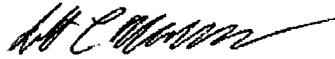
Ms. Laiza N. Otero
U.S. Election Assistance Commission
1225 New York Avenue NW, Suite 1100
Washington, DC 20005

Re: Notice of Information Collection Activity; Proposed Information Collection;
Comment Request

Dear Ms. Otero:

Demos welcomes the opportunity to submit comments in response to the Notice of Information Collection Activity; Proposed Information Collection; Comment Request. The notice was published at 71 *Fed. Reg.* 43477 (August 1, 2006).

Respectfully Submitted,



Scott C. Novakowski
Policy Analyst
Demos: A Network for Ideas & Action
220 5th Avenue, 5th Floor
New York, NY 10001

Attachment

Before the U.S. Election Assistance Commission

**Notice of Information Collection Activity; Proposed Information Collection;
Comment Request**

Comments provided by Demos: A Network for Ideas & Action

I. Introduction

Demos: A Network for Ideas & Action submits these comments in response to the Election Assistance Commission's Notice of Information Collection Activity; Proposed Information Collection; Comment Request published at 71 *Fed. Reg.* 43477 (August 1, 2006). Demos is a non-profit, non-partisan think tank and advocacy center dedicated to helping America achieve its highest democratic ideals. Demos' primary interest in the EAC's Election Administration and Voting Survey is to create a survey instrument that accurately collects much-needed and usable data on elections.

Demos has a strong record of producing high quality research and conducting advocacy campaigns around several of the topics covered on the proposed survey. Through the NVRA Implementation Project, a collaboration to improve states' compliance with the public assistance provisions of the National Voter Registration Act, Demos has made extensive use of the EAC's (previously the Federal Election Commission's) biennial report on the impact of the NVRA. Demos also has assumed leadership in the national debate on Election Day registration, published several reports and mounted advocacy campaigns on felony disenfranchisement and published *Placebo Ballots: Will "Fail-safe" Voting Fail?*, one of the first reports to address HAVA's provisional ballot requirement. Additionally, Demos staff members have had significant graduate-level instruction and experience in survey construction. This work puts Demos in a unique position to speak to the types of data needed for the understanding of the electoral issues sought by the survey.

We offer the following recommendations with the hope that the EAC can further develop its role as a centralized clearinghouse for election-related data.

II. Data Gathered with Respect to the National Voter Registration Act

A. Additional Information Required

The EAC has the responsibility to administer a survey on the impact of the National Voter Registration Act. With respect to public assistance agencies, the current survey gathers the basic information, i.e. the number of registrations received from public assistance offices. However, additional information is necessary for more than a surface understanding of this voter registration activity. Collection of this additional information would greatly advance the survey's purpose to ascertain the impact of the law.

009313

Specifically, we encourage the EAC to request the following additional information:

- The number of applications, recertifications, and changes of address processed by public assistance agencies;
- How often a recipient of public assistance is required to recertify or renew her benefits;
- The percentage (or raw number) of agency interactions that are conducted via telephone, mail, or internet;
- The individual public assistance programs (e.g. Food Stamps, Medicaid, TANF) administered by each office designated as a public assistance agency; and
- The number of completed voter registration applications submitted by *each* public assistance office or agency.

The current survey does not provide us with the information necessary to contextualize the raw number of applications. We recommend that the survey ask for the number of applications, recertifications, and changes of address processed by each public assistance agency – a figure that represents the total number of transactions in which voter registration should have been offered under the NVRA.¹

Raw numbers can be misleading without this information. For example, assume that a state registered 10 individuals in its public assistance offices. If that office only had 11 client interactions requiring an offer of voter registration services, it did a fantastic job. However, if 100,000 individuals completed NVRA-covered transactions, registering only 10 of these individuals may be indicative of a serious failure to implement the law. Furthermore, it is wholly possible that as office traffic rises faster than the number of applications completed, an increase in the raw number of applications is actually accompanied by a decrease in the percentage of clients completing voter registration applications.

In order to most accurately gauge an agency's NVRA-covered traffic flow, two additional pieces of information would be quite helpful. It is important to know what percentage (or the raw number) of an agency's interactions are conducted via telephone, mail, or Internet. While some states require voter registration to be offered to clients interacting remotely, many do not. Additionally, information on how often a recipient is required to renew or recertify benefits will be useful in understanding how many times a single recipient is offered the opportunity to register.

Collecting the above information relating to office traffic is not likely to place an undue burden on public assistance agencies. We know that the federal government already requires agencies to track much of this information for the Food Stamp program and we suspect that it may be tracked for Medicaid and WIC as well. Even if Food Stamps was the only program for which this data were available, its traffic flow could serve as a proxy measurement for general public assistance use.

¹ This information is different than agency caseload, a number that does not capture recertifications, changes of address or initial applicants who were denied benefits but who are still required to be offered the opportunity for voter registration upon application. Nevertheless, caseload data would be preferable (as an indicator of covered transactions) to nothing.

Finally, we urge the EAC to seek two additional pieces of information to ensure that voter registration services are being offered at public assistance agencies: (1) identification of individual programs (e.g. Food Stamps, Medicaid, TANF) administered by each office designated as a voter registration agency; and (2) the number of completed voter registration forms submitted by *each* public assistance agency or office. Collecting such information would help ensure that all covered programs are offering voter registration and facilitate identifying offices that may be having difficulties or are especially successful in implementing the law.

B. Existing Questions

Drawing on our knowledge of effective surveying, we offer the following additional comments about the survey's format and language used in questions relating to the National Voter Registration Act.

Question 6 asks for the number of registration applications received from or generated by several categories of designated voter registration agencies including "All public assistance agencies that are mandated as registration sites under the NVRA." **Question 11** asks elections officials to identify "each and every" state "office or agency" that is designated as a voter registration agency.

We recommend moving Question 11 toward the beginning of the survey so that it appears before Question 6, as it did in the survey instrument used in the previous EAC report. This proposed ordering will require officials to list relevant NVRA agencies *before* tallying the total number of applications received from such agencies.

In addition, the format of the list of categories that follows Question 11 looks more like a multiple-choice check-off than a template for a listing of designated agencies. The current placement of the question next to others in which similarly formatted answer templates actually *are* multiple choice check-offs adds to the assumption that Question 11 should be answered similarly. We suggest that the answer to this question be reformatted to make clear to respondents that they need to list all agencies for each category listed.

Finally, the request for a listing of "each and every state and local government *office or agency* designated as a voter registration agency" in **Question 11** is vague and subject to multiple interpretations. For example, a respondent could list the name and address of each *office* (meaning the physical building) that is designated as a voter registration agency. Alternately, a respondent could simply list "Department of Social Services," meaning the abstract *agency* with multiple physical *office* locations that administer assistance. In order to maximize the usefulness of the survey, we recommend that respondents list the overarching agencies designated as providing public assistance (i.e. Department of Social Services) as well as the address of each physical office building at which registration is conducted.

Question 7 asks for the "Total number statewide and by county/local jurisdiction of registration applications identified in response to Question 6 [regarding different designated

voter registration agencies] that were: Duplicates of other valid voter registrations; Changes of address, name, or party; or Invalid or rejected (other than duplicates).”

From the wording and context of Question 7, it is not clear if the category for “Changes of address, name, or party” is meant to include all such changes or only those making these changes within their local jurisdiction. Since Question 8 (asking for the number of new, valid registrations) specifies that name, address changes, and changes of party within the local jurisdiction are *not* to be counted as “new, valid registrations,” it seems that a voter changing an address *outside* of their jurisdiction would be counted as “new” under Question 8 rather than a change of address under Question 7. If this is the case, Question 7 of the survey should clarify that respondents should report only the number of address, name, or party changes within the local jurisdiction.

Without this clarification, it is entirely possible that the same application might be counted in more than one category, e.g. a change of address outside the local jurisdiction might be counted as both a new registration and also a name, address, and party change. The possibility of this double-counting will reduce the value of the data collected. Furthermore, this ambiguity may lead to different interpretations in different states, hindering meaningful cross-state comparisons.

Question 12 inquires about the voter registration training provided to employees of designated voter registration agencies. The proposed multiple choice answers are helpful in that respondents must clearly state the level of training that is provided. For the second answer listed (stating that the office conducts training for “some, but not all” agencies), we recommend inserting a follow-up question asking for which agencies training is provided. A common theme in the NVRA Implementation Project’s work in public assistance agencies is that front line agency workers are not provided adequate training on voter registration procedures. A question asking to whom training is provided would be helpful in understanding why a state may or may not be successful in implementing the NVRA.

III. Data Gathered with Respect to Election Day Registration

As registration deadlines may pose a barrier to a significant number of citizens who wish to cast a ballot, it is important for policy reasons that we have an accurate count of the number of citizens who utilize policies allowing them to register and cast a ballot on the same day.

Question 2 asks for the total number of persons in each county/jurisdiction who registered to vote on Election Day. The survey states this question is “Only applicable to states with Election Day registration (Idaho, Maine, Minnesota, New Hampshire, Wisconsin, and Wyoming).” We suggest that Montana be included among the list of states to answer this question. In 2005, Montana passed a law allowing its citizens to register and vote on Election Day at the county election administrator’s office. *See* Mont. Code Ann. § 13-2-304 (effective July 1, 2006). This law will be fully implemented in the November 7, 2006 General Election. A comprehensive count of the number of citizens who utilize Election Day registration should include the number of voters in Montana who register and vote on Election Day.

In addition, several states, including five states that have Election Day registration, also allow what we have termed “same day registration.” Under this system a citizen may register to vote and cast a ballot on the same day during periods of early voting. To further gain an understanding of how many voters register and vote in the same day, it would be helpful to add the following question:

“If your state allows early voting, how many citizens registered to vote and cast a ballot on the same day during the period of early voting?”

IV. Data Gathered with Respect to Felon Disfranchisement

Felon disfranchisement laws are often complicated and a recent study² indicates many elections officials themselves do not completely understand the laws. We are concerned that the wording of the series of questions relating to felon disfranchisement will cause more confusion among those completing the survey and those utilizing its results. Our comments and recommendations to avoid such confusion follow below.

Are the following classes of persons eligible to vote?

A1) Those who have been convicted of a felony?

- Yes
- No

A simple “yes” or “no” response to this question cannot accurately capture most states’ laws. “Those . . . convicted of a felony” is a “class of persons” that may include both those able and unable to vote within a single state.

In New York, for example, only those incarcerated or on parole for a felony conviction are barred from voting. Those on probation, even if for a felony conviction, remain eligible to vote. Those “convicted of a felony” who have completed their sentence of incarceration also *can* vote. So how should a New York official answer this question? Answering “yes” (as would seem to be required since a certain number of those convicted of a felony can vote) would void Question A2, which we do not believe is the intention of the Commission nor the best way to ascertain information about a state’s felon disfranchisement practices.

A recent study³ has documented a widespread belief in affected communities in several states (including New York) that a felony conviction permanently bars an individual from voting even when that is not the case. We fear that the inclusion of this question will further perpetuate

² See “Boards of Elections Continue Illegally to Disfranchise Voters with Felony Convictions” (2006) by the Brennan Center for Justice at the NYU School of Law and Demos: A Network for Ideas & Action, available at <http://www.demos.org/pubs/NYSurveyReport031506.pdf>.

³ See “Studies of Voting Behavior and Felony Disenfranchisement Among Individuals in the Criminal Justice System in New York, Connecticut, and Ohio” (2005) by Ernest Drucker and Ricardo Barreras, available at <http://www.sentencingproject.org/pdfs/drucker.barreras.pdf>.

misinformation about felon voting laws. We recommend alternative language for the question, which we have provided at the end of this section.

A2) If “No”, are they eligible to register or re-register upon pardon, issuance of certificate of eligibility, or restoration of their Civil Rights?

As with A1, the phrasing of this question does not capture the nuances of state felon disfranchisement laws. As a result, different respondents are likely to interpret questions differently, thereby reducing meaningful use of the survey results.

First, the question refers to the “restoration of Civil Rights” but, in many states, restoration of *civil* rights is much different than, and not necessarily connected to, restoration of *voting* rights. In New York, for example, a felon’s voting rights are automatically restored upon completion of the sentence of incarceration or discharge from parole, whereas restoration of civil rights would be applied for at a later time. At least in the case of New York, asking about restoration of civil rights does not seem to make much sense.

Similarly, there is a good deal of variation among state laws on certificates of eligibility and other similar certificates that the survey’s language does not capture. Using New York as an example once more, those on parole can apply for a Certificate of Relief from Disabilities, which would allow them to vote while still on parole. This is much different than a policy that requires application for a certificate of relief after completion of the individual’s entire sentence.

Finally, the inclusion of pardon, issuance of certificate of eligibility, and restoration of Civil Rights together does not provide any valuable information when presented as a “yes” or “no” question. A pardon, which is generally granted by a governor, is much different from a certificate of eligibility in which an individual must often navigate a complex set of bureaucratic procedures to obtain. Finally, in a state like New York, asking about restoration of civil rights is largely meaningless, as a felon would likely have finished serving her sentence (and thus have had her voting rights automatically restored) prior to applying for restoration of civil rights.

The remainder of the questions regarding felony disfranchisement laws is also confusing. We propose the following clearer and more user-friendly set of questions:

- Does your state currently disfranchise:
 - Individuals who are currently incarcerated for a felony conviction? Yes No
 - Those currently on parole for a felony conviction? Yes No
 - Those currently on probation for a felony conviction? Yes No
- Can an individual disfranchised for a felony conviction ever regain the right to vote? Yes No
 - If yes, what are the requirements for voting rights restoration?
 - Completion of sentence of incarceration
 - Discharge from parole and/or probation
 - A pardon
 - Other _____

- Does an individual disfranchised due to a felony conviction have to pay all fines, fees, and/or child support prior to having her or his voting rights restored?
- Does an individual whose voting rights have been restored have to produce documentation of her status when registering to vote?
- Who notifies elections officials to remove an individual from the voting rolls due to a felony conviction?
- If applicable, are elections officials notified when an individual convicted of a felony is once again eligible to vote?
 - If yes, how are elections officials notified of this change in eligibility?

V. Data Gathered With Respect to Provisional Ballots

According to the EAC, in the 2004 election, elections officials ultimately rejected over half a million provisional ballots. To date, we know very little about why so many provisional ballots were rejected. There has been no systematic analysis of the specific reasons why provisional ballots were not counted. It is essential that this information be compiled to allow clear insight into the effectiveness of provisional ballots.

While it is a significant improvement to ask respondents for the number of rejected ballots categorized by the reason for rejection, rather than asking for the five most frequent reasons why a ballot was rejected (as was done on the previous survey), the response categories proposed under **Question 35** are not mutually exclusive. A fundamental principle in creating survey questions of this sort is that each response should fit into one, and only one, category. Here it is possible for the same provisional ballot to be accurately counted in multiple categories. For example, it is not clear what the difference is between the categories labeled "Name missing from voter list" and "Not registered." If a person's name were missing from the voter list, it would also follow that the same person could also be considered to be "not registered." In another case, if someone does not produce ID at the polls and fails to return with ID within 24 hours, do they belong in the category of "No identification provided" or "Non-appearance within 24 hours"? Also, some states may allow a voter longer than 24 hours to appear with ID. Such ambiguity will make it difficult to create meaningful cross-state comparisons.

Finally, we are doubtful that some of the categories listed are indeed reasons to lawfully reject a provisional ballot. For example, can a provisional ballot be rejected because the voter is a "First time voter registration on Election Day"? In such an instance, the ballot would likely be rejected because the registrant did not have the proper ID to register at the polls on Election Day and was not able to subsequently provide adequate ID, rather than simply because the voter registered for the first time on Election Day. Another suspect category is that of "Elector challenged." An elector being challenged would be a reason to cast a provisional ballot, not to have that ballot rejected.

VI. Conclusion

Demos is encouraged by the EAC's dedication to becoming a national clearinghouse on election data. We applaud the Commission's efforts in combining and revising its various

survey instruments in a manner that captures accurate information. We urge the EAC to adopt our suggestions to clarify the questions asked of elections officials and to provide the data necessary to accurately evaluate and improve our electoral system.



"Neal McBurnett"
<neal@bcn.boulder.co.us>
09/30/2006 01:30 AM

To "Laiza Otero" <lotoero@eac.gov>
cc
bcc
Subject Comment on EAC's 2006 Election Information and Voting
survey

This comment is submitted in response to the request in the Federal Register, Document 06-6602

http://eac.gov/eav_survey.asp

Questions about audits are completely missing from the proposed 2006 Election Information and Voting survey. Audits are critical to the security of elections and voter confidence, and insights and feedback on current audit practice is sorely needed so they can be improved.

The following information, at a minimum, should be provided for each county or other voting jurisdiction.

- 1) Was a post-election audit of election results performed?
- 2) How many electronic voting devices were used in the election?
- 3) How many electronic voting devices were audited?
- 4) Were all contests per device audited, or just some of them? How many?
- 5) How many problems or issues arose during the audits?
- 6) How were the issues resolved?
- 7) Was your audit designed to help also detect problems with your central tally equipment?

More information on problems with current audit procedures is available at

<http://www.coloradovoter.net/moin.cgi/ManualCountAudit>

Thank you,

Neal McBurnett

<http://mcburnett.org/neal/>

009321

Abstract: This is the third of three clearance packages for the NAEP 2007 assessment activities. This package covers two studies intended to study measures of student background characteristics. These are a new set of questions for students to respond to and a study looking at a potential link to census block level information.

Requests for copies of the information collection submission for OMB review may be accessed from <http://edicsweb.ed.gov>, by selecting the "Browse Pending Collections" link and by clicking on link number 3163. When you access the information collection, click on "Download Attachments" to view. Written requests for information should be addressed to U.S. Department of Education, 400 Maryland Avenue, SW., Potomac Center, 9th Floor, Washington, DC 20202-4700. Requests may also be electronically mailed to ICDocketMgr@ed.gov or faxed to 202-245-6623. Please specify the complete title of the information collection when making your request.

Comments regarding burden and/or the collection activity requirements should be electronically mailed to ICDocketMgr@ed.gov. Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-800-877-8339.

[FR Doc. E6-12311 Filed 7-31-06; 8:45 am]

BILLING CODE 4000-01-P

ELECTION ASSISTANCE COMMISSION

Information Collection Activity; Proposed Information Collection; Comment Request

AGENCY: U.S. Election Assistance Commission (EAC).

ACTION: Notice.

SUMMARY: In compliance with Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, EAC announces the proposed extension of a public information collection and seeks public comment on the provisions thereof. Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed information collection; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the information collection on respondents, including through the use

of automated collection techniques or other forms of information technology.

DATES: Written comments must be submitted on or before Friday, September 29, 2006.

ADDRESSES: Written comments and recommendations on the proposed information collection should be sent to the U.S. Election Assistance Commission, 1225 New York Avenue, NW., Suite 1100, Washington, DC 20005. ATTN: Ms. Laiza N. Otero (or via the Internet at lotero@eac.gov).

FOR FURTHER INFORMATION CONTACT: To request more information on this proposed information collection or to obtain a copy of the proposal and associated collection instruments, please write to the above address or call Mrs. Juliet Thompson-Hodgkins or Ms. Laiza N. Otero at (202) 556-3100. You may also view the proposed collection instrument by visiting our Web site at <http://www.eac.gov>.

SUPPLEMENTARY INFORMATION:

Title and OMB Number: 2006 Election Administration and Voting Survey; OMB Number Pending.

Needs and Uses: This proposed information collection activity is necessary to meet requirements of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301). Section 241 of HAVA requires the EAC to study and report on election activities, practices, policies, and procedures, including methods of voter registration, methods of conducting provisional voting, poll worker recruitment and training, and such other matters as the Commission determines are appropriate. In addition, HAVA transferred to the EAC the Federal Election Commission's responsibility of biennially administering a survey on the impact of the National Voter Registration Act (NVRA). The information the States are required to submit to the EAC for purposes of the NVRA report are found under Title 11 of the Code of Federal Regulations (Chapter 1, Part 8, Subchapter C). HAVA 703(a) also amended the Uniformed and Overseas Citizens Absentee Voters Act by requiring that "not later than 90 days after the date of each regularly scheduled general election for Federal office, each State and unit and local government which administered the election shall (through the State, in the case of a unit of local government) submit a report to the Election Assistance Commission (established under the Help America Vote Act of 2002) on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas voters for the election and the combined

number of such ballots which were returned by such votes and cast in the election, and shall make such a report available to the general public." In order to fulfill these requirements and to provide a complete report to Congress, the EAC is seeking information relating to the period from the close of registration for the November 2, 2004, Federal general election until the close of registration for the November 7, 2006, Federal general election, and information from the November 7, 2006, Federal general election.

Affected Public: State government.

Number of Respondents: 55.

Responses per Respondent: 1.

Estimated Burden per Response: 91 hours.

Estimated Total Annual Burden

Hours: 728.50 hours.

Frequency: Biennially.

To improve and facilitate the collection and analysis of the survey data, the EAC anticipates developing and implementing an Internet-based platform to administer the survey. This method will allow respondents to enter, save, and edit data prior to submitting their final survey response. The following categories of information are requested on a state level and/or county/local election jurisdiction:

Voter Registration Applications

(a) Number of active and inactive registered voters at the time of the close of registration for the November 2, 2004, and the November 7, 2006, Federal general elections; (b) Number of persons who registered to vote on Election Day (November 7, 2006)—only applicable to States with Election Day registration; (c) Number of voter registration applications received from all sources during the period between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (d) Number of voter registration applications received by mail during the period between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (e) Number of voter registration applications received in person at the clerk or registrar's office during the period between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (f) Number of voter registration applications received or generated by each voter registration agency during the period between the close of registration for the November 2, 2004, Federal general elections until

close of registration for the November 7, 2006, Federal general elections; (g) Number of voter registration applications that were duplicates of other valid voter registrations, changes of name, changes of address, changes of party, and invalid or rejected (other than duplicates); (h) Number of new, valid voter registration applications processed between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; Number of election jurisdictions conducting voter registration; (i) The local entity primarily responsible for registering voters; State and local government offices or agencies designated as voter registration agencies; (j) Training provided to employees of Federal, State, and local government offices or agencies designated as voter registration agencies on the voter registration process; (k) Manner in which voter registration applications are transferred from voter registration agencies to the official responsible for voter registration; Official responsible for verifying and processing voter registration forms; (1) Number used as the voter identification number on the processed voter registration form; Manner in which voter registration applications are verified; (m) Manner in which voter registration officials check for duplicate registrations; Notification to applicants of rejection of their application and reason for the rejection; and (n) Manner in which the statewide voter registration database links to a State's department of motor vehicles and disability and social services agencies.

List Maintenance

(a) Manner in which list maintenance is performed; Number of registrations deleted from the registration list for whatever reason between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (b) Number of removal notices [Section 8, (d)(2) confirmation] mailed out between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (c) Number of responses received to the confirmation notices mailed out between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general elections; (d) Number of voters moved to the inactive list between the close of registration for the November 2, 2004, Federal general elections until close of

registration for the November 7, 2006, Federal general elections; (e) Number of voters (active and inactive) removed from the voter rolls between the close of registration for the November 2, 2004, Federal general elections until close of registration for the November 7, 2006, Federal general election; (f) Sources considered in performing list maintenance; and (g) Manner in which voters convicted of a felony, voters serving a sentence of incarceration for conviction of a felony, and voters serving a term of probation following being convicted of a felony are treated.

2006 Election Day Results

(a) Identification of States that conduct early voting; (b) Statistics on ballots cast and ballots counted by mode of voting; (c) Statistics on ballots counted for each candidate on a Federal race; and (d) Statistics on provisional ballots.

Absentee Ballots (for the November 7, 2006, Federal General Election Only)

(a) Statistics on absentee ballots requested and not counted by type of absentee voter; (b) Statistics on advanced ballots; (c) Statistics on the number of Federal Write-In Absentee Ballots (FWAB) received; and (d) Statistics on absentee ballot rejections.

Undervotes and Overvotes (for the November 7, 2006, Federal General Election Only)

(a) Statistics on the number of undervotes reported in each Federal contest; and (b) Statistics on the number of overvotes reported in each Federal contest.

Poll Workers (for the November 7, 2006, Federal General Election Only)

(a) Information on the number of poll workers required by State law or regulation to be present at each polling place; (b) Statistics on the number of poll workers that served on Election Day; and (c) Number of polling places that did not have the required number of poll workers.

Voting Jurisdictions and Polling Places (for the November 7, 2006, Federal General Election Only)

(a) Information on what constitutes a local election jurisdiction in the State; (b) Number of local election jurisdictions in the State; Statistics on the number of precincts; (c) Statistics on the number of polling places; (d) Number of polling places that are accessible to voters with disabilities; and (e) Number of polling places where a visually impaired voter can cast a private ballot.

Sources of Information

(a) Number of jurisdictions that provided information to the State for purposes of responding to the survey; (b) Contact information for each local election official that provided information to the State for purposes of responding to the survey; and (c) Other sources of information used to respond to the survey other than those already provided.

Thomas R. Wilkey,

Executive Director, U.S. Election Assistance Commission.

[FR Doc. 06-6602 Filed 7-31-06; 8:45 am]

BILLING CODE 6820-KF-M

DEPARTMENT OF ENERGY

[OE Docket No. EA-267-A]

Application To Amend Authority To Export Electric Energy; Conectiv Energy Supply, Inc.

AGENCY: Office of Electricity Delivery and Energy Reliability, DOE.

ACTION: Notice of application.

SUMMARY: Conectiv Energy Supply, Inc. (CESI) has applied to amend its authority to transmit electric energy from the United States to Canada pursuant to section 202(e) of the Federal Power Act.

DATES: Comments, protests, or requests to intervene must be submitted on or before August 16, 2006.

ADDRESSES: Comments, protests or requests to intervene should be addressed as follows: Office of Electricity Delivery and Energy Reliability, Mail Code: OE-20, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585-0350 (FAX 202-586-5860).

FOR FURTHER INFORMATION CONTACT: Ellen Russell (Program Office) 202-586-9624 or Michael Skinker (Program Attorney) 202-586-2793.

SUPPLEMENTARY INFORMATION: Exports of electricity from the United States to a foreign country are regulated and require authorization under section 202(e) of the Federal Power Act (FPA) (16 U.S.C. 824a(e)).

On July 18, 2002, the Department of Energy (DOE) issued Order No. EA-267 authorizing CESI to transmit electric energy from the United States to Canada as a power marketer using international transmission facilities located at the United States border with Canada. That authorization expired on July 18, 2004.

On July 7, 2006, CESI filed an application with DOE to renew the

009323

Impact Statement (DEIS) that evaluates the potential environmental effects of the land use agreement between the DON and the VA National Cemetery Administration (NCA) for the proposed annex to the existing Fort Rosecrans National Cemetery at Point Loma in San Diego, CA. The DEIS also evaluates the potential effects of construction and operation of the proposed cemetery annex. The annex would be located at MCAS Miramar, in San Diego, CA.

The purpose of the proposed action is to provide needed burial space on federal land for military veterans in the San Diego area. The DEIS addresses the proposed site (Site 2), one on-site development alternative (Site 4), and the No Action Alternative.

The DEIS evaluates the potential environmental effects associated with each of the alternatives. Issues addressed in the DEIS include land use, socioeconomic/environmental justice, utilities, public services, visual resources, cultural resources, biological resources, soils and geology, water resources, public health and safety, traffic/circulation, air quality, and noise. Impact analyses include an evaluation of direct, indirect, short-term, and cumulative impacts.

DATES: All written comments must be received on or before December 11, 2006. A public meeting will be held on Thursday, November 16, 2006, from 6 p.m. to 8 p.m. at the Holiday Inn Select Miramar, 9335 Kearny Mesa Road, San Diego, CA.

ADDRESSES: Written comments should be directed to: Ms. Hiphil S. Clemente (Code OPCE.HC), Naval Facilities Engineering Command, Southwest, 1220 Pacific Highway, San Diego, CA 92132.

FOR FURTHER INFORMATION CONTACT: Ms. Hiphil S. Clemente, Naval Facilities Engineering Command, Southwest at telephone 619-532-3781, fax 619-532-4160, or e-mail: hiphil.clemente@navy.mil.

SUPPLEMENTARY INFORMATION: The VA operates the Fort Rosecrans National Cemetery, located on the Point Loma Submarine Base. It is the only national cemetery in San Diego County and has been closed to casketed burials since 1966. It is scheduled to be closed to cremated remains burials by 2008 and has no additional land available for expansion. The NCA has identified a need for additional burial space option for 253,000 San Diego-area eligible military veterans for the next 20 to 30 years.

A Notice of Intent to prepare the DEIS was published in the **Federal Register** on October 4, 2005. A public scoping meeting was held on November 2, 2005,

at the Holiday Inn Select located at 9335 Kearny Villa Road in San Diego, CA.

The DEIS addresses the proposed site (Site 2), one on-site development alternative (Site 4), and the No Action Alternative. The Site 2 Alternative is located in the northwestern corner of MCAS Miramar. This site is approximately 323 acres and has access to Miramar Road to the north and Nobel Drive to the northwest. The site is bounded by Miramar Road to the north, the commuter/freight railway to the south and east, and the western boundary of MCAS Miramar to the west. The Site 4 Alternative is located in the south-central portion of MCAS Miramar in the former Camp Elliott area and is approximately 175 acres. The site is completely surrounded by freeways with State Route 163 to the west, State Route 52 to the south, and Interstate 15 to the east. Kearny Villa Road traverses the site in a north-south direction. The DEIS identifies the Site 2 Alternative as the Preferred Alternative.

The DEIS has been distributed to various federal, state, and local agencies, elected officials, special interest groups, and interested parties. The DEIS is also available for public review at the following local libraries:

Scripps Miramar Ranch Library, 10301 Scripps Lake Drive, San Diego, CA.

Mira Mesa Branch Library, 8405 New Salem Drive, San Diego, CA.

San Diego Central Library, 820 E Street, San Diego, CA.

The public review period begins with the publication of this Notice of Availability and ends 45 days after. All comments must be received on or before December 11, 2006. A public meeting will be held on Thursday, November 16, 2006, from 6 p.m. to 8 p.m. at the Holiday Inn Select Miramar, 9335 Kearny Mesa Road, San Diego, California. The public meeting will follow an informal open house format. The public is invited to attend the meeting at their convenience during the meeting hours and can view project-related displays and speak with DON and VA representatives. A court reporter will be available at the meeting to accept oral comments.

Dated: October 26, 2006.

Lynette M. Breutzman,

Paralegal Specialist, Judge Advocate General's Office, Alternate Federal Register Liaison Officer.

[FR Doc. E6-18248 Filed 10-30-06; 8:45 am]

BILLING CODE 3810-FF-P

ELECTION ASSISTANCE COMMISSION

Information Collection Activity; Proposed Information Collection; Comment Request

AGENCY: U.S. Election Assistance Commission (EAC).

ACTION: 30-Day Notice of Information Collection Under Review.

SUMMARY: The U.S. Election Assistance Commission has submitted the following information collection request to the Office of Management and Budget (OMB) for review and clearance in accordance with the Paperwork Reduction Act of 1995. The information collection was previously published in the **Federal Register** on August 1, 2006, at 71 FR 43457. The notice allowed for a 60-day public comment period. Fourteen comments were received on this information collection, and modifications were made to improve and clarify the information collection based on those comments. The purpose of this notice is to allow an additional 30 days for public comments. Comments are encouraged and will be accepted until November 27, 2006. This process is conducted in accordance with 5 CFR 1320.10. Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed information collection; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the information collection on respondents, including through the use of automated collection techniques or other forms of information technology.

DATES: Written comments must be submitted on or before November 27, 2006.

ADDRESSES: Written comments and recommendations on the proposed information collection should be sent to: OMB Reviewer: Alexander T. Hunt, Office of Management and Budget, Room 10235, New Executive Office Building, Washington, DC 20503, (202) 395-7316.

FOR FURTHER INFORMATION CONTACT: To request more information on this proposed information collection or to obtain a copy of the proposal and associated collection instruments, please, write to the above address or call Mrs. Juliet Thompson-Hodgkins or Ms. Laiza N. Otero at (202) 566-3100.

SUPPLEMENTARY INFORMATION:

009324

Title and OMB Number: 2006 Election Administration and Voting Survey; OMB Number Pending.

Needs and Uses: This proposed information collection activity is necessary to meet requirements of the Help America Vote Act (HAVA) of 2002 (42 U.S.C. 15301). Section 241 of HAVA requires the EAC to study and report on election activities, practices, policies, and procedures, including methods of voter registration, methods of conducting provisional voting, poll worker recruitment and training, and such other matters as the Commission determines are appropriate. In addition, HAVA transferred to the EAC the Federal Election Commission's responsibility of biennially administering a survey on the impact of the National Voter Registration Act (NVRA). The information the States are required to submit to the EAC for purposes of the NVRA report are found under Title 11 of the Code of Federal Regulations (Chapter 1, Part 8, Subchapter C). HAVA § 703(a) also amended the Uniformed and Overseas Citizens Absentee Voters Act by requiring that "not later than 90 days after the date of each regularly scheduled general election of Federal office, each State and unit of local government which administered the election shall (through the State, in the case of a unit of local government) submit a report to the Election Assistance Commission (established under the Help American Vote Act of 2002) on the combined number of absentee ballots transmitted to absent uniformed services voters and overseas for the election and the combined number of such ballots which were returned by such voters and cast in the election, and shall make such a report available to the general public." In order to fulfill these requirements and to provide a complete report to Congress, the EAC is seeking information relating to the period from the close of registration for the November 2, 2004, Federal general election until the close of registration for the November 7, 2006, Federal general election, and information from the November 7, 2006, Federal general election.

Affected Public: State government.

Number of Respondents: 55.

Responses per Respondent: 1.

Estimated Burden Per Response: 115.07 hours.

Estimated Total Annual Burden Hours: 6,328.85 hours.

Frequency: Biennially.

Thomas R. Wilkes,

Executive Director, U.S. Election Assistance Commission.

[FR Doc. 06-8967 Filed 10-30-06; 8:45 am]

BILLING CODE 6820-KF-M

DEPARTMENT OF ENERGY

Office of Science; Climate Change Science Program Product Development Advisory Committee

AGENCY: Department of Energy.

ACTION: Notice of open meeting.

SUMMARY: This notice announces a meeting of the Climate Change Science Program Product Development Advisory Committee. Federal Advisory Committee Act (Pub. L. 92-463, 86 Stat. 770) requires that public notice of these meetings be announced in the **Federal Register**.

DATES: Wednesday, November 15, 2006, 1 p.m. to 4 p.m.

ADDRESSES: Room 109, Keck Center of the National Academies, 500 Fifth St., NW., Washington, DC 20001.

FOR FURTHER INFORMATION CONTACT: Dr. Anjali S. Bamzai (301-903-0294; anjali.bamzai@science.doe.gov) Designated Federal Officer, Climate Change Science Program Product Development Advisory Committee, U.S. Department of Energy, Office of Science, Office of Biological and Environmental Research, Climate Change Research Division, SC-23.3/Germantown Building, 1000 Independence Avenue, SW., Washington, DC 20585-1290. The most current information concerning this meeting can be found on the Web site: <http://www.science.doe.gov/ober/cpdac/announcement.html>.

SUPPLEMENTARY INFORMATION:

Purpose of the Meeting: To continue discussions on drafting the Climate Change Science Program (CCSP) Synthesis and Assessment Product related to scenarios of greenhouse gas emissions and concentrations, and development and application of integrated scenarios of greenhouse gas emissions. This activity is being conducted at the request of the Department of Energy, in accordance with the CCSP Guidelines for Producing the CCSP Synthesis and Assessment Products.

Tentative Agenda Items:

Wednesday, November 15, 1 p.m.-4 p.m.

- Presentation on 2.1a and 2.1b to resolve issues raised by both the public review and the CPDAC committee at the August 17-18 CPDAC meeting.

- Response that the 2.1a and 2.1b author team has made to address these items.

- Discussion by the CPDAC to decide whether the revisions on 2.1a and 2.1b are adequate and meet their approval.

- List of changes for 2.1a and 2.1b, if any, for final concurrence by CPDAC.

- Public comment (10 minute rule).

Public Participation: The half day meeting is open to the public. If you would like to file a written statement with the Committee, you may do so either before or after the meeting. If you would like to make oral statements regarding any of the items on the agenda, you should contact Anjali Bamzai at the address or telephone number listed above. You must make your request for an oral statement at least five business days before the meeting. Reasonable provisions will be made to include the scheduled oral statements on the agenda. The Chairperson of the Committee will conduct the meeting to facilitate the orderly conduct of business. Public comment will follow the 10-minute rule.

Minutes: The minutes of this meeting will be available for public review and copying within 30 days at the Freedom of Information Public Reading Room, IE-190, Forrestal Building, 1000 Independence Avenue, SW., Washington, DC, between 9 a.m. and 4 p.m., Monday through Friday, except Federal holidays.

Issued in Washington, DC, on October 26, 2006.

Rachel M. Samuel,

Deputy Advisory Committee Management Officer.

[FR Doc. E6-18267 Filed 10-30-06; 8:45 am]

BILLING CODE 6450-01-P

DEPARTMENT OF ENERGY

Environmental Management Site-Specific Advisory Board, Idaho National Laboratory

AGENCY: Department of Energy.

ACTION: Notice of open meeting.

SUMMARY: This notice announces a meeting of the Environmental Management Site-Specific Advisory Board (EM SSAB), Idaho National Laboratory. The Federal Advisory Committee Act (Pub. L. No. 92-463, 86 Stat. 770) requires that public notice of this meeting be announced in the **Federal Register**.

DATES: Tuesday, November 14, 2006—8 a.m.-5 p.m. Wednesday, November 15, 2006—8 a.m.-2 p.m.

009325



U.S. ELECTION ASSISTANCE COMMISSION

2006 ELECTION ADMINISTRATION AND VOTING SURVEY

SECTION ONE: VOTER REGISTRATION

Note: Questions 1-31 refer to the period from the close of registration for the November 2, 2004, Federal general elections to the close of registration for the November 7, 2006, Federal general elections.

DEFINITIONS:

- **Active voters:** refers to all registered voters **except** those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.
- **Inactive voters:** refers to registrants who **have been sent but have not responded** to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.
- **List maintenance:** refers to the specific process and procedures by which State and/or local election officials update and preserve information contained on the official list of registered voters.
- **Duplicate registration application:** refers to an application to register by a person already registered to vote at the same address, under the same name and personal information (i.e. date of birth, social security number, driver's license, etc.), and the same political party (where applicable).

QUESTIONS:

1. Please, check if your State is exempt from NVRA:

- Yes; exempt from NVRA.
 No; not exempt from NVRA.

2. Total number of **registered voters** statewide and by county/local jurisdiction at the time of the close of registration for the past two Federal general elections (*including Election Day registrations where applicable - see Question 3*):

November 2, 2004:

Active Voters: _____ Don't know Check if your office does not collect this data
 Inactive Voters: _____ Don't know Check if your office does not collect this data

November 7, 2006:

Active Voters: _____ Don't know Check if your office does not collect this data
 Inactive Voters: _____ Don't know Check if your office does not collect this data

Comments:

3. Total number of persons statewide and by county/local jurisdiction who registered to vote on **Election Day [November 7, 2006]** - ***Only applicable to states with Election Day registration (i.e. Idaho, Maine, Minnesota, Montana, New Hampshire, Wisconsin, and Wyoming):*

Total: _____ Don't know Check if your office does not collect this data

Comments:

4. Total number statewide and by county/local jurisdiction of **voter registration applications received from all sources** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

5. Total number statewide and by county/local jurisdiction of **voter registration applications received by mail** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

6. Total number statewide and by county/local jurisdiction of **voter registration applications received in person at the clerk or registrar's office** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

7. Total number statewide and by county/local jurisdiction of **voter registration applications that were received from or generated by** each of the following categories between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

a) All motor vehicle offices: _____

Don't know Check if your office does not collect this data

b) All public assistance agencies mandated as registration sites under NVRA: _____

Don't know Check if your office does not collect this data

c) All state-funded agencies primarily serving persons with disabilities: _____

Don't know Check if your office does not collect this data

d) All Armed Forces recruitment offices: _____

Don't know Check if your office does not collect this data

e) All other agencies designated by the State and not required under NVRA: _____

Don't know Check if your office does not collect this data

Comments:

8. Total number statewide and by county/local jurisdiction of voter registration applications identified in response to Question 7 that were:

a) Duplicates of other valid voter registrations: _____

Don't know Check if your office does not collect this data

b) Changes of address, name, or party: _____

Don't know Check if your office does not collect this data

c) Invalid or rejected (other than duplicates): _____

Don't know Check if your office does not collect this data

Comments:

9. Total number statewide and by county/local jurisdiction of **new, valid registrations** verified and processed between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. ****This includes all registrations that are new to the local jurisdiction and re-registrations due to a change of address across jurisdictional lines but within the state. This does not include applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.**

Total: _____ Don't know Check if your office does not collect this data

Comments:

10. Total number of election jurisdictions conducting voter registration:

Total: _____ Don't know Check if your office does not collect this data

Comments:

11. Identify the **local entity** primarily responsible for registering voters: *(In some cases, more than one choice may apply. If so, mark all of the appropriate local entities that share primary responsibility for registering voters)*

- | | |
|--|---|
| <input type="checkbox"/> Circuit Clerk | <input type="checkbox"/> Election Commissioner |
| <input type="checkbox"/> City Clerk | <input type="checkbox"/> Local General Registrar |
| <input type="checkbox"/> County Auditor | <input type="checkbox"/> Municipal Clerk |
| <input type="checkbox"/> County Board of Elections | <input type="checkbox"/> Recorder |
| <input type="checkbox"/> County Clerk | <input type="checkbox"/> Registrar |
| <input type="checkbox"/> County Commissioner | <input type="checkbox"/> Supervisor/Director of Elections |
| <input type="checkbox"/> County Election Board Secretary | <input type="checkbox"/> Tax Assessor |
| <input type="checkbox"/> County Voter Registration Board | <input type="checkbox"/> Tax Collector |
| <input type="checkbox"/> Director of Voter Registration | <input type="checkbox"/> Town Clerk |

Other *(please, specify)* _____

Comments:

12. Identify each and every other state and local government office or agency designated as a voter registration agency (*provides voter registration opportunities/services*):

- Motor vehicle offices
- All offices that provide public assistance that are mandated as registration sites by NVRA
- All offices that provide state-funded programs primarily serving persons with disabilities that are mandated as registration sites by NVRA
- All Armed Forces recruitment offices that are mandated as registration sites by NVRA
- Other agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

▶ Please, provide the names of the agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

Comments:

13. Does your office provide training on the voter registration process to employees of Federal, State, and local government offices or agencies designated as voter registration agencies?

Yes, our office provides training on the voter registration process to ALL Federal, State, and local government offices or agencies designated as voter registration agencies.

▶ If yes, how frequently does your office provide training the above training?

Monthly Quarterly Biannual Annual Biennial

Other (*please, specify*) _____

Yes, our office provides training on the voter registration process to SOME, BUT NOT ALL, Federal, State, and local government offices or agencies designated as voter registration agencies.

▶ If yes, how frequently does your office provide training the above training?

Monthly Quarterly Biannual Annual Biennial

Other (*please, specify*) _____

No, our office provides no such training.

Comments:

14. How are voter registration applications transferred from the other voter registration agencies listed in response to Question 12 to the official responsible for voter registration (see Question 11)? Please, select all that apply.

- Courier
- Fax
- Hand-delivered
- Inter-office mail
- U.S. mail
- Electronic (If electronic, then select the appropriate media below.)
 - Power Profile System Tape Disk, CD, or other portable storage media
 - VPN Other electronic media (*please, specify*) _____
- Other (*please, specify*) _____

Comments:

15. Who verifies and processes voter registration forms?

- Only State officials
- Only local officials
- Both State and local officials

Comments:

16. Which number is used as the voter identification number on the processed voter registration form? (This does not refer to the number used to verify the application. This refers to the number given to the voter once they have been verified and entered into the voter database.) Please, select all that apply.

- Last 4-digits of the Social Security number
- Full Social Security number
- Driver's license number
- Unique identifier (*please, identify what method is used for assigning the unique identifier*)
- Other (*please, specify*)

Comments:

17. How do the voter registration officials identified in Question 11 verify voter registration applications? (This refers to the process of verifying the applications used to register to vote. This does not refer to the process of verifying voter identity when they go to vote.) Please, select all that apply.

- Check jury lists
- Verify through the department of motor vehicles
- Verify through the Social Security Administration's records
- Verify through the State's vital statistics records
- Verify through other state agency (please, specify agency)
- Matched against the voter registration database
- Tracking of returned voter identification cards
- Tracking the return of disposition notices
- Other (please, specify)

Comments:

18. What data fields are compared (used as matching criteria) to identify duplicate registrations? Please, select all that apply.

- Address
- Date of birth
- Driver's license number
- Names provided by registrant
- Social Security number
- Other (please, specify)

Comments:

19. Does your State electronically check for duplicate voter registrations across state lines?

- Yes (If "yes," please, identify which states)
- No

Comments:

20. Are all applicants whose applications are rejected notified of the rejection and the reason for the rejection?

- Yes
- No

Comments:

009332

21. How does the statewide voter registration database link to the State's department of motor vehicles?

- Real-time
- Specific time intervals (*please, specify*)
- Other (*please, specify*)

Comments:

22. Does the statewide voter registration database link to disability and social services agencies in a similar manner to the State's department of motor vehicles?

- Yes
- No (*If "no," please, specify other method*)

Comments:

23. What process is used to perform list maintenance?

- Only electronically
- Only manually
- Both electronically and manually

Comments:

24. Who is responsible for conducting list maintenance?

- Only State officials
- Only local officials
- Both State and local officials (*If "both," please, specify the roles and responsibilities of each*)

Comments:

25. Total number of registrations statewide and by county/local jurisdiction that were, for whatever reason, **deleted from the registration list**, including both active and inactive voters if such a distinction is made in your state, between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

009338

26. Total number statewide and by county/local jurisdiction of **removal notices [NVRA Section 8 (d)(2) confirmation] mailed** to voters between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

27. Total number statewide and by county/local jurisdiction of **responses received** to the confirmation notices mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

28. Total number statewide and by county/local jurisdiction of voters **moved** to the inactive list between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

29. Total number statewide and by county/local jurisdiction of **voters (active AND inactive voters) removed** from the voter rolls between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections for the following reasons:

- a) Change of address (moved outside jurisdiction): _____
 Don't know Check if your office does not collect this data

- b) Death: _____
 Don't know Check if your office does not collect this data

- c) Disqualifying felony convictions: _____
 Don't know Check if your office does not collect this data

- d) Failure to vote in two consecutive Federal general elections: _____
 Don't know Check if your office does not collect this data

- e) Voter requested to be removed: _____
 Don't know Check if your office does not collect this data

- f) Other reasons (*please, specify*): _____
 Don't know Check if your office does not collect this data

Comments:

30. Identify all of the sources considered in performing list maintenance:

- Applications for absentee ballots
- Ballots returned as undeliverable
- Canvasses, house-to-house
- Canvasses, political parties
- Car registrations
- Contact by phone
- Contact in person
- Jury questionnaires
- List of address changes, Emergency 911 (E-911) system
- List of deceased persons, Social Security Administration
- Lists of felony convictions, Federal and state courts
- Lists of persons licensed in other states, Department of Motor Vehicles
- Lists of property ownership
- Newspaper death notices/obituaries
- Notices of address confirmations
- Notices of deceased persons (Department of Health/Bureau of Vital Statistics)
- Notices of persons adjudicated mentally incapacitated
- Petition checks
- Reports/Notices from other States that a former resident has registered to vote
- Reports of address changes U.S. Postal Service National Change of Address

009338

U.S. Election Assistance Commission

- Reports of surrendered driver's licenses - other states' motor vehicles offices
- Returned election notices
- Returned jury summons
- Returned mail from county agencies using official voter file for mailings
- Requests from voters for removal
- Targeted mailings
- Tax offices
- Utility changes, municipal
- Voter registration applications
- Voter registration system - duplicate checks
- Other (*please, specify*)

Comments:

31. Are the following classes of persons eligible to vote?

a) Those who have been convicted of a felony

- Yes
- No

If "no," are they eligible to register or reregister upon pardon, issuance of certificate of eligibility, or restoration of their Civil Rights?

- Yes
- No

b) Those who are serving a sentence of incarceration for conviction of a felony

- Yes
- No

If "no," are they eligible to register or reregister upon completion of their sentence of incarceration for conviction of a felony?

- Yes
- No

c) Those who are serving a term of probation following being convicted of a felony

- Yes
- No

If "no," are they eligible to register or reregister upon completion of their term of probation following being convicted of a felony?

- Yes
- No

Comments:

SECTION TWO: NOVEMBER 7, 2006, ELECTION RESULTS

DEFINITIONS for Questions 32-42:

- **"At the polls:"** refers to ballots issued, cast, or counted on a jurisdiction's voting system on Election Day at a polling place (separate from early and in-person absentee voting at the polls prior to Election Day).
- **Ballots cast:** refers to ballots that have been submitted manually or electronically by a voter regardless of whether they are ultimately counted. *Note:* For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter.
- **Ballots counted:** refers to all ballots that have been cast, processed, and counted.
- **Domestic civilian absentee ballot:** refers to a ballot available to a non-military citizen living in the United States who is registered to vote and meets the State's requirement for voting absentee, and is not considered early voting by state definitions. Generally, a voter must request an absentee ballot from their local election office, and the completed ballot may be sent back by mail or dropped off in person (in-person absentee) depending on the laws and regulations of the voter's State of residence.
- **Domestic military citizen** is statutorily defined as:
 - A. A member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned within the United States or its territories, and who is absent from the place of residence where the member is otherwise qualified to vote;
 - B. A member of the merchant marine who, by reason of service in the merchant marine, is serving within the United States and its territories, and who is absent from the place of residence where the member is otherwise qualified to vote; and
 - C. A spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.
- **Early voting** refers generally to any in-person voting that occurred prior to November 7, 2006, at specific polling locations for which there were no special eligibility requirements, and is not considered absentee voting under the State's definitions/requirements for absentee voting.
- **Federal Write-In Absentee Ballot (FWAB)** is an emergency ballot available to military and overseas citizens (including APO and FPO addresses) when they have properly requested but have not received a regular absentee ballot from their local jurisdiction in time to return it before the deadline.
- **Overseas military citizen** is statutorily defined as:
 - A. A member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote;
 - B. A member of the merchant marine who, by reason of service in the merchant marine, is serving outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote; and
 - C. A spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.
- **Overseas citizens** refers to persons who are citizens of the United States who are living, working or stationed outside of the United States and its territories and who are not members of a uniformed service.

- **Provisional ballot** refers to a ballot issued to a voter at the polling place when their eligibility to vote has not been determined.

QUESTIONS:

32. Did your State conduct early voting for the November 7, 2006, Federal general elections?

- Yes
- No

Comments:

33. Total number statewide and by county/local jurisdiction, for the November 7, 2006, Federal general elections of **BALLOTS CAST**:

- At the polls: _____ Don't know Check if your office does not collect this data
- Early voting: _____ Don't know Check if your office does not collect this data
- Domestic civilian absentee ballot: _____ Don't know Check if your office does not collect this data
- Domestic military:♦ _____ Don't know Check if your office does not collect this data
- Overseas military:♦ _____ Don't know Check if your office does not collect this data
- Overseas citizens:♦ _____ Don't know Check if your office does not collect this data
- FWAB: _____ Don't know Check if your office does not collect this data
- Provisional ballots:* _____ Don't know Check if your office does not collect this data

*The number provided in response to this question should include the total number of ballots cast in the State's program for contingent or provisional ballots that comply with Section 302(a) of the Help America Vote Act of 2002 (HAVA).

♦**UOCAVA voters:** If you are not able to separate ballots cast for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: _____ Don't know Check if your office does not collect this data

Comments:

34. Total number statewide and by county/local jurisdiction, for the November 7, 2006, Federal general elections of **BALLOTS COUNTED:**

- At the polls: _____ Don't know Check if your office does not collect this data
- Early voting: _____ Don't know Check if your office does not collect this data
- Domestic civilian absentee ballot: _____ Don't know Check if your office does not collect this data
- Domestic military: ♦ _____ Don't know Check if your office does not collect this data
- Overseas military: ♦ _____ Don't know Check if your office does not collect this data
- Overseas citizens: ♦ _____ Don't know Check if your office does not collect this data
- FWAB: _____ Don't know Check if your office does not collect this data
- Provisional ballots: _____ Don't know Check if your office does not collect this data

♦**UOCAVA voters:** If you are not able to separate ballots counted for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: _____ Don't know Check if your office does not collect this data

Comments:

35. Total number statewide and by county/local jurisdiction of votes counted for **each candidate in a Federal contest for the November 7, 2006, Federal general elections:**

Total: _____ Don't know Check if your office does not collect this data

Comments:

36. Total number statewide and by county/local jurisdiction of **provisional ballots REJECTED for the November 7, 2006, Federal general elections:**

Total: _____ Don't know Check if your office does not collect this data

Comments:

37. Total number statewide and by county/local jurisdiction of **provisional ballots REJECTED** for each of the following reasons for the November 7, 2006, Federal general elections:

- | | | | |
|--|-------|-------------------------------------|--|
| Already voted: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Ballot not timely received (absentee): | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Deceased: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Elector challenged: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Incomplete ballot form: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Ineligible to vote: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Missing ballot: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Multiple ballots in one envelope: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| No identification provided: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| No signature: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Non-matching signature: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Not registered: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Registration purged: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Wrong jurisdiction: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Wrong precinct: | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |
| Other
(please, specify): | _____ | <input type="checkbox"/> Don't know | <input type="checkbox"/> Check if your office does not collect this data |

Comments:

38. Total number statewide and by county/local jurisdiction of **absentee ballots REQUESTED (do not include FWAB) for the November 7, 2006, Federal general elections (*includes ballots transmitted by mail, fax, e-mail, or courier*):**

- Domestic civilian absentee ballot: _____ Don't know Check if your office does not collect this data
- Domestic military: * _____ Don't know Check if your office does not collect this data
- Overseas military: * _____ Don't know Check if your office does not collect this data
- Overseas citizens: * _____ Don't know Check if your office does not collect this data

***UOCAVA voters:** If you are not able to separate absentee ballots requested for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: _____ Don't know Check if your office does not collect this data

Comments:

39. Total number statewide and by county/local jurisdiction of **advanced ballots TRANSMITTED to military and overseas citizens for the November 7, 2006 Federal general elections: (*Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.*)**

- Domestic military: * _____ Don't know Check if your office does not collect this data
- Overseas military: * _____ Don't know Check if your office does not collect this data
- Overseas citizens: * _____ Don't know Check if your office does not collect this data

***UOCAVA voters:** If you are not able to separate advance ballots transmitted for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: _____ Don't know Check if your office does not collect this data

Comments:

40. Total number statewide and by county/local jurisdiction of **Federal Write-In Absentee Ballots (FWAB) RECEIVED from each of the following categories of voters for the November 7, 2006, Federal general elections:**

- Domestic military: ♦ _____ Don't know Check if your office does not collect this data
- Overseas military: ♦ _____ Don't know Check if your office does not collect this data
- Overseas citizens: ♦ _____ Don't know Check if your office does not collect this data

♦**UOCAVA voters:** If you are not able to separate the FWAB received for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: _____ Don't know Check if your office does not collect this data

Comments:

41. Total number statewide and by county/local jurisdiction of **domestic civilian absentee ballots REJECTED for each of the following reasons for the November 7, 2006, Federal general elections:**

- Ballot missing from envelope: _____ Don't know Check if your office does not collect this data
- Ballot not timely received: _____ Don't know Check if your office does not collect this data
- Ballot replaced: _____ Don't know Check if your office does not collect this data
- Ballot returned as undeliverable : _____ Don't know Check if your office does not collect this data
- Ballot returned in unofficial envelope: _____ Don't know Check if your office does not collect this data
- Voter deceased: _____ Don't know Check if your office does not collect this data
- Already voted in person: _____ Don't know Check if your office does not collect this data
- Envelope not sealed: _____ Don't know Check if your office does not collect this data
- First time voter without proper identification: _____ Don't know Check if your office does not collect this data
- Ineligible to vote: _____ Don't know Check if your office does not collect this data

Multiple ballots returned
in one envelope: _____ Don't know Check if your office does not collect this data

No ballot application
on record: _____ Don't know Check if your office does not collect this data

No election official's
signature on ballot: _____ Don't know Check if your office does not collect this data

No residence address
on envelope: _____ Don't know Check if your office does not collect this data

No voter signature: _____ Don't know Check if your office does not collect this data

No witness signature: _____ Don't know Check if your office does not collect this data

Non-matching
signature: _____ Don't know Check if your office does not collect this data

Spoiled ballot: _____ Don't know Check if your office does not collect this data

Other
(please, specify): _____ Don't know Check if your office does not collect this data

Comments:

42. Total number statewide and by county/local jurisdiction of **military and overseas absentee ballots REJECTED** for each of the following reasons for the November 7, 2006, Federal general elections:

Had no date of notary/
witness signature: _____ Don't know Check if your office does not collect this data

Had no date of
voter signature: _____ Don't know Check if your office does not collect this data

Lacked a postmark: _____ Don't know Check if your office does not collect this data

No voter signature: _____ Don't know Check if your office does not collect this data

Returned as
undeliverable: _____ Don't know Check if your office does not collect this data

Voter signature not
verifiable: _____ Don't know Check if your office does not collect this data

U.S. Election Assistance Commission

Was received after
the state deadline: _____ Don't know Check if your office does not collect this data

Other
(please, specify): _____ Don't know Check if your office does not collect this data

Comments:

DEFINITIONS FOR Questions 43-44:

- **An UNDERVOTE** occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.
- **An OVERVOTE** occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.

QUESTIONS:

43. Total number statewide and by county/local jurisdiction of **undervotes** reported in each Federal contest for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

44. Total number statewide and by county/local jurisdiction of **overvotes** reported in each Federal contest for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

DEFINITIONS FOR Questions 45-58:

- **Precinct** means the geographic area to which voters are assigned.
- **Polling place** means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.

Note: *The answer to questions regarding poll workers should include the number of persons who served in all polling places in the State as poll workers, election judges, wardens, commissioners, or other similar term that refers to the person or persons who verify the identity of a voter; assist the voter with signing the register, affidavits or other documents required to cast a ballot; assist the voter by providing the voter with a ballot or setting up the voting machine for the voter; and serving other functions as dictated by state law. The answers to these questions should not include observers stationed at the polling place.*

QUESTIONS:

45. Total number of **poll workers required** by law or regulation to be present at each polling place/precinct:

Total: _____ Don't know Check if your office does not collect this data

Comments:

46. Total number statewide and by county/local jurisdiction of **poll workers that served** in the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

47. Total number statewide and by county/local jurisdiction of precincts that **did not have the required number of poll workers** in the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

48. Identify what constitutes a local election jurisdiction in your State (select all that apply):

- Borough
- City
- County
- Parish
- Township
- Village
- Other (please, specify)

Comments:

49. Total number of local election jurisdictions in your State:

Total: _____ Don't know Check if your office does not collect this data

Comments:

50. Total number statewide and by county/local jurisdiction of precincts for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

51. Total number statewide and by county/local jurisdiction of polling places for the November 7, 2006, Federal general elections:

Total: _____ Don't know Check if your office does not collect this data

Comments:

52. Total number statewide and by county/local jurisdiction of polling places that are accessible to voters with disabilities for the November 7, 2006, Federal general elections: (For purposes of this question only, accessibility refers to the physical structure of the polling place, not the voting system.)

Total: _____ Don't know Check if your office does not collect this data

Comments:

53. Total number statewide and by county/local jurisdiction of polling places where voters with disabilities can **cast a private ballot** for the November 7, 2006, Federal general elections: *(Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots in Braille)*

Total: _____ Don't know Check if your office does not collect this data

Comments:

54. Total number of local election jurisdictions that provided information for purposes of responding to this survey:

Total: _____ Don't know Check if your office does not collect this data

Comments:

55. Please, provide a list of the types of voting equipment used in each county during the November 7, 2006, Federal general elections. Please, provide the following for each county:

- a) Name of county
- b) Type of voting system(s)
- c) Manufacturer
- d) Software version (if applicable)

Comments:

56. Please, provide the following for each local election jurisdiction official that provided information for purposes of responding to this survey:

- a) Name
- b) Title
- c) Agency/Office
- d) Street address
- e) P.O. Box number
- f) City
- g) State
- h) Zip code
- i) Telephone number
- j) General e-mail address (if available)

Comments:

009347

57. Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. (All other sources of data shall include information obtained from a statewide voter registration database or any other public or non-public source). For individuals and agencies, please, include the following:

- Statewide voter registration database
- Other public and non-public sources – please, include the following:
 - a) Name of contact person
 - b) Title
 - c) Agency/Office
 - d) Street address
 - e) P.O. Box number
 - f) City
 - g) State
 - h) Zip code
 - i) Telephone number
 - j) General e-mail address (if available)

Comments:

58. Please, provide a list of the local individuals/entities responsible for registering voters (see Question 11) and those administering elections; include their name/entity, title, complete mailing address, telephone number, and general e-mail address (if available). In some cases, these two activities are carried out by one individual/entity and in others they are divided between two or more; please, identify which individual is responsible for each of the activities.

- a) Name
- b) Title
- c) Agency/Office
- d) Role (voter registration, election administration, or both)
- e) Street address
- f) P.O. Box number
- g) City
- h) State
- i) Zip code
- j) Telephone number
- k) General e-mail address (if available)

Comments:

END OF SURVEY

THANK YOU FOR RESPONDING TO THIS SURVEY.

**Juliet E.
Thompson-Hodgkins/EAC/G
OV**

02/16/2006 09:32 AM

To Laiza N. Otero/EAC/GOV@EAC

cc

bcc

Subject Re: OMB submission deadline

History:  This message has been forwarded.

Approximately 120 days

Sent from my BlackBerry Wireless Handheld
Laiza N. Otero

From: Laiza N. Otero
Sent: 02/16/2006 09:23 AM
To: Juliet Thompson-Hodgkins; Gavin Gilmour
Cc: Karen Lynn-Dyson
Subject: OMB submission deadline

Re: Improving Election Data Collection Project

Good morning Julie and Gavin,

I am trying to establish a date for convening a working group for the project named above. What is the latest date for us to submit the revised Election Day Survey to OMB for approval as required by the Paperwork Reduction Act so that we can disseminate it to election officials with ample time before elections? How long is the OMB approval process?

Thank you!

Laiza N. Otero
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005

009350



Laiza N. Otero/EAC/GOV
02/16/2006 02:50 PM

To Gavin S. Gilmour/EAC/GOV@EAC
cc
bcc
Subject Election Day Survey

Gavin,

Per our conversation, here are the questions I put forward regarding the Election Day Survey:

- What was the process used in 2004 to approve the survey tool back then?
 - If it was approved for use in 2004 and there are no changes done to the survey per the working group, can we go ahead without OMB publication?
 - If the changes are only minor, can the process be expedited and what would the process be?
- If it has to go through the entire process again:
 - Can we forward a notice (or the survey itself) to the state election directors (and maybe local jurisdictions as well) during the feedback period so they can begin to review the survey tool to familiarize themselves with the data they will need to collect in November. -----
One of the areas of concern in 2004 was the short length of time between the receipt of the survey tool and the deadline for returning the results.
- What is the cost involved in the OMB process?

Thank you!!

Laiza N. Otero
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
(202)566-1707

009351

**Juliet E.
Thompson-Hodgkins/EAC/G
OV**

03/07/2006 01:08 PM

To Laiza N. Otero/EAC/GOV@EAC

cc

bcc

Subject Re: Election Day Survey 

History:

 This message has been replied to and forwarded.

Yes, we have to go back through OMB because the emergency approval that we got was only for 6 months.

Juliet Thompson Hodgkins
General Counsel
United States Election Assistance Commission
1225 New York Ave., NW, Ste 1100
Washington, DC 20005
(202) 566-3100
Laiza N. Otero/EAC/GOV

 **Laiza N. Otero/EAC/GOV**

03/07/2006 12:26 PM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

Subject Election Day Survey

Julie,

Brian Hancock just told me that Wyoming called him this morning and informed him that they are working with their voting system provider to include data collection capabilities relating to the questions in the election day survey - - - another reason to not make radical changes to the survey, at least for this year. In our conversation, the following question came up: If no changes are done to the survey beyond those related to the look of it (placement of instructions, wording, etc.) - - - still collecting the same, exact data - - - do we need to go through the OMB approval process since OMB usually approves a collection for a maximum of 3 years. From what I have been reading and comprehending about the process, OMB approval is needed to continue a collection for which OMB's approval and the validity of the OMB Control Number are about to expire. When does our expire? Thank you and sorry for any inconvenience.

Laiza N. Otero
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
(202)566-1707

009352



Laiza N. Otero/EAC/GOV

05/01/2006 05:49 PM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC, Brian
Hancock/EAC/GOV@EAC, Karen
Lynn-Dyson/EAC/GOV@EAC, Thomas R.

cc

bcc

Subject Election Administration Survey

Greetings,

Here is my final draft of the Election Administration Survey for your review. Please, let me know what else you need from me in order to assist in the submission of the document for OMB approval process. Thank you very much for your time and patience!



Draft Survey.doc

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-1707
Fax (202) 566-3128

009353

IMPORTANT: Please, **DO NOT USE** "N/A" as an equivalent to "0," or vice versa. Only use "N/A" (not applicable) if the answer is not available or not allowable by state law or not collected by your jurisdiction. The number "0" ("zero") should only be used to indicate that there are "zero" number of the data being requested.

Voter Registration

Note: Questions under this section refer at times to the period between the past two federal general elections. This period is from the close of registration for the November 2, 2004, Federal general elections and the close of registration for the November 7, 2006, Federal general elections.

Active voters means all registered voters except those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Inactive voters means registrants who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Duplicate registration application means an offer to register by a person already registered to vote at the same address, under the same name, and (where applicable) in the same political party.

1. Total number of registered voters at the time of the close of registration for the past two Federal general elections:

	November 2, 2004	November 7, 2006
Active voters:	_____	_____
Inactive voters (if applicable):	_____	_____
Total:	_____	_____

2. Total number of persons who registered to vote during Election Day [November 7, 2006] – only applicable to states with Election Day registration (Idaho, Maine, Minnesota, New Hampshire, Wisconsin, and Wyoming):

November 2, 2004	November 7, 2006
_____	_____

3. Total number of registration applications that were **received from or generated by** each of the following categories between the past two federal general elections:

- Mail:** _____
- All motor vehicle offices:** _____
- All public assistance agencies that are mandated as registration sites under NVRA:** _____
- All state-funded agencies primarily serving persons with disabilities:** _____
- All Armed Forces recruitment offices:** _____
- All other agencies designated by the state:** _____
- All other means (including but not limited to, in person, deputy registrars, and organized drives delivering forms directly to registrars):** _____
- Total from all sources:** _____

4. Total number of registration applications identified in response to the previous question that were:

- Duplicates of other valid voter registrations:** _____
- Changes of address, name, or party:** _____
- Invalid or rejected (other than duplicates):** _____

5. Total number of **new, valid registrations accepted** between the past two federal general elections, including all registrations that are new to the local jurisdiction and re-registrations across jurisdictional lines, but excluding all applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.

Total: _____

Registration process

6. Total number of election jurisdictions conducting voter registration.

Total: _____

7. Identify the local election official primarily responsible for registering voters.

8. Identify each and every other state and local government office or agency, aside from those designated by NVRA, that conduct voter registration.

9. What, if any, training is provided to employees of voter registration agencies on the voter registration process?

10. How are voter registration applications transferred from the other voter registration agencies listed in response to the question above to the official responsible for voter registration (ex. e-mail, VPN, tape, disk, mail, fax, etc.)?

11. Who processes voter registration forms?

- State officials
- Local officials
- Both

12. Which number is used as the voter identification number on the processed voter registration form?

- Last 4-digits of the Social Security number
- Full Social Security number
- Driver's license number
- Unique identifier *(please, identify what method is used for assigning the unique identifier)*
- Other *(please, specify)*

13. How do registration officials check for duplicate registrations?

14. Does your State check for duplicate voter registrations across state lines?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

15. How does your State verify voter registration applications (e.g., tracking the return of disposition notices, checks against driver's license and social security administration records, etc)?

16. Are applicants whose applications are rejected notified of the rejection and the reason for the rejection?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

17. Does the statewide voter registration database link to disability and social services agencies in a similar manner to the state department of motor vehicles?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

List Maintenance

18. What process is used to perform list maintenance?

<input checked="" type="checkbox"/>	Electronic
<input type="checkbox"/>	Manual
<input type="checkbox"/>	Both

19. Who is responsible for conducting list maintenance?

<input type="checkbox"/>	State officials
<input type="checkbox"/>	Local officials
<input type="checkbox"/>	Both

20. Total number of registrations that were, for whatever reason, **deleted from the registration list**, including both active and inactive voters if such a distinction is made in your state, between the past two federal elections.

Total: _____

21. Total number of **removal notices [Section 8(d)(2) confirmation] mailed** out between the past two federal general elections.

Total: _____

22. Total number of **responses received** to the confirmation notices during the same period.

Total: _____

23. Total number of voters **moved to the inactive list**:

Total: _____

24. Total number of **voters removed** from the voter rolls between the past two Federal elections for the following reasons:

- Death:** _____
- Failure to vote in two consecutive federal general elections:** _____
- Voter requested to be removed:** _____
- Disqualifying felony convictions:** _____
- Other reasons: (please, specify)** _____
- Total number of registrations removed:** _____

25. List all of the sources considered in performing list maintenance (ex: returned election notices, Postal Service NCOA information, returned jury summons, etc.).

26. How does your State treat voters who:

- a) Have been convicted of a felony

- b) Are serving a sentence of incarceration for conviction of a felony
- c) Are serving a term of probation following being convicted of a felony

Election Day Administration and Results

Ballots cast means a ballot that has been submitted manually or electronically by a voter but has not been verified and/or counted.

Note: *For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter.*

Ballots counted means all ballots that have been cast, processed, and counted.

“At the polls” refers to ballots issued, cast, or counted on a jurisdiction’s voting system on Election Day at a polling place.

Early voting means any voting that occurred prior to November 7, 2006, for which there were no eligibility requirements. For example, the voter did not have to attest that he/she would be absent from the voting jurisdiction on the day of the election.

Federal Write-In Absentee Ballot (FWAB) is a ballot available to military and overseas citizens (including APO and FPO addresses) when they have not received their regular absentee ballot from their state.

27. Does your State conduct early voting?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

28. Total number statewide and by county/local jurisdiction of:

	Ballots Cast	Ballots Counted
At the polls	_____	_____
Early voting	_____	_____
Domestic civilian absentee ballots	_____	_____
Domestic military citizens	_____	_____

Overseas military citizens	_____	_____
Overseas civilians	_____	_____
Federal Write-In Absentee Ballot (FWAB)	_____	_____
Provisional ballots	_____*	_____
Total	_____	_____

* The number provided in response to this question should include the total number of ballots cast in the State's program for contingent or provisional ballots that complies with section 302(a) of the Help America Vote Act.

29. Total number of votes counted by county/local election jurisdiction for **each candidate** in a Federal contest.

30. Identify the five most common reasons that **provisional ballots** were rejected:

- 1.
- 2.
- 3.
- 4.
- 5.

Absentee Ballots

Absentee voting is defined as voting prior to Election Day which requires that the voter meet qualifications other than those generally required to register to vote.

Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.

31. Total number of **absentee ballots** state-wide and by county/local jurisdiction (do not include FWAB):

	Requested	Not Counted
Domestic civilian absentee ballots	_____	_____

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