

FEDERAL FINANCIAL REPORT
(EACFFR)

1. Federal Agency and Org. Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Fed. Agency <i>(To report multiple grants, use FFR Attachment)</i> EAC-REQPY22CA
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3. Recipient Organization (Name and complete address including Zip code)
Recipient Organization Name:
California Secretary of State

Street1:
1500 11TH ST

Street2:

City: SACRAMENTO	County: SACRAMENTO
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State: CA	Province:
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Country: United States	Zip 5: 95814	Zip +4: 5701
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4a. UEI D9BXB9ZDNU8	4b. EIN 946001347	5. Recipient Account Number or Identifying Number <i>(To report multiple grants, use FFR Attachment)</i> E7643B1	6. Report Type <input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final
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7. Basis of Accounting <input checked="" type="radio"/> Cash <input type="radio"/> Accrual	8. Project/Grant Period From: 06/16/2004 To: 09/30/2099	9. Reporting Period End Date <i>(Month, Day, Year)</i> 09/30/2022
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10. TRANSACTIONS
(Use lines a-c for single or multiple grant reporting)

Cumulative

Federal Cash: (To report multiple grants, also use FFR attachment)

a. Cash Receipts	\$296,305,593.00
b. Cash Disbursements	\$290,974,560.65
c. Cash on hand (line a minus b)	\$5,331,032.35

Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.

d. Total Federal funds authorized	\$296,305,593.00
e. Federal share of expenditures	\$290,974,560.65
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$290,974,560.65
h. Unobligated balance of Federal funds (line d minus g)	\$5,331,032.35

Recipient Share: Do not complete this section if reporting on multiple awards.

i. Total recipient share required	\$18,488,195.00
j. Recipient share of expenditures	\$18,488,195.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

Program Income: Do not complete this section if reporting on multiple awards.

l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

Federal Interest:

p. Total Federal interest earned	\$47,999,638.21
q. Federal interest expenditures	\$18,897,400.13
r. Remaining Federal interest to be expended (line p minus q)	\$29,102,238.08

11. Indirect Expense

a. Type	b. Rate	c. Period From	c. Period To	d. Base	e. Amount Charged	f. Federal Share
Provisional	62.70%	10/01/2021	09/30/2022	\$1,705,800.30	\$1,069,536.79	\$1,069,536.79
g. Total				\$1,705,800.30	\$1,069,536.79	\$1,069,536.79


12. Remarks:

a. State Interest Earned: Enter the current year amount earned (not cumulative)	\$0.00
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b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00	
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00	
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00	
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income	Amount	Delete
e. 1	\$0.00	
Total:		\$0.00

f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: **By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).**

a. Typed or Printed Name and Title of Authorized Certifying Official Fan Yang	c. Telephone (Area code, number and extension)
Certification Title	d. Email address fyang@sos.ca.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 12/22/2022

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.

Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

Progress Report
Section I: Cover Page

Grant Information

1. State or Territory
California Secretary of State

2. Federal Grant or Other Identifying Number Assigned by Federal Agency
EAC-REQPY22CA

3. Grant Type:

- 101
- 251
- Election Security
- Other [e.g., CARES]

Describe Other

Report Information

4. Report Type:

- Semi-Annual
- Annual
- Final
- Other

Describe Other

5. Report Period

Start Date (Month, Day, Year)
10/01/2021

End Date (Month, Day, Year)
09/30/2022

Section II: Progress and Narrative

Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12-month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:

- * Be written in clear, concise, and plain language
- * Not include sensitive confidential information

6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)

Check if no activity during this reporting period.

During this period, these funds were expended to reimburse county costs for purchase, maintenance, or upgrade of voting system equipment and voting system components as defined by, and pursuant to, HAVA Section 301 requirements; for the development, implementation and operation of California's statewide voter registration database (VoteCal) to meet HAVA Section 303 requirements; and for administrative costs associated with administering the funds. The expenditures related to development, implementation and operation of California's statewide voter registration database (VoteCal) were made pursuant HAVA Section 303 requirements. For the administrative costs associated with administering the funds, pursuant to EAC guidance on assignment of administrative costs among multiple program areas, the Secretary of State proportionally allocated the costs of staff time associated with administering voting system upgrade contracts with counties, vendor contracts related to the statewide voter registration database, including reviewing and approving claims, providing guidance to counties on the use of funds pursuant to EAC guidance, and seeking additional EAC guidance when necessary. These costs also include Indirect Cost Recovery as approved by the EAC

7. Provide a description of any training conducted, including security training.

Check if no training was conducted during this reporting period.

8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.

Check if no voting equipment purchased during this reporting period.

Counties were reimbursed for obtaining the following voting equipment during the reporting period: 0 50 Verity workstations, scanners, ballot box, printers, misc. 0 1 Sorter upgrade system 0 6 Verity servers, associated system peripherals 0 1 Dominion ImageCast server 0 1 Dominion Voting System monitor 0 10 Dominion Voting System ICX peripherals

9. Subgrants (if applicable)

Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.

California counties were reimbursed for costs associated with purchasing, maintaining, and upgrading voting systems. Under the county contracts, counties are allowed to submit claims for reimbursement for voting system equipment upgrades (Section 301(a) requirements); for necessary maintenance or upgrades to those systems; for voter education and assistance efforts (Section 301 (b)(2)(E) and Section 302 requirements) related to voting system upgrades; for poll worker training related to new voting systems; and for expenses allowable under a minimum requirements payment pursuant to Section 251 (b)(2)(B) and EAC guidance. Expenditure of these funds is consistent with California's State Plan to comply with HAVA requirements for voting system standards. (See California's 2010 State Plan Update published in the Federal Register August 23, 2010, which estimated total costs at \$195 million.) Please note regarding subaward amount - A correction from a charge which was included in the reporting period prior to our 2021/22 semi-annual report, gave a large credit to HAVA SEC 251 (from HAVA CARES SDF 374) in our semi-annual report. Overall, for the purposes of this report, please note that the total annual subgrants had a smaller total expenditure than the credit. Please note total overall expenditures for the reporting period were \$846k and \$2.2m was credited with the difference reflected in the table below.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
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Voting Equipment	-\$1,383,547.51
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$0.00
Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
Total	-\$1,383,547.51

11. Match (If applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.

Match amount expended this period: \$2,889,485 for a total of \$818,488,195.00. California authorized \$134.348m in FY 2018/19 and \$87.265m in FY 2019/20 state General Fund budget to satisfy the match requirement.

Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	-\$1,383,547.51	\$2,889,485.00
Voting Processes	\$0.00	\$0.00
Voter Registration Systems	\$4,300,856.26	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$0.00	\$0.00
Voter Education	\$0.00	\$0.00
Accessibility	\$212,768.13	\$0.00
Other Staffing and Indirect Costs	\$2,866,990.74	\$0.00
TOTAL	\$5,997,067.62	\$2,889,485.00

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Jessica Arriola Godina	Title HAVA Coordinator
Phone Number (916) 956-9037	Email Address jgodina@sos.ca.gov

19. Signature of Certifying Official

